

TENTATIVE AGENDA
OTTUMWA CITY COUNCIL

REGULAR MEETING NO. 8
Council Chambers, City Hall

February 18, 2020
5:30 O'Clock P.M.

PLEDGE OF ALLEGIANCE

A. ROLL CALL: Council Member Stevens, Meyers, Berg, Dalbey, Roe and Mayor Lazio.

Authorize the resumption of Mayoral duties by Tom X. Lazio as a result of the hiring of the new City Administrator Philip Rath effective February 17, 2020.

B. CONSENT AGENDA:

1. Minutes from Special Meeting No. 5 on January 25, 2020, Special Meeting No. 6 on January 28, 2020 and Regular Meeting No. 7 on February 4, 2020 as presented.
2. Acknowledgement of January financial report and payment of bills as submitted by the Finance Department.
3. Approve Drug Task Force Bryne-JAG Grant submission via internet and authorize the Mayor to sign all related documents.
4. Authorize the purchase of Microsoft Exchange Online Plan 1 subscription license with a quantity of 160 users, for a total of \$6,526.40 per year.
5. Approve the purchase of a flow meter for Barton's Branch lift station from GPM, for a total cost of \$5,407.
6. Approve participation in the Iowa Department of Transportation's paint program to purchase paint for the Traffic Maintenance Department in the 2019-20 fiscal year, with an estimated total of \$11,092.40.
7. Resolution No. 31-2020, approving the purchase of a ¾ Ton 4WD Extended Cab Truck for WPCF from Clemens Inc. of Ottumwa, Iowa, for a total price of \$29,846.86 and rescinding Resolution No. 58-2019.
8. Beer and/or liquor applications for: Hy-Vee Gas No. 1, 1027 N. Quincy Ave.; Hy-Vee Gas No. 2, 2457 N. Court St.; Bridge View Center/Venu Works, 102 Church St., with outdoor service area; Pallister Brothers Brewing Company, 116 N. Market St.; Brenda's Bar & Grill, 618 Church St.; all applications pending final inspections.

C. APPROVAL OF AGENDA

D. ADMINISTRATORS REPORT TO COUNCIL AND CITIZENS:

1. FY21 Budget Presentation – Director of Finance, Kala Mulder

All items on this agenda are subject to discussion and/or action.

E. IDENTIFICATION OF CITIZENS DESIRING TO COMMENT ON AGENDA ITEMS:

(When called upon by the Mayor, step to the microphone; state their name, address and agenda item to be addressed. The Mayor will invite you to address the Council when that topic is being discussed. Remarks will be limited to **three minutes or less**. The City Clerk shall keep the time and notify the Mayor when the allotted time limit has been reached. Comments are to be directly germane to the agenda item being discussed; if not directly germane as determined by the Mayor will be ruled out of order.)

F. DEPARTMENTAL RECOMMENDATIONS/REPORTS:

1. Consideration of a State and Community Highway Safety Grant application to the Governor's Traffic Safety Bureau.

RECOMMENDATION: Approve the grant submission and authorize the Mayor and Chief of Police to sign the application and contract upon receipt.

2. Approval of collective bargaining agreement by and between the City of Ottumwa, Iowa and the Teamsters Local 238, representing Transit employees, commencing July 1, 2020 and continuing through June 30, 2024 and authorize the Mayor to sign the agreement.

RECOMMENDATION: Approve the collective bargaining agreement by the parties effective July 1, 2020 through June 30, 2024, and authorize the Mayor to sign the agreement.

G. PUBLIC HEARING:

1. This is the time, place and date set for a public hearing on the proposition to authorize a Loan Agreement and the issuance of not to exceed \$19,000,000 General Obligation and Refunding Capital Loan Notes of the City of Ottumwa, State of Iowa, for essential corporate purposes.
 - A. Open the public hearing.
 - B. Close the public hearing.
 - C. Resolution No. 28-2020, institute proceedings to take additional action for the issuance of not to exceed \$19,000,000 General Obligation and Refunding Capital Loan Notes of the City of Ottumwa, State of Iowa, for essential corporate purposes.

RECOMMENDATION: Pass and adopt Resolution No. 28-2020.

- D. Resolution No. 34-2020, approving distribution of preliminary official statement, General Obligation and Refunding Capital Loan Notes, Series 2020.

RECOMMENDATION: Pass and adopt Resolution No. 34-2020.

2. This is the time, place and date set for a public hearing approving the plans, specifications, form of contract and estimated cost for the Milner Street Reconstruction Project.
 - A. Open the public hearing.
 - B. Close the public hearing.
 - C. Resolution No. 29-2020, approving the plans, specifications, form of contract and estimated cost for the Milner Street Reconstruction Project.

RECOMMENDATION: Pass and adopt Resolution No. 29-2020.

3. This is the time, place and date set for a public hearing approving the plans, specifications, form of contract and estimated cost for the CSO Phase 8, Blake's Branch, Division 1 project.
 - A. Open the public hearing.
 - B. Close the public hearing.
 - C. Resolution No. 33-2020, approving the plans, specifications, form of contract and estimated cost for the CSO Phase 8, Blake's Branch, Division 1 project.

RECOMMENDATION: Pass and adopt Resolution No. 33-2020.

H. RESOLUTIONS:

1. Resolution No. 22-2020, support of Asbury Heights, LLC housing tax credit applications and illustrating City intent to enter into a development agreement.

RECOMMENDATION: Pass and adopt Resolution No. 22-2020.

2. Resolution No. 32-2020, accepting a donation of property purchased by the Greater Ottumwa Partners in Progress (GOPIP), formerly the Ottumwa Economic Development Corporation, from the Iowa National Guard, State of Iowa, for a Right of Way Improvement Project at 2858 N. Court Street, Ottumwa, Iowa and authorizing the City to apply for a USDA Rural Business Development Grant through Area 15 Regional Planning Commission for the development of the Right of Way.

RECOMMENDATION: Pass and adopt Resolution No. 32-2020.

3. Resolution No. 35-2020, authorizing the Planning Director to pursue an Iowa Department of Transportation Volkswagen Settlement Environment Mitigation Trust Zero Emission Vehicle Supply Equipment Grant to help with the installation of an electric vehicle charging station at the public parking ramp at 112 W. Second.

RECOMMENDATION: Pass and adopt Resolution No. 35-2020.

I. ORDINANCES:

J. PUBLIC FORUM:

The Mayor will request comments from the public on topics of city business or operations other than those listed on this agenda. Comments shall not be personalized and limited to three minutes or less. Comments not directly applicable to operations, inappropriate, or an improper utilization of meeting time, as determined by the Mayor, will be ruled out of order. When called upon by the Mayor, step to the microphone; give your name, address and topic on which to address the Council. The Council is not likely to take any action on your comments due to requirements of the Open Meetings Law. Pertinent questions, comments or suggestions may be referred to the appropriate department, city administrator or legal counsel for response, if relevant.

K. PETITIONS AND COMMUNICATIONS

ADJOURN

***** It is the goal of the City of Ottumwa that all City Council public meetings are accessible to people with disabilities. If you need assistance in participating in City Council meetings due to a disability as defined under the ADA, please call the City Clerk's Office at (641) 683-0621 at least one (1) business day prior to the scheduled meeting to request an accommodation. *****



[CITY OF]

OTTUMWA

FAX COVER SHEET

City of Ottumwa

DATE: 2/14/20 TIME: 11:00 AM NO. OF PAGES 4
(Including Cover Sheet)

TO: News Media CO: _____

FAX NO: _____

FROM: Christina Reinhard

FAX NO: 641-683-0613 PHONE NO: 641-683-0620

MEMO: Tentative Agenda for the Regular City Council Meeting #8 to be held on 2/18/20

*** FAX MULTI TX REPORT ***

JOB NO. 1347
DEPT. ID 4717
PGS. 4
TX INCOMPLETE -----
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Ottumwa Courier
KTVO
Tom FM



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JOB NO. 1347
DEPT. ID 4717
ST. TIME 02/14 10:56
SHEETS 4
FILE NAME
TX INCOMPLETE -----
TRANSACTION OK 96847834
916606271885
ERROR 916416828482

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MEMO: Tentative Agenda for the Regular City Council Meeting #8 to be held on 2/18/20

STAFF SUMMARY

2020 FEB 13 PM 3:06

Council Meeting of: 2/18/2020

ITEM NO.

Joni Keith

Prepared By

Joni Keith

Administration

Department

Tom X. Lazio

Department Head

AGENDA TITLE: Authorize the resumption of Mayoral duties by Tom X. Lazio as a result of the hiring of the new City Administrator Philip Rath effective February 17, 2020.

PURPOSE: Authorize the resumption of Mayoral duties by Tom X. Lazio.

RECOMMENDATION: Authorize Tom X. Lazio as Mayor.

DISCUSSION: Upon the hiring of the new City Administrator Philip Rath on February 17, 2020, Tom X. Lazio will step down as the Acting City Administrator and will resume his duties as Mayor.

Item No. B.-1.

OTTUMWA CITY COUNCIL MINUTES

SPECIAL MEETING NO. 5
Council Chambers, City Hall

January 25, 2020
8:30 O'Clock A.M.

The meeting convened at 8:30 A.M.

Present were Council Member Meyers, Berg, Roe, Stevens and Mayor Pro Tem Dalbey.
Also present were Stephen L. Niebur and William Moulder, consultants with Moulder & Associates, LLC and Elizabeth Hansen of Midwest Municipal Consulting.

Meyers moved, seconded by Roe to approve the agenda as presented. All ayes.

William Moulder, Moulder & Associates search firm, stated there will be a Community Panel and a Staff Group conducting interviews simultaneously with the Council with each candidate rotating from room to room. Each group will assign a chairperson who will report to Council at the end of the interview sessions on how they believe each candidate will impact the City, what positive attributes they see in each candidate, and what challenges could be foreseen. Groups were asked not to rank the candidates.

William Moulder noted the first thing the candidate will do is prepare a short presentation for Council as if s/he were addressing citizens at a council mtg. on a project or event where s/he played an important role.

Joseph Gaa began his interview session with his presentation to Council. This was to demonstrate to Council the candidate's presentation skills and was based on a subject chosen by the candidate. Following the presentation, Council members asked Mr. Gaa questions from the list.

The meeting recessed at 9:16 A.M.

The meeting reconvened at 10:20 A.M.

Philip Rath began his interview session with his presentation to Council. This was to demonstrate to Council the candidate's presentation skills and was based on a subject chosen by the candidate. Following the presentation, Council members asked Mr. Rath questions from the list.

The meeting recessed at 11:04 A.M.

The meeting reconvened at 11:30 A.M.

William Moulder explained Council will now hear from the two other interview panels on their impressions of the candidates by listing a few pros and cons for each.

Marlene Sprouse and Scott Hallgren represented the community panel.

Mr. Rath – Pros: invested with his family being a part of the community, service driven, focus on services and resources for the community, familiar with city government and responsibilities of the job, coming from a main street community. Cons: extreme learning curve -coming from a smaller city/community.

Mr. Gaa – Pros: has the experience because he is coming from a community the same size as Ottumwa, familiar with southern Iowa, very knowledgeable of the job. Cons: question of movement rapidly from one place to the next, is he ambitious wanting to move up or is he not committed to where he is at, not quite as much experience in his interactions with people.

Kala Mulder, Finance Dir. represented the Staff Group.

Mr. Rath – Pros: family, community involvement, will be here for longer time. Cons: less experience with a community our size.

Mr. Gaa – Pros: Financial TIF understanding – how it works with city and other community partners, his ability to bridge the gap between council and staff. Cons: career minded and longevity of serving the city of Ottumwa.

There being no further discussion, Mayor Pro Tem called the meeting to recess at 11:42 A.M. Council will reconvene in Room 108 for closed session proceedings.

SPECIAL MEETING NO. 5
Room 108, City Hall

January 25, 2020
12:09 O'Clock P.M.

The meeting reconvened at 12:09 P.M.

Present were Council Member Meyers, Berg, Roe, Stevens and Mayor Pro Tem Dalbey.

Roe moved, seconded by Berg to enter into closed session in accordance with Iowa Code Section 21.5 I.i. ("To evaluate the professional competency of an individual whose appointment, hiring, performance, or discharge is being considered when necessary to prevent needless and irreparable injury to that individual's reputation and that individual requests a closed session.") for the purpose of conducting City Administrator interviews. All ayes.

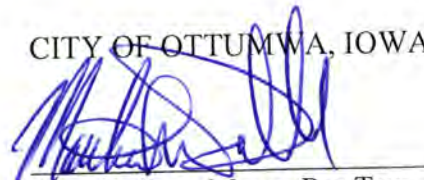
The meeting entered closed session at 12:11 P.M.

Meyers moved, seconded by Stevens to return to open session to receive comments and discuss making an offer to a City Administrator candidate at the next regularly scheduled City Council meeting, or later pending acceptance of offer. All ayes.

There being no further business, Berg moved, seconded by Roe that the meeting adjourn. All ayes.

Adjournment was at 1:30 P.M.

CITY OF OTTUMWA, IOWA



Matt Dalbey, Mayor Pro Tem

ATTEST:



Christina Reinhard

Christina Reinhard, City Clerk

OTTUMWA CITY COUNCIL MINUTES

SPECIAL MEETING NO. 6
Council Chambers, City Hall

January 28, 2020
5:30 O'Clock P.M.

The meeting convened at 5:31 P.M.

Present were Council Member Roe, Stevens, Meyers and Mayor Pro Tem Dalbey.
Council Member Berg was absent.

Roe moved, seconded by Meyers to approve the agenda as presented. All ayes.

Mayor Pro Tem Dalbey inquired if there was anyone from the audience who wished to address an item on the agenda. There were none.

PW Dir. Seals and Veenstra & Kimm, Inc. VP, Ted Payseur provided Work Session update for CSO, Phase VIII, Div. I Project, phasing, letting and funding and review of sewer rates and funding for CSO, Phase VIII, Division II sewer separation, funding options, time and impact on existing sewer rates.

Blake's Branch Sewer Separation is Phase 8 of our continued CSO work that began in 2004. The City of Ottumwa has successfully completed the first 7 phases and negotiated with IDNR to complete all phases within a 25 year compliance schedule.

Public hearing to approve Plans & Specs on 2/18/2020; bid opening 3/11/2020 and anticipated awarding of project on 3/17/2020.

Total costs for Phase 8, Div. 1 will be around \$12 Million. The first division will utilize local monies (TIF, LOST) but future divisions will be SRF funding. Will continue looking for block grants dedicated to CSO. Originally, Phase 8 was to be completed by 2025, but will not be completed in its entirety until 2031.

The City of Ottumwa will need to submit an Intended Use Plan to apply for SRF funds which are similar to a line of credit (if you don't use it, you don't have to pay back). This needs submitted by March 2 to get in line for the funds. This application submission starts a 9 month period to get approval through environmental channels.

We are making progress on the compliance schedule – wanted to accomplish load reduction and flow reduction to the river.

We will bring individual streets that need addressed to council for approval. Some we will not know the condition of until we break ground to start construction.

Questions – how will the City finance this large of a project, is there any way to bring in new monies/revenue to help offset some of this cost?

Without utilizing LOST funding, average sewer rates in 2031 could be up to \$93.07.

Storm water utilities – for every \$500,000 of new monies, this can impact the rates by 1 or 1.5%. This is when we need to look at being equitable/fair and start charging per living unit and not strictly by

meter. If council's direction is to move forward with this calculation, the City would need to modify its current ordinance and communicate this change now to all who will be affected. We will also need to develop an appropriate credit system/calculation for storm water.

Consensus of council members is to make the transition to "per living unit" for the metering system and propose an 8% increase in rates over the next 3 years. Would like to revisit after two years to see if the 8% increase is still warranted for year three or if rates can be adjusted.

Acting City Admin. Lazio extended the invitation for any/all City Council members to attend Washington, D.C., from March 8-11, 2020, to meet with legislators to make Ottumwa's case heard. Legislators are passing CSO Infrastructure bills along with stringent EPA requirements that are not easily funded for cities like Ottumwa or smaller size. Cities can't afford all of the changes with their current financial constraints.

Mayor Pro Tem Dalbey inquired if anyone from the audience wished to address an item not on the agenda. There were none.

There being no further business, Roe moved, seconded by Meyers that the meeting adjourn. All ayes.

Adjournment was at 6:16 P.M.

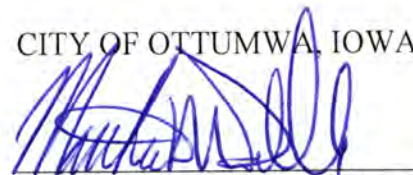
ATTEST:



Christina Reinhard

Christina Reinhard, City Clerk

CITY OF OTTUMWA, IOWA



Matt Dalbey, Mayor Pro Tem

OTTUMWA CITY COUNCIL MINUTES

REGULAR MEETING NO. 7
Council Chambers, City Hall

February 4, 2020
5:30 O'Clock P.M.

The meeting convened at 5:30 P.M.

Present were Council Member Roe, Stevens, Meyers, Berg and Mayor Pro Tem Dalbey.

Meyers moved, seconded by Roe to approve the following consent agenda: Mins. from Special Mtg. No. 3 on Jan 14, 2020, Regular Mtg. No. 4 on Jan. 21, 2020 and Special Mtg. No. 5-A on Jan. 24, 2020 as presented; Approve the rebuild/repair of one of the Airport Station Pumps (Flygt Model 3171) from Electric Pump in Des Moines, IA for the quoted price of \$10,708 for WPCF; Res. No. 12-2020, authorizing the destruction of certain records according to the Code of IA 2015, as amended, and the IA Municipal Records Retention Manual; Res. No. 26-2020, setting March 17, 2020 as the date of a public hearing on the disposition of City owned property located at 319 W. Fifth St.; Beer and/or liquor applications for: Cedar Creek Golf Course, 13120 Angle Rd. with outdoor service area; Hy-Vee Wine & Spirits, 2453 N. Court; Albia Rd. BP, 1340 Albia Rd.; North Court BP, 1301 N. Court; Pennsylvania & Jefferson BP, 1147 N. Jefferson; West Second & McPherson BP, 1049 W. Second; all applications pending final inspections. All ayes.

Roe moved, seconded by Stevens to approve the agenda as presented. All ayes.

Interim City Admin. Lazio referenced a trip to DC March 8-11 to meet with legislators for further discussion on sewer separation project. Those attending will be Lazio, Rath, PW Dir. Seals, Council Members Roe and Meyers.

Reminder to council to turn in your goal sheets. These will help Mr. Rath as he begins as our City Admin.

Mayor Pro Tem inquired if there was anyone from the audience who wished to address an item on the agenda. There were none.

Roe moved, seconded by Berg to approve the recommendation and authorize the Mayor Pro Tem to sign the CLG National Register Nomination Evaluation Rpt. form for eligibility of Agassiz School located at 608 E. Williams in Ottumwa, IA for the Nation Register of Historic Places. All ayes.

Meyers moved, seconded by Roe to accept the bid and award the contract for asbestos removal and demolition and disposal of the structures located at 119 N. Ward to Weston McKee of Fairfield, IA, in the amount of \$16,600. Dir. of Hlth. Insp. & Planning Flanagan reported six bids were received. All ayes.

Roe moved, seconded by Berg to approve the collective bargaining agt. between the City of Ottumwa, IA and the Ottumwa Assoc. of Professional Firefighters, Local 395, International Assoc. of Firefighters (AFL-CIO-CIC), representing City Fire Dept. employees, effective July 1, 2020 through June 30, 2024, and authorize the Mayor Pro Tem to sign the agt. City Attorney/HR Mgr. Keith reported the city negotiated a four-yr. agt. with the first yr. wage increase 3.25%, following 3 yrs. at 2% each yr. In addition, there were minor language changes. This proposal has been ratified by the Union membership. All ayes.

Meyers moved, seconded by Berg to approve the collective bargaining agt. between the City of Ottumwa, IA and the Teamsters Local 238, representing Public Works, Parks, Airport and Cemetery employees, effective July 1, 2020 through June 30, 2024 and authorize the Mayor Pro Tem to sign the agt. City

Attorney/HR Mgr. Keith reported other than items prohibited by current State Law, such as deduction for Union Dues, Health Insurance and Retirement, all language provisions and benefits stay the same. Those prohibited items, such as Health Insurance, Retirement and transfer provisions, will be placed in the City's personnel policies which will be revised and implemented before this contract begins. This is a four-yr. contract with 2% per year increases across the board on base salaries. The Union membership has approved the contract. All ayes.

Berg moved, seconded by Stevens to approve the collective bargaining agt. between the City of Ottumwa, IA and the Teamsters Local 238, representing Municipal employees, effective July 1, 2020 through June 30, 2024 and authorize the Mayor to sign the agt. City Attorney/HR Mgr. Keith reported other than items prohibited by current State Law, such as deduction for Union Dues, Health Insurance and Retirement, all language provisions and benefits stay the same. Those prohibited items, such as Health Insurance, Retirement and transfer provisions, will be placed in the City's personnel policies which will be revised and implemented before this contract begins. This is a four-yr. contract with 2% per year increases across the board on base salaries. The Union membership has approved the contract. All ayes.

This was the time, place and date set for a public hearing on the sale of City owned property located at 102 N. Ransom in the City of Ottumwa, Wapello County, IA. Dir. of Hlth. Insp. & Planning Flanagan reported six bids were opened on Jan. 28, 2020. No objections were received. Meyers moved, seconded by Roe to close the public hearing. All ayes.

Roe moved, seconded by Meyers that Res. No. 7-2020, accepting the bid and approving the sale of Lot 51 in Block 6 in R. S. Smith's Third Addition to Pickwick, now in the City of Ottumwa Wapello County, IA also known as 102 N. Ransom to Garret Crosby for the sum of \$10,500, be passed and adopted. All ayes.

This was the time, place and date set for a public hearing to approve FY21 Maximum Property Tax Dollars. Fin. Dir. Mulder reported IA law requires the City Council to hold a public hearing on the proposed maximum property tax dollars before adopting the City Budget. The rate of \$19.37 is the max levy for affected property taxes for the City of Ottumwa. This rate does not include levies for Debt Service of 3.55, Support of Public Library of \$.27 or SSMIDs of \$1.00, 2.00 and 3.00 for total Property Taxes levied as \$23.137. We hope to be lower than this rate when certifying the budget. No objections were received. Berg moved, seconded by Stevens to close the public hearing. All ayes.

Roe moved, seconded by Meyers that Res. No. 14-2020, approving the maximum property tax dollars for Fiscal Year 2021, be passed and adopted. All ayes.

This was the time, place and date set for a public hearing approving the plans, specifications, form of contract and estimated cost for the 2020 Ottumwa St. Reconstruction Project. PW Dir. Seals reported bids will be opened Feb. 25, 2020. Engineer's Opinion of cost \$223,600. TWG Development (Washington Apartments developer) has contributed \$45,000 for reconstruction and Ottumwa Water Works will reimburse the City for the cost of water main installation (\$68,500). Funding sources will be CIP and ESRP. No objections were received. Meyers moved, seconded by Berg to close the public hearing. All ayes.

Roe moved, seconded by Berg that Res. No. 20-2020, approving the plans, specifications, form of contract and estimated cost for the 2020 Ottumwa St. Reconstruction Project, be passed and adopted. All ayes.

Meyers moved, seconded by Berg that Res. No. 16-2020, authorizing the Mayor Pro Tem to execute an Agt. with the IA DOT to maintain the Milner St. Multi-Use Trail be passed and adopted. PW Dir. Seals

reported the City in conjunction with Wapello County Trails successfully secured a TAP grant to install a multi-use trail/safe route to school on Milner St. from Mary north to Richmond. This agt. outlines funding match requirements 80/20, design standard requirements and maintenance obligations requirements. The city will be required to maintain the improvement for a twenty year period from date of completion. All ayes.

Roe moved, seconded by Berg that Res. No. 21-2020, approve Change Order No. 1 and accepting the work as final and complete and approving the final pay request to Christy Construction in the amount of \$1,343.30 for the 2019 RFP#2 – Benton Brick Sewer Repair Project, be passed and adopted. PW Dir. Seals reported change order No. 1 increased the contract by \$944, bringing the total amount to \$8,930. All ayes.

Meyers moved, seconded by Roe that Res. No. 23-2020, authorizing the City to enter into a Bond and Disclosure Counsel Engagement Agt. with Ahlers & Cooney, P.C. for the proposed Issuance of bonds, notes, or other obligations and Authorize the Dir. of Finance to sign same, be passed and adopted. All ayes.

Roe moved, seconded by Stevens that Res. No. 24-2020, set Feb. 18, 2020 as a date for a public hearing on the proposition to authorize a Loan Agt. and the issuance of not to exceed \$19,000,000 Gen. Obligation and Refunding Cap. Loan Notes of the City of Ottumwa, State of IA, for essential corporate purposes and providing for publication of notice thereof, be passed and adopted. Dir. of Fin. Mulder reported that a public hearing is required by law prior to the issuance of G.O. Debt. These bonds will be used to pay costs associated with the Div. I CSO project. All ayes.

Meyers moved, seconded by Roe that Res. No. 25-2020, authorize the hiring of Philip Rath as the City Admin. effective Feb. 17, 2020 and authorize Mayor Pro Tem to sign the Employment Agt. on behalf of the City, be passed and adopted. City Attorney/HR Mgr. reported Mr. Rath's starting salary will be \$125,000 for the first of a two-yr. agt. The City Council and Mr. Rath will develop a set of five goals for Mr. Rath to complete within six months. If he is successful, the salary will increase by \$6,000. Thereafter, five additional goals will be developed and mutually agreed to, resulting in an additional \$6,000 increase at the end of his first yr. of employment, which will bring him to a wage of \$137,000. Thereafter, the wage will be negotiated. All ayes.


Mayor Pro Tem Dalbey inquired if anyone from the audience wished to address an item not on the agenda. There were none.

Interim City Admin. Lazio reported Make Ottumwa Shine Committee will meet Feb. 12, 2020.

There being no further business, Berg moved, seconded by Stevens that the meeting adjourn. All ayes.

Adjournment was at 6:17 P.M.

ATTEST:


Christina Reinhard, City Clerk

CITY OF OTTUMWA, IOWA


Matt Dalbey, Mayor Pro Tem

REPORT DATE 01/31/2020
 SYSTEM DATE 02/10/2020
 FILES ID 0

CITY OF OTTUMWA
 STATEMENT OF CHANGES IN CASH BALANCE
 AS OF 01/31/2020

Item No. **B.-2** ¹
 PAGE 14/39:14
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ACCOUNT NUMBER	ACCOUNT DESCRIPTION	BEG. PERIOD BALANCE	CASH DEBITS	CASH CREDITS	END PERIOD BALANCE	OUTSTANDING CHECKS	TREASURY BALANCE
TOTALS FOR FUND 001	GENERAL OPER	3134457.97	925612.76	1160527.97	2899542.76	46596.95	2946139.71
TOTALS FOR FUND 002	PARKING RAMP	35702.19	88.00	2061.81	33728.38	295.00	34023.38
TOTALS FOR FUND 110	ROAD USE TAX	6213262.47	439478.03	337835.30	6314905.20	24684.28	6339589.48
TOTALS FOR FUND 112	EMPLOYEE BEN	586236.79	54370.93	475743.00	164864.72		164864.72
TOTALS FOR FUND 119	EMERGENCY FU		1227.00	1227.00			
TOTALS FOR FUND 121	SALES TAX 1%	1768528.50	272760.85	26723.00	2014566.35		2014566.35
TOTALS FOR FUND 122	SSMID DISTRI						
TOTALS FOR FUND 123	AGASSI TIF D						
TOTALS FOR FUND 124	VOGEL URBAN						
TOTALS FOR FUND 125	WESTGATE TIF	171017.27	3494.13		174511.40		174511.40
TOTALS FOR FUND 126	AIRPORT TIF	46162.68	62.50		46225.18		46225.18
TOTALS FOR FUND 127	PENNSYLVANIA						
TOTALS FOR FUND 128	WILDWOOD HWY	58788.31			58788.31		58788.31
TOTALS FOR FUND 129	RISK MANAGEM	1160544.68	25769.31	31227.15	1155086.84	567.26	1155654.10
TOTALS FOR FUND 131	AIRPORT FUND	139665.27	33496.58	43362.53	129799.32	157.80	129957.12
TOTALS FOR FUND 133	LIBRARY FUND	313902.72	24287.01	64660.85	273528.88	2816.56	276345.44
TOTALS FOR FUND 135	CEMETERY FUN	-41744.12	12694.58	20236.30	-49285.84	937.35	-48348.49
TOTALS FOR FUND 137	HAZ-MAT FUND	121753.84	22814.04	6647.83	137920.05	108.00	138028.05
TOTALS FOR FUND 141	2018 UPPER S	-33884.95	41813.07		7928.12		7928.12
TOTALS FOR FUND 142	HOAP/HILP ES						
TOTALS FOR FUND 143	EPA BROWNFIE						
TOTALS FOR FUND 144	2013 CDBG HO						
TOTALS FOR FUND 145	DOWNTOWN REV						
TOTALS FOR FUND 146	DOWNTOWN STR	1528777.07	485023.41	301763.87	1712036.61	4563.20	1716599.81
TOTALS FOR FUND 147	CDBG P-2 MAS	17628.32			17628.32		17628.32
TOTALS FOR FUND 148	2016 OWW CDB						
TOTALS FOR FUND 151	OTHER BOND P	774389.37	815.00	32597.13	742607.24	10345.00	752952.24
TOTALS FOR FUND 167	FIRE BEQUEST	17427.93	510.00		17937.93		17937.93
TOTALS FOR FUND 169	START UP FUN						
TOTALS FOR FUND 171	RETIREE HEAL	1018628.11	86898.00	128337.91	977188.20	97.92	977286.12
TOTALS FOR FUND 173	LIBRARY BEQU	148831.81	1666.13	5162.18	145335.76	663.75	145999.51
TOTALS FOR FUND 174	COMMUNITY DE	131825.28	50.00		131875.28		131875.28
TOTALS FOR FUND 175	POLICE BEQUE	70472.48	9765.30	1299.00	78938.78	1272.89	80211.67
TOTALS FOR FUND 177	HISTORIC PRE	1674.64			1674.64		1674.64
TOTALS FOR FUND 200	DEBT SERVICE	1811133.98	18123.38		1829257.36		1829257.36
TOTALS FOR FUND 301	STREET PROJE	658203.02	98645.85	40950.95	715897.92	21084.00	736981.92
TOTALS FOR FUND 303	AIRPORT PROJ	-20568.44	292191.00	233195.86	38426.70		38426.70
TOTALS FOR FUND 307	SIDEWALK & C	57406.89		2137.66	55269.23		55269.23
TOTALS FOR FUND 309	PARK PROJECT	309667.56		71251.01	238416.55		238416.55
TOTALS FOR FUND 311	LEVEE PROJEC	182405.29			182405.29		182405.29
TOTALS FOR FUND 313	EVENT CENTER	50656.69	3769.67	4662.20	49764.16	3634.84	53399.00
TOTALS FOR FUND 315	SEWER CONSTR	1819730.07		210800.77	1608929.30	71301.91	1680231.21
TOTALS FOR FUND 320	WEST END FLO						
TOTALS FOR FUND 501	CEMETERY MEM						
TOTALS FOR FUND 503	CEMETERY PER		701.00	701.00		701.00	701.00
TOTALS FOR FUND 610	SEWER UTILIT	2208945.26	649456.11	487644.54	2370756.83	25806.56	2396563.39
TOTALS FOR FUND 611	SEWER SINKIN	736022.00	106837.00		842859.00		842859.00
TOTALS FOR FUND 612	STORM WATER						
TOTALS FOR FUND 613	SEWER IMPROV	2800002.00	41667.00		2841669.00		2841669.00
TOTALS FOR FUND 670	LANDFILL FUN	2243460.91	132583.97	245665.93	2130378.95	103336.49	2233715.44
TOTALS FOR FUND 671	LANDFILL RES	1114976.00			1114976.00		1114976.00
TOTALS FOR FUND 673	RECYCLING	88249.14	48127.60	50923.17	85453.57	16525.42	101978.99
TOTALS FOR FUND 690	TRANSIT FUND	689654.53	135500.49	89096.71	736058.31	5172.80	741231.11
TOTALS FOR FUND 695	1015 TRANSIT						
TOTALS FOR FUND 720	BRIDGEVIEW E	25334.16			25334.16		25334.16
TOTALS FOR FUND 750	GOLF COURSE	15663.62			15663.62		15663.62
TOTALS FOR FUND 810	POOLED INVES	-35947842.95	3673111.92	3000000.00	-35274731.03		-35274731.03
TOTALS FOR FUND 820	PAYROLL CLEA	318241.28	1104888.43	1316663.02	106466.69	126046.44	232513.13
TOTALS FOR FUND 840	EQUIPMENT PU	1520640.78			1520640.78		1520640.78
TOTALS FOR FUND 860	GROUP HEALTH	4000797.98	325955.16	290350.57	4036402.57	4278.93	4040681.50
TOTALS FOR ALL LISTED FUNDS		2066828.40	9074255.21	8683456.22	2457627.39	470994.35	2928621.74

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CITY OF OTTUMWA
STATEMENT OF CHANGES IN CASH BALANCE
AS OF 01/31/2020

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SUMMARY PAGE INFORMATION

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END OF REPORT

Vendor #	Vendor Name	Check Number	Check Date	Amount	Reason
00002	A+ SERVICES	205154	01/24/2020	600.00	OPERATING SUPPLIES
00198	ABC PEST CONTROL INC.	205067	01/17/2020	42.95	BLDG MAINT & REPAIR
00304	ABUNDANT PLAYSAPES INC.A	204981	01/10/2020	630.00	TRAINING
00320	ACCO	205222	01/31/2020	79.70	OPERATING SUPPLIES
00460	ACTERRA GROUP, INC.	204982	01/10/2020	953.52	GROUNDING SUPPLIES
00679	ADVANTAGE ADMINISTRATORS	205068	01/17/2020	2,715.60	OTHER PROF SERV
00681	APLAC	204983	01/10/2020	2,178.92	APLAC DEDUCTION PAYABLE
00688	APSCME/IA COUNCIL 61	205155	01/24/2020	208.35	LIBRARY UNION DUES PAYABLE
00800	AHLERS & COONEY P.C.	205069	01/17/2020	3,579.00	LEGAL FEES
00855	AIRGAS USA LLC	204909	01/03/2020	305.81	OPERATING SUPPLIES
01297	NOAH ALJETS	205156	01/24/2020	16.00	TRAVEL & CONFERENCE
01401	ALLENDER BUTZKE ENGINEERS	205070	01/17/2020	7,600.00	MISCELLANEOUS
01700	ALLIANT ENERGY/IPL	204984	01/10/2020	83,019.19	ELECTRIC
02080	ALTORFER INC.	204912	01/03/2020	3,432.23	VHCL MTCE SUPPLIES
03595	AMERICAN PUBLIC WRKS ASSC	205224	01/31/2020	700.00	DUES & MEMBERSHIPS
03641	AMERICAN TRAFFIC SAFETY	205072	01/17/2020	731.25	STREET MAINT SUPPLIES
03647	AMERICINN OF OTTUMWA	204986	01/10/2020	201.38	TRAVEL & CONFERENCE
05116	ARMARK	205073	01/17/2020	464.07	JANITORIAL
05124	ARCHANGEL SERVICES, LLC	204913	01/03/2020	3,100.00	MISC CONTRACT WORK
05370	AREA XV REGIONAL PLANNING	205074	01/17/2020	5,432.71	CONTRACTUAL SERVICES
05450A	ARNOLD MOTOR SUPPLY, LLP	205225	01/31/2020	21.96	OPERATING SUPPLIES
05581	ASCAP	205226	01/31/2020	363.00	DUES & MEMBERSHIPS
05668	A-TEC RECYCLING INC	205227	01/31/2020	954.17	HAZARDOUS WASTE DISPOSAL
05700	ATOMIC TERMITTE & PEST	204987	01/10/2020	185.00	GROUNDING MAINT & REPAIR
06012	BMI	205075	01/17/2020	364.00	DUES & MEMBERSHIPS
06481	BALLEY OFFICE OUTPITTERS	204989	01/10/2020	2,547.72	OFFICE SUPPLIES
07884	BELLA VISTA REAL ESTATE L	204914	01/03/2020	1,000.00	CONTRACTUAL SERVICES
08482	CHRISTOPHER BETTIN	205158	01/24/2020	160.58	TRAVEL & CONFERENCE
09360	BLACK'S TIRE COMPANY LLC	204990	01/10/2020	166.15	VHCL MTCE SUPPLIES
09522	BLUEMARK BC & BS OF IOWA	205159	01/24/2020	22,529.80	MEDICARE PREMIUMS
09528	BLUESTARP CREDIT SERVICES	205076	01/17/2020	59.99	OPERATING SUPPLIES
11310	BRENTWOOD INDUSTRIES INC	205077	01/17/2020	2,289.24	CAPITAL IMPROVEMENTS
11496	BRIDGE CITY SANITATION LL	205078	01/17/2020	140,241.95	OTHER PROF SERV
11506	BRIDGE VIEW CENTER	205230	01/31/2020	3,515.81	MISCELLANEOUS
12500	BUB'S TREE CARE	204991	01/10/2020	12,850.00	TREE TRIMMING
13589	CBM GOVERNMENT	204916	01/03/2020	2,004.18	SMALL OFFICE EQUIP
13609	CIT	205162	01/24/2020	281.95	PHOTOCOPIES
13646	CALHOUN-BURNS & ASSOC INC	205232	01/31/2020	4,362.40	ENGINEERING
14315	CAPITAL CITY BOILER &	204917	01/03/2020	415.97	OPERATING SUPPLIES
15760	CARROLL CONSTRUCTION SUPP	204992	01/10/2020	85.00	SEWER/DRAINAGE SUPPLIES
16265	CENTER POINT LARGE PRINT	205080	01/17/2020	85.08	LIBRARY MAT.-JAMES ESTATE
16300	CENTRAL IOWA FASTENERS	205163	01/24/2020	228.18	MISCELLANEOUS
16312	CENTRAL SALT LLC	205224	01/31/2020	15,105.13	STREET MAINT SUPPLIES
16402	CENTURYLINK	204919	01/03/2020	7,655.63	TELEPHONE/IT
16403	CENTURYLINK	205083	01/17/2020	179.90	TELEPHONE/IT
17520	CHRISTY CONSTRUCTION CO	205166	01/24/2020	7,586.70	MISCELLANEOUS
17620	CINTAS CORPORATION	205237	01/31/2020	133.36	SUSTENANCE SUPPLIES
17825	CITY OF OTTUMWA, CEMETERY	205167	01/24/2020	235.65	BLDG MAINT & REPAIR
18373	THE CLEAN SWEEP	205240	01/31/2020	1,513.00	OFFICE/SHOP SAVINGS ACCT
18379	CLEMONS INC OF OTTUMWA	205241	01/31/2020	56.40	OPERATING SUPPLIES
18383	CLIA LABORATORY PROGRAM	204920	01/03/2020	592.72	VHCL MTCE SUPPLIES
18502	CLUB SENTRY SOFTWARE	204921	01/03/2020	180.00	OTHER PROF SERV
18980	COLLECTION SERVICES	205168	01/24/2020	32.95	TECHNOLOGY SERVICES
				3,797.44	CHILD SUPPORT PAYABLE

Vendor #	Vendor Name	Check Number	Check Date	Amount	Reason
20329	RICHARD OR KRIS CONLEY	204922	01/03/2020	380.00	JANITORIAL
21398	COUNTRY INN BY CARLSON	205242	01/31/2020	221.76	TRAINING
21670	MIKE CRAFF	205086	01/17/2020	8.00	TRAVEL & CONFERENCE
21818	CREATIVE FORMS & CONCEPTS	205087	01/17/2020	106.44	OFFICE SUPPLIES
21825	CREDIT UNION	204997	01/10/2020	39,728.54	CREDIT UNION PAYABLE
21842	CRESCENT ELECTRIC SUP CO	204923	01/03/2020	11.58	OPERATING SUPPLIES
22457	DC CONCRETE & CONST. LLC	205120	01/24/2020	38,048.39	UTILITY SYSTEMS
22469	DJ CLEANING COMPANY	204924	01/03/2020	1,900.00	JANITORIAL
22473	DMACC	205243	01/31/2020	500.00	TRAINING
22479	D P PLUMBING PLUS	205088	01/17/2020	1,560.05	EQUIP REPAIR
22608	DANI'S AUTO SUPPLY LLC	204927	01/03/2020	3,400.56	VHCL MTCR SUPPLIES
23032	JO ANNE DAVIS	205089	01/17/2020	27.06	OPERATING SUPPLIES
23981	DENEFFE,GARDNER & ZINGG,PC	205121	01/24/2020	337.50	SUSTENANCE SUPPLIES
24325	DEMCO, INC	205172	01/24/2020	291.68	OPERATING SUPPLIES
24330	DERANS TOWING SERVICE	204998	01/10/2020	170.00	STRATE TOWING/STORAGE FEES
24341	KYLE DERONDE	205244	01/31/2020	8.00	TRAVEL & CONFERENCE
25390	R. D. DRENKOW & CO INC	205173	01/24/2020	4,616.46	R.D. DRENKOW/FLEX PAY
25587A	DURHAM SCHOOL SERVICES	205000	01/10/2020	361.46	OPERATING SUPPLIES
25593	DXP ENTERPRISES, INC.	205001	01/10/2020	716.27	VHCL MTCR SUPPLIES
26580A	EBSCO INFORMATION SERVICE	205174	01/24/2020	26.40	LIBRARY MAT.-JAMES ESTATE
26640	ECOSYSTEMS INC	205090	01/17/2020	15,759.00	SLUDGE HAULING
27005	ELECTRIC PUMP, INC.	205246	01/31/2020	501.00	OPERATING SUPPLIES
27010	ELECTRICAL ENGINEERING &	204930	01/03/2020	2,719.53	STREET MAINT SUPPLIES
27272	ELLIOTT BULK SERVICES LLC	205002	01/10/2020	12,170.77	VHCL-FUEL
27280	ELLIOTT OIL COMPANY	205091	01/17/2020	22,542.31	VHCL-FUEL
27787	ENVIRONMENTAL RESOURCES	205247	01/31/2020	141.30	LAB SUPPLIES
27789	ENVIRONMENTAL EDGE	205004	01/10/2020	28,670.00	CONTRACTUAL SERVICES
27796	EOR IOWA, LLC	205092	01/17/2020	5,700.00	MISCELLANEOUS
28208A	EUPROFINS TESTAMERICA	205005	01/10/2020	3,925.42	LAB SUPPLIES
28416	EVIDENT INC.	205250	01/31/2020	61.50	OFFICE SUPPLIES
29285	CHAD FARRINGTON	204932	01/03/2020	8.00	TRAINING
29300	PASTENAL COMPANY	205006	01/10/2020	100.32	OFFICE SUPPLIES
29630	SONJA FERRELLI	205094	01/17/2020	800.00	TRAINING
29829	FIDELITY SECURITY LIFE	205252	01/31/2020	2,995.69	AVESIS PAYABLE
30119	FIRESTONE COMPLETE AUTO C	205007	01/10/2020	1,023.76	VHCL MTCR SUPPLIES
30120	FIRE SERVICE TRAINING	204933	01/03/2020	395.00	TRAVEL & CONFERENCE
30148	FIREMANS ASSC	205177	01/24/2020	1,801.20	FIRE UNION DUES PAYABLE
30560	FISHER SCIENTIFIC	204934	01/03/2020	1,006.40	LAB SUPPLIES
30748	BRAULIO FLORES	204935	01/10/2020	8.00	TRAVEL & CONFERENCE
31028	FOUDREE GRAPHICS & SIGNS	205008	01/10/2020	648.25	VHCL MTCR SUPPLIES
31459	GRP & ASSOCIATES	205097	01/17/2020	52.00	HAZARDOUS WASTE DISPOSAL
31682	GALLS LLC-DBA CARPENTER	205009	01/10/2020	227.93	SUSTENANCE SUPPLIES
31797	GARDEN & ASSOCIATES LTD	205253	01/31/2020	14,089.95	OTHER PROF SERV
33635	GREAT WESTERN SUPPLY CO	205010	01/10/2020	587.59	JANITORIAL
33648	GREATER OTTUMWA PARTNERS	205254	01/31/2020	240.00	DUES & MEMBERSHIPS
34659	HAINES AUTO SUPPLY, INC.	204937	01/03/2020	51.33	VHCL MTCR SUPPLIES
34900	HAMILTON PRODUCE COMPANY	205011	01/10/2020	2,065.52	NATURAL/PROPANE GAS
34924	JOHN HANKS	205255	01/31/2020	32.00	TRAINING
34966	HARDY DIAGNOSTICS	205098	01/17/2020	162.93	LAB SUPPLIES
36083	HAWKEYE TRUCK EQUIPMENT	205012	01/10/2020	769.50	VHCL MTCR SUPPLIES
36302	HEARTLAND HUMANE SOCIETY	205099	01/17/2020	565.00	OTHER PROF SERV
37476	HILL PRODUCTIONS & MEDIA	205013	01/10/2020	99.00	ADVERT/LEGAL PUBL
38610	HOTSY CLEANING SYSTEMS	205014	01/10/2020	826.75	OPERATING SUPPLIES
39438	HY-VEE ACCOUNTS RECEIVABL	205100	01/17/2020	192.00	MISCELLANEOUS

Vendor #	Vendor Name	Check Number	Check Date	Amount	Reason
40155	IDEXX DISTRIBUTION INC	205101	01/17/2020	1,238.89	LAB SUPPLIES
41480	ICMA RETIREMENT TRUST 457	205179	01/24/2020	3,967.52	ICMA DEF COMP PAYABLE
41505	IMWCA	205180	01/24/2020	23,571.61	WORKMENS COMPENSATION
41920A	INDUSTRIAL CHEMICAL	204938	01/03/2020	93.00	BUILDING MAINTENANCE REPA
41925	INDUSTRIAL MEDICINE	205258	01/31/2020	228.00	EMPLOYEE PHYSICALS/TESTS
42090	INFOMAX OPF SYSTEMS INC	205259	01/31/2020	2,080.60	PHOTOCOPIES
42160	INGRAM LIBRARY SERVICES	205182	01/24/2020	2,043.29	LIBRARY MAT.-JAMES ESTATE
43264	INTERSTATE ALL BATTERY CE	205261	01/31/2020	137.28	TOOLS & SMALL EQUIP
43265	INTERSTATE BATTERY	205018	01/10/2020	610.75	VHCL MTCE SUPPLIES
43275	INTERSTATE INDUS. SERVICE	204940	01/03/2020	219.00	OPERATING SUPPLIES
43290	IANU	204941	01/03/2020	975.00	TRAINING
43295D	IA ASSOC PRO FIRE CHIEFS	205102	01/17/2020	120.00	DUES & MEMBERSHIPS
43460	IOWA COMM ASSURANCE POOL	205262	01/31/2020	7,500.00	INSURANCE CLAIMS
43492	IOWA D.A.R.E. ASSOCIATION	205183	01/24/2020	300.00	OPERATING SUPPLIES
43498	IOWA DEPT OF JUSTICE	205263	01/31/2020	395.43	OPERATING SUPPLIES
43504	IOWA DNR	205184	01/24/2020	19,712.70	SOLID WASTE FEES PAYABLE
43543	IOWA DEPT OF PUBLIC SAFET	205104	01/17/2020	4,869.00	RENTS & LEASES
43547	IOWA DIVISION OF LABOR	204942	01/03/2020	40.00	PERMITS
43785A	IOWA HAZMAT TASK FORCE	205105	01/17/2020	100.00	DUES & MEMBERSHIPS
43880A	IA LAW ENFORCEMENT ACADEM	205185	01/24/2020	675.00	OTHER PROF SERV
43999	IOWA ONE CALL	205264	01/31/2020	79.20	TELEPHONE/IT
44014	IOWA PARKS AND RECREATION	204944	01/03/2020	300.00	TRAINING
44037	IOWA PRISON INDUSTRIES	205106	01/17/2020	930.90	STREET MAINT SUPPLIES
44039	IOWA PUBLIC AIRPORTS ASSC	205107	01/17/2020	175.00	DUES & MEMBERSHIPS
44259	IOWA TIRE SALES COMPANY	205019	01/10/2020	3,678.00	VHCL MTCE SUPPLIES
44704	IMORO SYSTEMS INC	205265	01/31/2020	10,000.00	TECHNOLOGY SERVICES
45044	JEO CONSULTING GROUP, INC	205266	01/31/2020	11,557.25	MISCELLANEOUS
45057	J & J MOWING	205020	01/10/2020	928.43	CONTRACTUAL SERVICES
45221	J & S ELECTRONIC BUSINESS	205187	01/24/2020	795.00	SMALL OFFICE EQUIP
45256	RON JACOBSEN	205021	01/10/2020	59.99	LAB SUPPLIES
45974	JOHN DEERE FINANCIAL	205188	01/24/2020	2,102.85	OPERATING SUPPLIES
46179	JACOB JOHNSON	205108	01/17/2020	1,000.00	CONTRACTUAL SERVICES
46201	JOHNSON CONTROLS FIRE	205300	01/31/2020	3,634.84	GROUND MAINT & REPAIR
46694	JONES CONTRACTING CORP	205189	01/24/2020	65,209.00	CONTRACTUAL SERVICES
47521	K.M. KING, INC.	205109	01/17/2020	10,170.00	CAPITAL IMPROVEMENTS
48003	BILL KEITH	204945	01/03/2020	8.00	TRAVEL & CONFERENCE
48769	KEYSTONE LABORATORIES INC	204946	01/03/2020	187.20	ENGINEERING
48829	KIMA ENTERPRISES	205267	01/31/2020	217.98	TOOLS & SMALL EQUIP
49041	ALLYSON KIRKING	205110	01/17/2020	16.96	TRAVEL & CONFERENCE
49042	KIRKHAM MICHAEL	205111	01/17/2020	55,765.41	ENGINEERING
49206	KLODT DOOR SERVICE LLC	205022	01/10/2020	620.30	GROUND MAINT & REPAIR
49500	KNIGHTS OF COLUMBUS	205190	01/24/2020	100.00	PROGRAM SUPPLIES
49675	JOSHUA KOBES	205112	01/17/2020	150.00	SUSTENANCE SUPPLIES
49687	KOHL WHOLESAL	205023	01/10/2020	390.68	OPERATING SUPPLIES
49815	KIMBERLY K. KRUSEMARK	204949	01/03/2020	1,000.00	CONTRACTUAL SERVICES
51969	LIBERTY TIRE SERVICES LLC	205113	01/17/2020	2,296.33	TIRE DISPOSAL
52254	LISCO	205114	01/17/2020	270.00	TECHNOLOGY SERVICES
54181	MAHER PLUMBING & HEATING	205191	01/24/2020	127.50	OPERATING SUPPLIES
54396	MANAVTS INC.	205024	01/10/2020	183,230.45	INFRASTRUCTURE
54551	MARKET ON MAIN OTTUMWA LL	205268	01/31/2020	211.25	REFUNDS
55311	MASSMUTUAL RETIREMENT SER	205192	01/24/2020	500.00	HARTFORD DEF COMP PAYABLE
56642	MCGOWEN, HURST, CLARK &	205026	01/10/2020	3,000.00	OTHER PROF SERV
57195	MCMMASTER-CARR	205115	01/17/2020	406.61	OPERATING SUPPLIES
57385	MEWARDS	205029	01/10/2020	1,853.73	BUILDING MAINTENANCE REPA

Vendor #	Vendor Name	Check Number	Check Date	Amount	Reason
57387	MED-TECH RESOURCE INC.	205030	01/10/2020	103.19	TOOLS & SMALL EQUIP
57388	MENKE PROFESSIONAL AUTO P	204951	01/03/2020	489.67	VHCL MTCB SUPPLIES
57518	SWMETRA LIFE INSURANCE CO	205269	01/31/2020	4,376.85	GROUP LIFE PREMIUMS
58500	MIDAMERICAN ENERGY CO	205032	01/10/2020	2,062.93	NATURAL GAS
58555	MID-IOWA SOLID WASTE	205270	01/31/2020	712.02	VHCL MTCB SUPPLIES
59382	MIDWEST TAPE	205033	01/10/2020	256.55	LIBRARY MAT.-JAMES ESTATE
60089	JOSH MILLER	205119	01/17/2020	144.44	SUSTENANCE SUPPLIES
60097	TONY MILLER	205120	01/17/2020	16.00	TRAVEL & CONFERENCE
60278	MSA SAFETY SALES LLC	204952	01/03/2020	336.30	OPERATING SUPPLIES
60560	MITCHELL & SONS HVAC INC	205272	01/31/2020	910.00	GROUPS MAINT & REPAIR
61097	MONGAN PAINTING CO.,INC.	205195	01/24/2020	48,628.36	CAPITAL IMPROVEMENTS
61682	ANDREW MORRIS	204953	01/03/2020	2,165.00	GROUP HEALTH INSURANCE
61785	MOTION INDUSTRIES	204954	01/03/2020	3,370.97	OPERATING SUPPLIES
62368	MOULDER AND ASSOCIATES LL	205034	01/10/2020	3,833.33	OTHER PROF SERV
62560	MUNICIPAL CODE CORP	205123	01/17/2020	625.00	ADVERT/LEGAL PUBL
62575	MUNICIPAL FIRE & POLICE	204955	01/03/2020	253,264.93	FIRE RETIREMENT
62580	MUNICIPAL PIPE TOOL CO LL	205124	01/17/2020	236.53	VHCL MTCB SUPPLIES
62629	WILL MUNLEY	204956	01/03/2020	8.00	TRAVEL & CONFERENCE
63032	NCL OF WISCONSIN INC	205035	01/10/2020	511.02	LAB SUPPLIES
64400	NATIONWIDE RETIREMENT SOL	205197	01/24/2020	3,290.00	NRS-NATION RETIRE SOL
65489	NICHOLS EQUIPMENT LLC	204957	01/03/2020	3,112.00	RENTS & LEASES
66001	NORRIS ASPHALT PAVING INC	205125	01/17/2020	2,345.15	STREET MAINT SUPPLIES
66485	NSI LAB SOLUTIONS	205037	01/10/2020	383.00	VHCL MTCB SUPPLIES
66497B	NUCARA HOME MEDICAL	204958	01/03/2020	24.00	SUSTENANCE SUPPLIES
66561	OFFICIAL PEST CONTROL	205038	01/10/2020	55.00	SUSTENANCE SUPPLIES
66730	OHARA HARDWARE	205275	01/31/2020	1,002.76	TOOLS & SMALL EQUIP
67098	O'REILLY AUTOMOTIVE	204961	01/03/2020	721.17	VHCL MTCB SUPPLIES
67101	OTC BRANDS INC	205126	01/17/2020	892.15	PROGRAM SUPPLIES
67520	OSKALOOSA HERALD	205199	01/24/2020	143.88	LIBRARY MAT.-JAMES ESTATE
67759	OTTUMWA COMMUNITY SCHOOLS	205127	01/17/2020	849.96	PHOTOCOPIES
68000	OTTUMWA COURIER	205039	01/10/2020	614.66	ADVERT/LEGAL PUBL
68001	OTTUMWA COURIER	205040	01/10/2020	184.08	LIBRARY MAT.-JAMES ESTATE
68239	OTTUMWA GLASS	205201	01/24/2020	419.00	RAMP MAINT & REPAIR
68556	OTTUMWA NAPA	204963	01/03/2020	1,722.40	VHCL MTCB SUPPLIES
68560	OTTUMWA PRINTING, INC.	205042	01/10/2020	151.00	PRINTING
69040	OTTUMWA WATER AND HYDRO	204964	01/03/2020	11,051.99	BILLING FEES-WW
69688	DIXIE L PARKER	205129	01/17/2020	1,400.00	JANITORIAL
70610	PAYMENT REMITTANCE CENTER	205204	01/24/2020	7,127.35	LIBRARY MAT.-JAMES ESTATE
70614	PAYROLL FORMS COM	205130	01/17/2020	42.95	OPERATING SUPPLIES
71595	PETTY CASH/LANDFILL	205278	01/31/2020	4.10	POSTAGE & SHIPPING
72035	PIPESTONE VET CLINIC OF	205044	01/10/2020	131.00	OTHER PROF SERV
72258	PIXEL & PEN EXPRESSIONARY	205131	01/17/2020	150.27	OTHER PROF SERV
72561	PLUMB SUPPLY COMPANY-OT	205045	01/10/2020	103.72	CONTRACTUAL SERVICES
72986	PORTZEN CONSTRUCTION INC	205046	01/10/2020	288,670.71	CONTRACTUAL SERVICES
73926	PRODUCTIVITY PLUS ACCOUNT	204965	01/03/2020	403.70	VHCL MTCB SUPPLIES
73927	PRODUCTIVITY PLUS ACCOUNT	205047	01/10/2020	194.43	VHCL MTCB SUPPLIES
73960	PROFESSIONAL COMPUTER	205048	01/10/2020	99.99	TECHNOLOGY SERVICES
74260	PURCHASE POWER	205132	01/17/2020	478.25	POSTAGE & SHIPPING
74626	QUALITY SERVICES CORP	205049	01/10/2020	133.89	VHCL MTCB SUPPLIES
74955	RACOM CORPORATION	205133	01/17/2020	821.50	TECHNOLOGY SERVICES
75901	RECORDED BOOKS INC	205279	01/31/2020	3,572.41	CONTRACTUAL SERVICES
76321A	RELIANT FIRE APPARATUS, IN	205135	01/17/2020	887.45	VHCL MTCB SUPPLIES
77180	RICHARD LEVI RITZ	205205	01/24/2020	264.00	TRAVEL & CONFERENCE
77200	ROAD MACHINERY & SUPPLIES	205136	01/17/2020	17,534.00	OTHER CAPITAL EQUIP

Vendor #	Vendor Name	Check Number	Check Date	Amount	Reason
77965	ROTARY CLUB OF OTTUMWA	205206	01/24/2020	165.00	DUES & MEMBERSHIPS
78080	ROYAL BRASS & HOSE	204967	01/03/2020	1,322.05	VHCL MTCB SUPPLIES
78105	ROYAL PORTABLE TOILETS	205280	01/31/2020	97.20	SANITATION
78350	SADLER POWER TRAIN	205281	01/31/2020	105.48	VHCL MTCB SUPPLIES
78351	SAFE FLEET LAW ENFORCEMENT	205282	01/31/2020	4,161.00	TECHNOLOGY SERVICES
78708	JOHN SANDEGREN HEATING	204968	01/03/2020	300.00	OPERATING SUPPLIES
79358	SCHUMACHER ELEVATOR CO	205137	01/17/2020	484.18	BLDG MAINT & REPAIR
81360	SHERWIN WILLIAMS	205050	01/10/2020	110.87	STREET MAINT SUPPLIES
81507	SHERED-IT USA	205138	01/17/2020	37.80	OTHER PROF SERV
82175	SIRCHIE	205207	01/24/2020	417.10	TOOLS & SMALL EQUIP
83100A	SNAP-ON-TOOLS	205051	01/10/2020	20.25	TOOLS & SMALL EQUIP
83146	SNYDER CONSTRUCTION	205284	01/31/2020	93,859.30	OTHER CAPITAL EQUIP
83440	SO OTTUMWA SAVINGS BANK	205220	01/27/2020	3,000,000.00	SOSB/CD
83880	SOUTHERN IOWA DIESEL, INC	205052	01/10/2020	50.79	VHCL MTCB SUPPLIES
83920	SOUTHERN IOWA ELECTRIC	205139	01/17/2020	82.20	ELECTRIC
85262	STATE HYGIENIC LABORATORY	205140	01/17/2020	27.00	CONTRACTUAL SERVICES
85289	JORDAN STATON	205208	01/24/2020	244.00	TRAVEL & CONFERENCE
86704	SUMMIT FIRE PROTECTION CO	205141	01/17/2020	887.00	VHCL MTCB SUPPLIES
86706	SUMMIT COMPANIES	205221	01/27/2020	5,031.00	OTHER CAPITAL EQUIP
86970	SUPREME STAMPING INC	205053	01/10/2020	9,193.52	CONTRACTUAL SERVICES
86977	SURFACE SEALERS INC	205142	01/17/2020	7,960.00	CAPITAL IMPROVEMENTS
88000	TEAMSTER LOCAL UNION 238	205210	01/24/2020	3,133.12	PUBLIC WKS UNION DUE PAYA
88697	THUMBS UP GIFTS & AWARDS	205211	01/24/2020	140.00	OPERATING SUPPLIES
88858	TIFCO INDUSTRIES	204969	01/03/2020	585.19	VHCL MTCB SUPPLIES
89090	TOTAL CHOICE SHIPPING	205143	01/17/2020	14.95	POSTAGE & SHIPPING
89213	TRADEBE ENVIRONMENTAL	205285	01/31/2020	9,474.25	HAZARDOUS WASTE DISPOSAL
89462A	TREASURER STATE OF IOWA	205054	01/10/2020	2,030.00	SALES TAX PAYABLE
90454	ULINE	205286	01/31/2020	124.76	OFFICE SUPPLIES
90846	UPS	205055	01/10/2020	208.54	POSTAGE & SHIPPING
90885	UNITYPOINT CLINIC	205056	01/10/2020	126.00	EMPLOYEE PHYSICALS/TESTS
91500	UNIVERSITY OF LOUISVILLE	205215	01/24/2020	1,590.00	TRAINING
91835	USA BLUE BOOK	205057	01/10/2020	2,971.04	LAB SUPPLIES
92555	THE VAN METER COMPANY	205059	01/10/2020	2,339.84	OPERATING SUPPLIES
92640	VAUGHN AUTOMOTIVE	204972	01/03/2020	247.76	VHCL MTCB SUPPLIES
92648	VERENSTRA & KIMM INC	205290	01/31/2020	125,550.37	MISCELLANEOUS
92679	VERIZON WIRELESS	205148	01/17/2020	2,023.64	TELEPHONE/IT
94000	WALMART COMMUNITY/SYNCH	205216	01/24/2020	960.92	OFFICE SUPPLIES
94001	WALMART COMMUNITY/SYNCH	205301	01/31/2020	456.23	OPERATING SUPPLIES
94125	WAPPELO COUNTY	205292	01/31/2020	6,875.00	DRUG TASK FORCE GRANT
94704	WAPPELO COUNTY RECORDER	204975	01/03/2020	44.00	RECORDING & COURT FEES
94720	WAPPELO COUNTY SHERIFF	205061	01/10/2020	10,224.12	NATURAL GAS
94721	WAPPELO CO SHERIFF'S OFFI	205293	01/31/2020	6,725.54	DRUG TASK FORCE GRANT
95000	WAPPELO COUNTY UNITED WAY	205217	01/24/2020	70.00	UNITED WAY DED PAYABLE
95120	WAPPELO RURAL WATER ASSC	205062	01/10/2020	54.69	WATER
95368	WAYNE'S TIRE	205294	01/31/2020	1,228.56	VHCL MTCB SUPPLIES
95611	WELLMARK BC & BS OF IOWA	205151	01/17/2020	366,397.23	GROUP HEALTH CLAIMS
95800	GARY (VINCE) WILCOXSON	204978	01/03/2020	8.00	TRAVEL & CONFERENCE
97305	WINDSTREAM	204979	01/03/2020	978.80	TELEPHONE/IT
97320	WINGER COMPANIES	205296	01/31/2020	11,992.16	CAPITAL IMPROVEMENTS
97321	WINGER SERVICE	205297	01/31/2020	1,734.97	EQUIP REPAIR
97334	WINN CORP	205064	01/10/2020	11,091.73	STREET MAINT SUPPLIES
97577	WOODRIVER ENERGY LLC	205065	01/10/2020	9,712.59	NATURAL GAS
97583	WOODRUFF CONSTRUCTION	205066	01/10/2020	1,729.15	MISCELLANEOUS
98319	DEVIN YEAGER	205299	01/31/2020	8.00	TRAVEL & CONFERENCE

Vendor # Vendor Name Check Number Check Date Amount Reason

TOTAL NUMBER OF CHECKS 554 WRITTEN TO 270 VENDORS FOR

5,367,411.62

Treasurer St. of IA.
US Treasury
IPERS

42,831.00 *St. W/H*
 65,765.99 *P/E tax*
 159,366.04 *Fed/Ret W/H*
 82,073.20 *W/H*
 48,135.40 *Q-ty Share*

\$ 5,744,583.30

2020 FEB 10 PM 1:59

CITY OF OTTUMWA

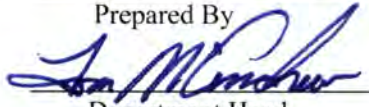
CITY OF OTTUMWA Staff Summary

**** ACTION ITEM ****

Council Meeting of : Feb 18, 2020

Police
Department

Mary Lou Donaldson

Prepared By

Department Head


City Administrator Approval

AGENDA TITLE: Consideration of Drug Task Force Byrne-JAG Grant.

Public hearing required if this box is checked.

The Proof of Publication for each Public Hearing must be attached to this Staff Summary. If the Proof of Publication is not attached, the item will not be placed on the agenda.

RECOMMENDATION: To approve the submission via the internet and authorize the Mayor and Chief of Police to sign all related documents.

DISCUSSION: The Ottumwa Police Department is the grant administrator for the Southeast Iowa Inter-Agency Drug Task Force which includes funding for two Ottumwa Police Department officers, one Wapello County Deputy, and the Drug Task Force prosecutor. This will be the 31st year this grant has provided funding for the Drug Task Force.

The City is responsible for the required 25% match for the two officer positions. Due to the decrease in forfeiture funds, the City of Ottumwa and Wapello County will provide funding to make up the difference not covered by the Byrne/JAG Grant. The funding has been included in the 2020/2021

Source of Funds: 001-112-6010

Budgeted Item: Budget Amendment Needed: No

budget. Additional Task Force expenses will be financed by assessments made to to other Task Force member agencies. The Southeast Iowa Inter-Agency Drug Task Force includes the counties of Davis, Keokuk, Van Buren, and Wapello.



Application

343042 - SFY 2021 Byrne JAG/RSAT - Continuation Applicants

343286 - Southeast Iowa Inter-Agency Drug Task Force

Byrne-Justice Assistance Grant Program (JAG)

Status: Editing Submitted Date:

Applicant Information**Project Officer**

AnA User Id mary.donaldson@iowaid
 First Name* Mary Lou Donaldson
First Name Middle Name Last Name
 Title: Secretary
 Email:* donaldsonm@ci.ottumwa.ia.us
 Address:* 330 W. Second St.

City* Ottumwa Iowa 52501
City State/Province Postal Code/Zip

Phone:* 641-683-0636
Phone Ext.

Program Area of Interest* ODCP
 Fax:

Organization Information

Organization Name* Ottumwa, City of
 Organization Type* City Government
 DUNS: 07-349-0542
 Organization Website:
 Address: 105 East Third Street

Phone: Ottumwa Iowa 52501-2904
City State/Province Postal Code/Zip

Phone: 641-683-0600
Ext.
 Fax:

Minority Impact Statement**Question # 1**

1. The proposed grant programs or policies could have a disproportionate or unique **POSITIVE IMPACT** on minority persons. * No

If YES, describe the positive impact expected from this project

Indicate the group(s) positively impacted.

Question # 2

2. The proposed grant project programs or policies could have a disproportionate or unique **NEGATIVE IMPACT** on minority persons. * No

If YES, describe the negative impact expected from this project.

If YES, present the rationale for the existence of the proposed program or policy.

If YES, provide evidence of consultation with representatives of the minority groups impacted.

Indicate the group(s) negatively impacted.

Question # 3

3. The proposed grant project programs or policies are NOT EXPECTED TO HAVE A DISPROPORTIONATE OR UNIQUE IMPACT on minority persons. *

No

If YES, present the rationale for determining no impact.

Certification

I hereby certify that the information on this form is complete and accurate, to the best of my knowledge. *

Yes

Name of Person Submitting Certification. *

Tom McAndrew

Title of Person Submitting Certification*

Chief of Police

Cover Sheet-General Information**Authorized Official**

Name*

Tom X. Lazio

Title*

Mayor

Organization*

City of Ottumwa

If you are an individual, please provide your First and Last Name.

Address*

105 E. Third Street

City/State/Zip*

Ottumwa

Iowa

52501

City

State

Zip

Telephone Number*

641-683-0636

E-Mail*

bellj@ci.ottumwa.ia.us

Fiscal Officer/Agent

Please enter the "Fiscal Officer" for your Organization.

If you are an individual, please provide your First and Last Name.

Name*

Mary Lou Donaldson

Title

Secretary

Organization

City of Ottumwa

Address

330 West Second Street

City/State/Zip

Ottumwa

Iowa

52501

City

State

Zip

Telephone Number

641-683-0636

E-Mail

donaldsonm@ci.ottumwa.ia.us

County(ies) Participating, Involved, or Affected by this Proposal*

Davis County, Keokuk County, Van Buren County, Wapello County

Congressional District(s) Involved or Affected by this Proposal*

2nd - Rep David Loebsack (D)

Congressional Map

Iowa Senate District(s) Involved or Affected by this Proposal*

39, 40, 41

District Map

Iowa House District(s) Involved or Affected by this Proposal*

78, 80, 81, 82

District Map

Application Type

This is an application for a
Continuation Project: *

Yes

If yes please continue. If no, see the instructions above.

Legal Applicant

Legal Applicant - Agency*

City of Ottumwa
Unit of government making application

Legal Applicant - Name & Title*

Tom X. Lazio
Name

Mayor
Title

Project Director

Project Director*

Jason
First Name

Bell
Last Name

Street*

330 West Second Street

Street

City/State/Zip Code*

Ottumwa
City

Iowa
State

52501
Zip Code

Phone*

641-683-0636
Enter 10 digit number - no special characters

e-mail*

bellj@ci.ottumwa.ia.us

Program Area

Program Area*

Law Enforcement Programs

Descriptive Title of the Project*

Southeast Iowa Inter-Agency Drug Task Force

Level of Government

Indicate the Applicant Agency level
of government.*

Municipal

Drug Enforcement Task Force Grantees (Internal Use Only)

Application Information & Instructions

General Grant Program Information and Instructions are available as an Adobe Acrobat (pdf) file in this solicitation. (See Attachments - Innovation Application Instructions 2021.pdf)
Application instructions are also available on the Office of Drug Control Policy website <https://odcp.iowa.gov>.

Grantees are strongly encouraged to review this information. Failure to comply with grant requirements may result in disqualification of your application. Contact Dennis Wiggins with questions 515-725-0311 or dennis.wiggins@iowa.gov

General Grant Program Information
and Instructions have been
received and reviewed. *

Yes

Personnel

Position/Title & Employing Agency	Match Share	Federal Share	Total Fed & Match	Percentage	Priority
Unit Supervisor, Ottumwa Police Department	\$19,453.98	\$58,361.94	\$77,815.92	0.25	1
Investigator, Ottumwa Police Department	\$16,090.62	\$48,271.86	\$64,362.48	0.25	2
Investigator, Wapello County Sheriff's Office	\$13,722.80	\$41,168.40	\$54,891.20	0.25	3
Prosecuting Attorney, Wapello County Attorney's Office	\$14,025.00	\$42,075.00	\$56,100.00	0.25	4
	\$63,292.40	\$189,877.20	\$253,169.60		

Fringe Benefits

Position/Title & Employing Agency	Match Share	Federal Share	Total Federal & Match	Percentage	Priority
Unit Supervisor, Ottumwa Police Department	\$11,270.31	\$33,810.94	\$45,081.25	0.25	5
Investigator, Ottumwa Police Department	\$10,317.48	\$30,952.41	\$41,269.89	0.25	6
Investigator, Wapello County Sheriff's Office	\$6,944.02	\$20,832.01	\$27,776.03	0.25	7
Prosecuting Attorney, Wapello County Attorney's Office	\$4,503.95	\$13,511.85	\$18,015.80	0.25	8
	\$33,035.76	\$99,107.21	\$132,142.97		

Overtime

Position/Title & Employing Agency	Match Share	Federal Share	Total Federal & Match	Percentage	Priority
	\$0.00	\$0.00	\$0.00		

Equipment

Item	Match Share	Federal Share	Total Federal & Match	Percentage	Priority
	\$0.00	\$0.00	\$0.00		

Training

Training	Match Share	Federal Share	Total Federal & Match	Percentage	Priority
	\$0.00	\$0.00	\$0.00		

Operating Expenses

Expense	Match Share	Federal Share	Total Federal & Match	Percentage	Priority
	\$0.00	\$0.00	\$0.00		

Consultant Fees

Name of Consultant	Service Provided	Match Share	Federal Share	Total Federal & Match	Percentage	Priority
		\$0.00	\$0.00	\$0.00		

Consultant Expenses

Item	Location	Match Share	Federal Share	Total Federal & Match	Percentage Priority
		\$0.00	\$0.00	\$0.00	

Contracts

Item	Match Share	Federal Share	Total Federal & Match	Percentage Priority
	\$0.00	\$0.00	\$0.00	

Travel

Purpose of Travel	Location	Item	Match Share	Federal Share	Total Federal & Match	Percentage Priority
			\$0.00	\$0.00	\$0.00	

Total Budget

This amount represents the total amount requested.

Total Requested Amount: \$288,984.41

Cash Match

Describe in detail the source of cash match for this project (example: general fund, asset forfeiture, donations, etc.). Provide sufficient information to determine that your cash match is from an eligible source as identified in the "Grant Application Instructions" The minimum match requirement is 25% of total project costs.

Please note that forfeitures should not be used to match salary/benefits expenses unless that expenditure has been specifically approved by the Iowa Attorney General's office (state forfeitures) or the U.S. Attorney's Office (federal forfeitures).

Source of Cash Match.* Funds from a Local unit of government that have a binding commitment of matching funds

Describe the level and timing of cash match contribution into the project. The 25% match for personnel and benefits will be paid by the employee's home agency's. The Unit Supervisor and one Investigator-OPD match will be paid from the City of Ottumwa's General Fund. The second Investigator-WCSO and the Prosecuting Attorney match will be paid by Wapello County. Funding for these positions has been approved.

Personnel

Personnel

Costs should be consistent with agency written policies, compensation should be reasonable and consistent with that paid for similar work in other comparable agencies

List by Position Title. Base salary rate and other paid compensation need to be listed separately. Include any formulas used. Also include percent of time involved in this project

Overtime should be included as a separate line item in the Overtime section below.

Unit Supervisor: This person is employed by the Ottumwa Police Department and is assigned to the Task Force on a full-time basis. 2184 hrs. @ \$35.65 = \$77,815.92 x 100%.

Investigator: This person is employed by the Ottumwa Police Department and is assigned to the Task Force on a full-time basis. 2184 hrs. @ \$29.47 = \$64,362.48 x 100%.

Investigator: This person is employed by the Wapello County Sheriff's Office and is assigned to the Task Force on a full-time basis. 2080 hrs. @ \$26.39 = \$54,891.20 x 100%.

Prosecuting Attorney: This person is employed by the Wapello County Attorney's Office and is assigned to the Task Force on a full-time basis. Annual salary = \$56,100.00 x 100%.

Personnel Benefits

Benefits	Position/Title	Employing Agency	% to project	FICA	Retirement	Workers Comp	Unemployment	Match Share	Federal Share	Total
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				Insurance - Health, Dental, Life							
Position #1	Unit Supervisor	Ottumwa Police Department	100.0%	\$1,128.33	\$23,502.90	\$19,695.21	\$754.81	\$0.00	\$11,270.31	\$33,810.94	\$45,081.25
Position #2	Investigator	Ottumwa Police Department	100.0%	\$933.26	\$23,422.17	\$16,290.14	\$624.32	\$0.00	\$10,317.48	\$30,952.41	\$41,269.89
Position #3	Investigator	Wapello County Sheriff's Office	100.0%	\$4,171.73	\$18,327.06	\$5,214.66	\$62.58	\$0.00	\$6,944.02	\$20,832.01	\$27,776.03
Position #4	Prosecuting Attorney	Wapello County Attorney's Office	100.0%	\$4,263.60	\$8,474.15	\$5,273.40	\$4.65	\$0.00	\$4,503.95	\$13,511.85	\$18,015.80
Position #5			0%	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Position #6			0%	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Position #7			0%	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Position #8			0%	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Position #9			0%	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Position #10			0%	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Position #11			0%	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Position #12			0%	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Position #13			0%	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Position #14			0%	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Position #15			0%	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Totals								\$33,035.76	\$99,107.21	\$132,142.97	

OT

Overtime

Describe how OT will be utilized, list over time rate(s) and the number of overtime hours to be worked.

No funding is being requested for overtime.

Equipment

Equipment

Type of equipment, quantity and unit price. Purpose of purchase and percent of time involved in project, and staff who will use the equipment. (Definition of Equipment = item with a value of \$5,000 and a life expectancy of 1 year or more - if an item does not meet this definition include it in the Operating Expenses line item.) Use procedures consistent with agency's written procurement policies.

No funding is being requested for equipment.

Training

Training

Registration fees and tuition only. Course title, purpose, location, positions attending the training, sponsor of the training. Each out of state training event requires prior approval from ODCP. Travel related to training should be justified in the travel section.

No funding is being requested for training.

Operating Expenses

Operating Expenses

Supplies, printing, utilities (telephone, gas, electricity), rent, (square footage and cost per square foot) indirect costs. Relate costs to project activities. Itemize all expenses. Miscellaneous expenses will not be funded.

No funding is being requested for operating expenses.

Contract Services

Contract Services

Individual consultants or service organizations. Specify purpose of contract and itemize all consultant fees, consultant expenses, and contracts. Legal applicant shall follow the same policies and procedures used for competitive bid and procurement from its non-federal funds. Contract services require ODCP approval.

No funding is being requested for contract services.

Travel

Travel

Transportation and subsistence of project personnel for project related travel and travel for training events. Out of state travel requires prior approval from ODCP. Use your agency travel policies. Include contractor/consultant travel in Contract Services/Consultant Expenses.

No funding is being requested for travel.

Other Project Support

Project Title	Funding Source	Amount of Funding
Southeast Iowa Inter-Agency Drug Task Force	Member assessment, Davis County	\$2,000.00
Southeast Iowa Inter-Agency Drug Task Force	Member assessment, Keokuk County	\$2,000.00
Southeast Iowa Inter-Agency Drug Task Force	Member assessment, Van Buren County	\$2,000.00
Southeast Iowa Inter-Agency Drug Task Force	Member assessment, City of Sigourney	\$1,000.00

Expenditure Status

What Percent of your current grant award has been expended through December 31st.* 85.0%

If less than 50% expended, provide explanation and plan for expenditure prior to June 30th.

Sustainability

Please describe your project's sustainability plan in the absence of federal funding.

Sustainability* Member agencies of the Southeast Iowa Inter-Agency Drug Task Force are required to pay annual dues based on the size of the agency. In the absence of Federal funding, the Task Force would have to re-evaluate and substantially increase the annual membership amounts paid. Increasing membership dues could have an adverse affect on the membership as a majority of our member agencies are small departments with limited funds and resources available.

In the absence of Federal funding, Task Force personnel would need to be funded in full by their respective departments. In addition, operations would have to be scaled back to a sustainable level. Both the City of Ottumwa and Wapello County understand the need for the Task Force and providing funding; however, they are like many cities and counties dealing with rising costs and less revenue coming in. The City and the County have agreed to fund the Task Force for the upcoming budget year.

The Task Force will continue to seek other sources of funding, including soliciting donations and applying for local grants.

Prior Funding

List Byrne/JAG or RSAT grant funds previously received to fund the project described in this application

Byrne-JAG/RSAT Funding SFY20*	\$105,000.00
Byrne-JAG/RSAT Funding SFY19	\$105,450.00
Byrne-JAG/RSAT Funding SFY18	\$105,450.00

Abstract

Provide a descriptive summary of the need/problem to be addressed. Emphasis should be on data which describes the nature and extent of the problem and its impact on the target to be served by the proposed project.*

Maximum of 3,000 characters

Since the formation of the Southeast Iowa Inter-Agency Drug Task Force in 1990, Task Force personnel have continued to see an increase in the problems associated with methamphetamine distribution and use. Intelligence gathered and investigations conducted show that record amounts of methamphetamine are coming into our community directly from Mexico and Southern California. Methamphetamine remains our "problem drug" that needs to be addressed. Methamphetamine is still responsible for most cases of violence, child abuse/neglect, property crimes and drug overdoses occurring in our community.

Statistics from the Department of Human Services confirm that drug abuse remains a major problem in our community. DHS reported in 2018 that our Task Force area had a 311% increase in the number of confirmed Dangerous Substances in the presence of a child cases from the previous year. According to the 2018 Iowa Kids Count report, the state average for child abuse and neglect was 15.8 cases per 1,000 children. Wapello County, our most populated county (35,321), had 23.5 cases per 1,000 children. DHS also reported a 6% increase in the number of children with the Presence of Illegal Drugs in the Child's System (PID) from 2017 to 2018 in our Task Force area. During the reporting period, task force investigators referred 8 drug endangered children to DHS.

Experts agree that children who have experienced abuse or neglect by parents or caregivers are at a greater risk for substance abuse than other children. Experts also state that child maltreatment is associated with risk factors such as parental substance abuse and poverty. The family and home environment a child grows up in can directly influence their future and the choices they'll make.

Marijuana importation, distribution, and usage also continue to have a significant impact on our task force area. The Task Force has seen a significant increase in marijuana cases originating from outside of Iowa. These groups utilize the United States Postal Service, Amtrak, and highways to distribute high grade marijuana. The THC content in this high grade marijuana is as much as 3 times stronger than its Mexican marijuana counterpart and traffickers are charging as much as \$3,000 to \$4,000 per pound for the high grade marijuana.

The most important "need" for the continuation of the Drug Task Force is the support it provides to member agencies. A majority of our member agencies have fewer than 8 officers and cannot dedicate the personnel necessary to conduct long-term investigations, many of which extend outside their jurisdictions. These agencies lack funds, personnel, equipment, training, and resources. The Southeast Iowa Inter-Agency Drug Task Force in conjunction with Byrne-JAG funding provides this support to its member agencies in order to effectively combat the serious drug problem in our area.

Describe the key activities of the proposed project.*

Maximum of 701 characters

Key activities of the Southeast Iowa Inter-Agency Drug Task Force include the purchase of drugs utilizing confidential informants and undercover officers; investigation of methamphetamine manufacturers through surveillance, informants, and information supplied by local businesses; case preparation and court testimony; intelligence gathering and dissemination; and coordination of drug investigations with member agencies. The Drug Task Force will continue to provide a special drug prosecutor who is responsible for the prosecution of drug cases for the member agencies.

Describe the expected outcomes of the proposed project. *

Maximum of 800 characters

In an effort to integrate and facilitate multijurisdictional investigations, area cities and counties banded together to form the Southeast Iowa Inter-Agency Drug Task Force in 1990. The overall mission of the Task Force is to identify, investigate, apprehend, and prosecute drug and drug related criminal offenders in its four county area. Investigators conduct surveillance of drug distributors, execute search warrants and coordinate state and federal investigations, all of which lead to the prosecution and conviction of persons involved in illegal drug trade. Our expected outcome is to reduce the availability and demand for controlled substances through the use of the multijurisdictional task force in conjunction with its member agencies.

Goals and Objectives

Project Goal

The goal of the Task Force is to identify, investigate, apprehend, and prosecute drug and drug related criminals in a four county area. Our goal and objectives are an ongoing process.

Objective(s)

- Remove drug offenders from the streets. Persons prosecuted and convicted of drug crimes are usually forced to participate in drug treatment.
- Reduce the amount of drugs available to users through the efforts of task force personnel, through the execution of search warrants and seizures, and through the removal of drug distributors.
- Provide investigatory assistance to member agencies.
- Provide personnel necessary to conduct long-term drug investigations, many of which extend outside the member agency's jurisdiction.
- Promote the Take Back Dropbox to help keep unused medications off the streets.

1. Select Byrne JAG Performance Measures - Non Task Force Applicants

Year in which the project is currently operating with funding assistance from the Byrne JAG program.	N/A
Total number of participants receiving services during the reporting period.	0
Number of program participants who successfully completed services during the reporting period.	0
Number of participants served who unsuccessfully completed programming.	0
Number of program slots offered through the program.	0

2. Select Byrne JAG Performance Measures - Drug Task Force Applicants

Year in which the project is currently operating with funding	Second or Subsequent Year
---	---------------------------

assistance from the Byrne JAG program.		
Number of new investigations initiated	62	
Number of judicial search warrants served	0	65
	Federal	State
Number of individuals arrested for a felony	54	
Number of individuals arrested for a misdemeanor	18	
Heroin seized (grams)	20.9	
Cocaine seized (grams)	6.0	
Crack seized (grams)	0	
Marijuana - commercial grade Seized (grams)	10497.6	
Methamphetamine/Ice seized (grams)	4064.87	
Pharmaceuticals (identify grams/dosage units/pills)	Cyclobenzaprine Hydrochloride 70 du, Amphetamine & Dextroamphetamine 1 du, Clonazepam 14 du, Acetaminophen & Oxycodone Hydrochloride 1 du, Dilaudid 13 du, Alprazolam 13 du, Sulfamethoxazole & Trimethoprim 10 du, Cephalexin Monohydrate 14 du, Alprazolam 19.5 du, Traidol 11 du, Trazodone hydrochloride 3 du, Hydroxazine 1 du, Buprenorphine Hydrochloride & Naloxone Hydrochloride 1 du, Hydromorphone Hydrochloride 1 du, Acetaminophen & Hydrocodone Bitartrate 1 du, Aripiprazole 9 du, Hydrocodone 18 du, Gabapentine 2 du, Buspirone Hydrochloride 5 du, and Unknown 34.25 du.	
Firearms seized	32	
Number of defendants accepted for Federal prosecution - felony charges.	6	
Number of defendants accepted for Federal prosecution - misdemeanor charges.	0	
Number of defendants accepted for State prosecution - felony charges.	54	
Number of defendants accepted for State prosecution - misdemeanor charges.	18	
Number of criminal groups disrupted.	8	
Number of criminal groups dismantled.	2	
Clandestine laboratories seized	1	
Drug endangered children referred to DHS	8	

3. Project Evaluation - Narrative

Provide a narrative description of project performance. Include the key project activities as they relate to the need/problem described in the Summary of the Project.

Provide a narrative description of the project's impact on the community/problem. Emphasis should be on measurable outcomes/impact and supported with adequate data.*

As with performance measures, the focus of the narrative should be on the past six quarters.

Maximum of approximately 9,000 Characters (3 pages)

The Southeast Iowa Inter-Agency Drug Task Force was formed 30 years ago in order to investigate and prosecute drug traffickers in Southeast Iowa. Our task force has since evolved into an agency that investigates cases leading into other areas of the state and country. Our Task Force investigators work with members of the Iowa Division of Narcotics Enforcement on a daily basis conducting controlled purchases of narcotics, executing search warrants and preparing cases for state and federal prosecution.

In reviewing our efforts and performance indicators for the last full grant year and the first half of this grant period, it is evident that the Task Force has focused on vigorous drug enforcement and aggressive prosecution. This has had a positive impact on our area's drug problems.

The Task Force opened 62 new investigations during the reporting period. Several of these investigations led to search warrants and the seizure of firearms, currency, and drugs. The Task Force executed 65 search warrants and seized a total of 32 handguns, rifles, and shotguns.

During the reporting period, Task Force investigators performed 36 purchases of methamphetamine totaling 1,418.5 grams for \$21,070. Investigators seized an additional 2,646.3 grams of methamphetamine through other investigative means such as the execution of search warrants.

In November 2018, Task Force investigators were contacted by a member agency after a drug related stop. Officers seized 80.2 grams of ice methamphetamine and later assisted with the application and execution of a search warrant at the defendant's residence. As a result, Investigators seized an additional 12 grams of ice methamphetamine along with scales and packaging material. One subject was charged with multiple felony drug related charges and a misdemeanor charge of child endangerment after children were located at the home.

In January 2019, Task Force investigators concluded a 7 month long investigation by arranging a buy bust takedown of a subject involved in distributing pounds of ice methamphetamine in the area. Investigators arranged for the subject to deliver 1 pound of ice methamphetamine in exchange for \$8,000. The subject was approached at the predetermined location and found to be in possession of 1 pound of ice methamphetamine and a handgun. Investigators executed a search warrant on the subject's vehicle and his residence, which resulted in the seizure of an additional 274.9 grams of ice methamphetamine, 164 dosage units of ecstasy, 11.7 grams of marijuana, and over \$20,000. As a result, one subject was arrested on numerous felony drug and weapon related charges and an additional subject was arrested on misdemeanor drug related charges. The main suspect has been referred for federal prosecution.

In February 2019, Task Force investigators identified a subject involved with the distribution of large quantities of ice methamphetamine in the area. Investigators began making controlled purchases of ice methamphetamine from the source. The subject was arrested in a neighboring city and found to be in possession of a handgun and approximately \$5,000. Task Force investigators executed search warrants on the suspect's residence, a hotel room, and on his vehicle which resulted in the seizure of 4 grams of ice methamphetamine, 4 dosage units of ecstasy, 14.25 dosage units of pharmaceuticals, scales, packaging material, \$3,950 in United States currency, and 2 firearms, including an AR-15 style rifle. One subject was arrested on felony drug related charges and two subjects were arrested on outstanding warrants.

In October 2019, Task Force investigators identified an individual who was involved in the distribution of large amounts of ice methamphetamine. Investigators performed 4 controlled purchases for a total of 905 grams of ice methamphetamine for \$9,700. During the investigation, Task Force investigators were also able to identify a second and larger source. In November 2019, investigators executed a search warrant and as a result seized 1.3 pounds of ice methamphetamine, scales, packaging material, \$35,403, 1 handgun, and 1 AR Style rifle. Two subjects were arrested and indicted on Federal drug and weapons related charges.

During the reporting period, Task Force investigators made a total of 2 controlled purchases of marijuana totaling 9.5 grams for \$100. Investigators seized an additional 10,488.1 grams of marijuana through other investigative means such as the execution of search warrants. Investigators seized 24 marijuana plants and 653.9 grams of THC oil/concentrate.

In February 2019, Task Force investigators were contacted by the United States Postal Inspectors regarding a suspicious package that was confirmed to contain marijuana, marijuana concentrates, and marijuana edibles. Investigators with the Southeast Iowa Inter-Agency Drug Task Force and Iowa Division of Narcotics Enforcement performed a controlled delivery of the package. As a result of a search warrant, Investigators seized 837.15 grams of high grade marijuana, 41 grams of THC oils, 17 grams of THC wax, and 14 grams of THC edibles. One subject was arrested for felony drug related charges.

In September 2019, Task Force investigators were contacted by the United States Postal Inspectors Office in reference to a suspicious package destined to be delivered to an address in Keokuk County, Iowa. Investigators arranged for a controlled delivery of the package and obtained a search warrant. As a result, investigators seized approximately 308 grams of high-grade marijuana, 343 grams of THC waxes and THC oils / concentrates, scales, packaging material, 3 AR style rifles, 1 shotgun, and 1 handgun with a high capacity magazine. Four subjects were arrested on felony and misdemeanor drug and weapon related charges.

In addition to conducting complex drug investigations, Task Force investigators respond to calls for assistance from its member agencies. Our task force investigators are clan lab certified and respond to methamphetamine and THC extraction lab sites throughout our task force area. During the reporting period, they responded to 1 lab.

As part of our community policing effort, the Drug Task Force commander presents a program every year at the Ottumwa Police Department's Citizens Academy that provides citizens a better understanding of how the task force functions. He also speaks to local organizations regarding the drug situation in the community and surrounding area.

In an effort to combat the gang presence in the Ottumwa area, the Ottumwa Police Department instructs GREAT, the Gang Resistance and Education Program, in the local middle school. The program's primary objective is prevention. Additionally, member agencies instruct DARE, the Drug Abuse Resistance Education Program, in their school district's elementary schools. The curriculum focuses on a variety of substance abuse prevention and self-esteem topics.

In April 2016, a permanent Medication Dropbox was placed in the lobby of the Wapello County Law Center. The Dropbox provides citizens a safe and convenient way to properly dispose of expired and unneeded prescription drugs. During the reporting period, the Task Force has removed and destroyed approximately 333 lbs. of prescription medication.

Our Drug Task Force prosecutor, who is an integral part of our task force operation, has had a significant impact upon our task force area through her exceptional prosecution of task force and member agency's drug cases. During the last 18 months, 55 people were charged with felony drug offenses and 18 people were charged with misdemeanor drug offenses. A total of 144 charges were filed against these 73 individuals.

Successful prosecution of offenders forces them to participate in court ordered treatment programs, such as drug court and mental health court. Drug court is an intensive court operated rehabilitation program that focuses on drug treatment and peer group therapy for the purpose of reintegrating drug users back into society as responsible citizens. In 2019, 21 offenders participated in drug court in our judicial district. Four of those participants graduated successfully from the drug court program.

The investigative support and training the Southeast Iowa Inter-Agency Drug Task Force provides to its member agencies is crucial in reducing the availability and demand for controlled substances. Most of our members have fewer than 8 officers and cannot dedicate the personnel necessary to conduct long term investigations, many of which extend outside their jurisdictions. The Drug Task Force provides experienced, trained personnel capable of conducting complex multi-jurisdictional investigations of middle and upper level drug traffickers to its member agencies. The Southeast Iowa Inter-Agency Drug Task Force in conjunction with Byrne-JAG funding is vital for our member agencies to combat the serious drug problem in our area.

Other Attachments

FILED

City of Ottumwa
Staff Summary


2020 FEB -4 AM 8:10

CITY CLERK
OTTUMWA, IA

Council Meeting of: February 18, 2020

Item No. _____

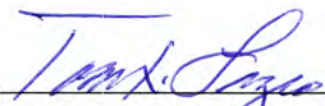
Finance Department
Department

Edward Wilson 

Prepared By

Kala Mulder 

Department Head


Interim City Administrator

Agenda Title: Authorize the purchase of Microsoft Exchange Online Plan 1 subscription license with a quantity of 160 users.

.....
Purpose: This purchase will allow us to migrate to Microsoft Exchange hosted in the Microsoft Government Community Cloud.

Recommendation: Authorize the purchase.

Discussion: We budgeted \$9,500.00 for Microsoft Hosted Exchange. We are currently using an email server that was installed approximately 10 years ago. This server is at its end of life and instead of replacing it, we will migrate to a hosted service. We purchased Office 2016 in anticipation of moving to Exchange. I received quotes from two vendors. CDW-G quoted \$6,526.40 a year and SHI quoted \$9,280.00 a year. We will accept the quote of \$6,526.40 from CDW-G.

Source of Funds 19/20 Finance Budget

Budgeted Item Yes Budget Amendment Needed No



QUOTE CONFIRMATION

DEAR EDWARD WILSON,

Thank you for considering CDW•G for your computing needs. The details of your quote are below. [Click here](#) to convert your quote to an order.

QUOTE #	QUOTE DATE	QUOTE REFERENCE	CUSTOMER #	GRAND TOTAL
LFPD072	2/3/2020	LDLW981	3809591	\$6,526.40

QUOTE DETAILS				
ITEM	QTY	CDW#	UNIT PRICE	EXT. PRICE
Microsoft Exchange Online Plan 1G - subscription license - 1 user Mfg. Part#: AAA-11624-CCD-12MO UNSPSC: 43233501 Electronic distribution - NO MEDIA Contract: Iowa NVP Software (ADSP016-130652)	160	3678998	\$40.79	\$6,526.40

PURCHASER BILLING INFO	SUBTOTAL	AMOUNT
Billing Address: CITY OF OTTUMWA ACCTS PAYABLE 105 E 3RD ST OTTUMWA, IA 52501-2999 Phone: (641) 683-0600 Payment Terms: Net 30 Days-Govt State/Local	SHIPPING	\$0.00
	SALES TAX	\$0.00
	GRAND TOTAL	\$6,526.40
	DELIVER TO Shipping Address: CITY OF OTTUMWA EDWARD WILSON 105 E 3RD ST OTTUMWA, IA 52501-2999 Phone: (641) 683-0600 Shipping Method: ELECTRONIC DISTRIBUTION	
Please remit payments to: CDW Government 75 Remittance Drive Suite 1515 Chicago, IL 60675-1515		

Need Assistance? CDW•G SALES CONTACT INFORMATION			
	Neal Zolt	(866) 843-0749	nealzol@cdwg.com

This quote is subject to CDW's Terms and Conditions of Sales and Service Projects at <http://www.cdwg.com/content/terms-conditions/product-sales.aspx>
 For more information, contact a CDW account manager
 © 2020 CDW•G LLC, 200 N. Milwaukee Avenue, Vernon Hills, IL 60061 | 800.808.4239



Pricing Proposal
Quotation #: 18402002
Created On: 2/3/2020
Valid Until: 2/28/2020

City of Ottumwa

Edward Wilson

IA
United States
Phone: 641-683-0679
Fax:
Email: wilsone@ci.ottumwa.ia.us

Inside Account Executive

David Vieira

290 Davidson Ave.
Somerset, NJ 08873
Phone: 732-652-7647
Fax: 732-507-1538
Email: David_Vieira@shi.com

All Prices are in US Dollar (USD)

Product	Qty	Your Price	Total
1 Microsoft Exchange Online Plan 1 - Subscription license (1 month) - 1 user - hosted - MPSA Microsoft - Part#: AAA-04063 Note: MPSA Agreement	160	\$58.00	\$9,280.00
		Subtotal	\$9,280.00
		Shipping	\$0.00
		Total	\$9,280.00

Additional Comments

Thank you for choosing SHI International Corp! The pricing offered on this quote proposal is valid through the expiration date set above. To ensure the best level of service, please provide End User Name, Phone Number, Email Address and applicable Contract Number when submitting a Purchase Order.

SHI International Corp. is 100% Minority Owned, Woman Owned Business.
TAX ID# 22-3009648; DUNS# 61-1429481; CCR# 61-243957G; CAGE 1HTF0

The Products offered under this proposal are resold in accordance with the [SHI Online Customer Resale Terms and Conditions](#), unless a separate resale agreement exists between SHI and the Customer.

CITY OF OTTUMWA

Staff Summary

**** ACTION ITEM ****

Council Meeting of: February 18, 2020

Ron Jacobsen

Prepared By

Public Works - WPCF

Department

Larry Seals

Department Head

Tommy Seago
City Administrator Approval

AGENDA TITLE: Approve the purchase of a flow meter for Barton's Branch lift station

**Public hearing required if this box is checked. **

The Proof of Publication for each Public Hearing must be attached to this Staff Summary. If the Proof of Publication is not attached, the item will not be placed on the agenda.

RECOMMENDATION:

Approve the purchase of an Area Velocity flowmeter system for Barton's Branch for \$5407.00 from GPM.

DISCUSSION:

This flow meter will be installed in the piping coming from Barton's Branch sewer line to the WPCF to measure the flow which is required in our permit issued by IDNR. Currently this flow cannot be calculated properly due to flows from the equalization basin, raw influent and Barton's all entering the same structure. With this type of flow meter which is inserted into the Barton's sewer line it will read only that flow.

WPCF budgeted \$60,000 for this meters in 610-8-815-6727 and the money will be paid out of it.



10633 County Road 26
 Blair, NE 68008
 Phone: 402-571-1303
 Fax: 402-502-6662

Quotation

DATE: 1/31/2020
 QUOTE #203101-01E

Please address purchase orders to **GPM**

Quotation Prepared For:

Ron Jacobsen

WPCF Supt

City of Ottumwa

2222 Emma St,

Ottumwa, IA 52501

Cell: 712-291-5247

JacobsenR@ci.ottumwa.ia.us

RE: ISCO Signature AV Flow Meter

Item	Qty	Part Number	Description	Unit Price	Total Price
1	1	684350000	Signature® Area Velocity flowmeter system. Starting price includes base meter 624300005, TIENet 350 area velocity sensor with 32.8 ft (10 m) cable, instruction manual, pocket guide, and coupon for ISCO Open Channel flowmeter Handbook. The price will change as configured options are added to meet customer specifications. Configured options may include mounting hardware, cable lengths, power, I/O, interfaces, communication, totalizer, and rain gauge connector.	\$4,300.00	\$4,300.00
2			TIENet® 350 Area Velocity sensor. 10 ft (3.0 m) measurement range with 75 ft (23 m) cable. Includes one TIENet cord grip fitting (209-0073-12) for watertight sensor cable connection to the Signature® meter. The Signature meter must have a desiccator installed for proper operation. Order 604304092 if needed. (604354114)	Add \$85.00	Add \$85.00
3	1	604304044	Power cord, 8 foot (2.5 m) long, for North America. Includes cord grip fitting (604304022).	\$28.00	\$28.00
4	1	683200009	Spring Ring for 10 inch diameter pipe	\$116.00	\$116.00
5	1	604307016	Ethernet communication module. Provides RJ45 connection to support Ethernet communications.	\$165.00	\$165.00
6	1	604307015	Battery backup kit for Signature® meter. Includes model 946 lead-acid battery, adapter cable, and mounting hardware.	\$319.00	\$319.00
7	1	SERVICE	Travel, Programming and Training	\$412.00	\$412.00

Subtotal \$5,340.00
 Freight \$67.00
TOTAL \$5,407.00

TERMS AND CONDITIONS:

1. Prices Valid 30 Days
2. NET 30
3. Delivery @2-4 weeks

4. 3% fee added when receiving Credit Card Payments for products or services

Customer Acceptance (sign below):

x _____
Accepted by: Title: Date: PO#:

If you have any questions about this price quote, please contact:
Justin Meader, P. 402-571-1303, justin@gpmweb.net

Thank You For Your Business!

CITY OF OTTUMWA

2020 FEB 13 AM 10:43
Staff Summary

**** ACTION ITEM ****

Council Meeting of: February 18, 2020

Chad Carlson

Prepared By

Traffic
Department

Larry Seals
Department Head

Larry Seals

Tom X. Regio
City Administrator Approval

AGENDA TITLE: Purchase paint from the State of Iowa for the Traffic Maintenance Department.

**Public hearing required if this box is checked. **

The Proof of Publication for each Public Hearing must be attached to this Staff Summary. If the Proof of Publication is not attached, the item will not be placed on the agenda.

RECOMMENDATION: Approve participation in the Iowa Department of Transportation's paint program.

DISCUSSION: The City purchases paint on a yearly basis from the Iowa Department of Transportation through the State Bid. By purchasing through the State, the City can get the best prices available. The City submits an estimated quantity of paint needed for the upcoming season. This purchase will be for the 2019-2020 Fiscal Year. The estimated price for the paint request is \$11,092.40.

The bidding procedure is performed by the Iowa Department of Transportation. The IDOT gathers estimated quantities statewide, then bids that total estimated paint quantity. Final prices are not known until the these bids are received. The projected prices may fluctuate by the time we are invoiced in April 2020.

For the Fiscal Year 2018/2019 we purchased 9,934.30 of paint and reflective beads.

This purchase was included and approved in the 2019/2020 budget.

Source of Funds: Road Use

Budgeted Item: Yes

Budget Amendment Needed: No



January 24, 2020

Information for the purchase of Water Base Traffic Paint and Reflectorizing Spheres.

Paint Supplier Information:

Diamond Vogel Paint
PO Box 380
Orange City, IA 51041

Reflectorizing Spheres Supplier Information:

Email: Kris.brummel@vogeltraffic.com
Phone No.: 712-737-2476

Email:
Phone No.:

Iowa DOT Contract Number: 7697

Water Base Traffic Paint

Description	Container Size	Price	DOT Stock No.	Quantity/Container
Waterborne Acrylic				
White Fast Dry	275-gallon totes/11 totes per truckload	\$7.25/gal	016-045054	Direct from MFG.
Yellow Fast Dry	275-gallon totes/11 totes per truckload	\$7.45/gal	016-047560	Direct from MFG.
White Fast Dry	55-gallon drums	\$462.35/drum	016-045057	IA DOT Warehouse
Yellow Fast Dry	55-gallon drums	\$474.91/drum	016-047557	IA DOT Warehouse
White Fast Dry	5-gallon cans	\$43.60/can	016-045053	IA DOT Warehouse
Yellow Fast Dry	5-gallon cans	\$44.80/can	016-047555	IA DOT Warehouse

92
104

Reflectorizing Spheres

Description	Container Size	Current Price	DOT Stock No.	Quantity/Container
Reflectorizing Spheres	50 lb. bags			IA DOT Warehouse
Reflectorizing Spheres	2,000 lb. totes/sling bags			Direct from MFG.
Large Reflectorizing Spheres	50 lb. bags			IA DOT Warehouse
Large Reflectorizing Spheres	2,000 lb. totes/sling bags			Direct from MFG.

140

Please type or print the following information

City/County Name: City of Ottumwa Delivery to: City of Ottumwa - Public Works
 Mailing Address: 1010 Gateway Drive Customer Number C: 641-684-2180
 City: Ottumwa Zip Code: 52501 Contact Person: Brian
 Email: _____ PO (Please Print): _____
 Authorized Person: _____ Telephone No.: (____) _____ Date: _____
 Anticipated Late Delivery Date: _____

FILE
2020 FEB 14 AM 7:26
CITY OF OTTUMWA

CITY OF OTTUMWA Staff Summary

**** ACTION ITEM ****

Council Meeting of: Feb 18, 2020

Phillip Burgmeier

Prepared By

Larry Seals *Larry Seals*

Department Head

WPCF

Department

Tom Szabo

City Administrator Approval

AGENDA TITLE: Resolution #31-2020. Approve the purchase of a Pickup 3/4 Ton 4WD Extended Cab Pickup Truck for the Water Pollution Control Facility.

****Public hearing required if this box is checked.****

The Proof of Publication for each Public Hearing must be attached to the Staff Summary. If the Proof of Publication is not attached, the item will not be placed on the agenda.

RECOMMENDATION: Pass and adopt Resolution #31-2020. Approve this purchase from Clemons Inc. of Ottumwa for the price of \$29,846.86.

DISCUSSION: The WPCF frequently hauls parts, pumps, hoses and other items in their trucks. These items would damage an unprotected truck bed. A bedliner will extend the useful life of this piece of equipment.

Resolution #58-2019 did not include the cost of a bedliner in the total price. This resolution rescinds the original resolution and adds \$500 for the spray in bedliner.

Source of Funds: Sewer Fund

Budgeted Item:

Budget Amendment Needed: No

RESOLUTION #31-2020

A RESOLUTION APPROVING THE PURCHASE OF A ¾ TON 4WD EXTENDED CAB PICKUP TRUCK FOR THE WATER POLLUTION CONTROL FACILITY AND RESCINDING RESOLUTION #58-2019

WHEREAS, This resolution rescinds Resolution #58-2019

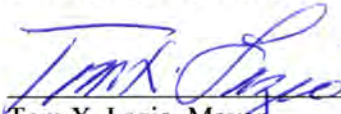
WHEREAS, The purchase of a pickup is part of the Fleet Replacement Program and will replace a 2008 Chevy Silverado Truck (#251), which will be transferred to the Beach; and,

WHEREAS, The new pickup truck is a budgeted item with \$31,000 appropriated.

NOW, THEREFORE, BE IT RESOLVED, BY THE CITY COUNCIL OF THE CITY OF OTTUMWA, IOWA THAT: the purchase of a pickup truck for the Water Pollution Control Facility in the amount of \$29,846.86 is hereby approved.

APPROVED, PASSED, AND ADOPTED, this 18th day of February, 2020.

CITY OF OTTUMWA, IOWA



Tom X. Lazio, Mayor

ATTEST:



Christina Reinhard, City Clerk



WPCF - Replace Truck 251

ITEM	DESCRIPTION	UNIT	QTY	Clemons Inc. (Ottumwa)	Dewey Ford (Ankeny)	Stivers Ford (Waukee)	Stivers Ford (Waukee)	Charles Gabus (Des Moines)	Charles Gabus (Des Moines)
				UNIT PRICE	UNIT PRICE	UNIT PRICE	UNIT PRICE	UNIT PRICE	UNIT PRICE
1A	3/4 Ton Truck	LS	1	\$29,346.86	\$ 28,694.36	\$ 30,189.00	\$ 31,941.00	\$ 34,614.00	\$ 34,614.00
1A	3/4 Ton Truck (plus 3%)	LS	1	N/A - Local	\$ 29,555.19	\$ 31,094.67	\$ 32,899.23	\$ 33,006.00	\$ 35,652.42
1A	1/2 Ton Truck	LS	1						
1A	1/2 Ton Truck (plus 3%)	LS	1						
TOTAL					\$ 29,555.19	\$ 31,094.67	\$ 32,899.23	\$ 33,996.18	\$ 35,652.42

Clemons included the optional bedliner in their bid total. This was removed to make all bids equivalent.

I HEREBY CERTIFY THAT THIS IS A TRUE TABULATION
 OF THE BIDS RECEIVED
 BY: Phillip Burgmeier

CITY OF OTTUMWA
 WATER POLLUTION CONTROL
 SPECIFICATIONS FOR A 3/4 TON 4 x 4 PICKUP TRUCK
 REPLACEMENT FOR 251

Minimum specifications for the bid of a 3/4 ton 4x4 extended cab pickup truck. Items bid will be equal to or exceed the written specifications. Any deviation to the specifications will be written and explained. Failure to explain is cause for an invalid bid. Literature will accompany the bid. The city reserves the right to reject any or all bids.

Brand of Equipment Ford

Model Number F250

Dealer Bidding Clemens Inc. of Ottumwa

Authorized Dealer for product bid: Yes (X) No (). If no, who are you bidding with? _____

	<u>CHECK ONE</u>	
	YES	NO
<u>Engine - Chassis - Drive</u>		
Engine - 5.3L or larger/8 cylinder minimum(gas)-----	(✓)	()
Automatic transmission/with overdrive-----	(✓)	()
2-speed transfer case-----	(✓)	()
Automatic locking hubs-----	(✓)	()
Four-wheel drive-----	(✓)	()
Alternator: 12 volt, 75 amp minimum-----	(✓)	()
Power steering-----	(✓)	()
Trailer tow package with electric brake hookup and trailer pin connection	(✓)	()
Power brakes-----	(✓)	()
Shock absorbers, heavy duty-----	(✓)	()
30 gallon fuel tank-----	(✓)	()
All terrain tires-----	(✓)	()
Full size spare tire (mounted under box)-----	(✓)	()
Rust proofing (factory)-----	(✓)	()
Battery 525CCA (maintenance free/long life type)-----	(✓)	()

CHECK ONE

YES NO

Roof mounted light bar, 6 head random pattern (amber)

Integrated strobes, front and back ----- (✓) ()

Tow hooks----- (✓) ()

Two (2) power points----- (✓) ()

OPTIONAL - Spray in bed liner-----Price \$ 500⁰⁰

** Bottom, sides, tailgate & bed rails

Car and Body

Extended cab or Double Cab----- (✓) ()

Split bench seat, cloth or vinyl----- (✓) ()

Interior color gray/tan/blue----- (✓) ()

AM/FM radio, factory installed----- (✓) ()

Dual mirrors heated and power package-electric
(minimum 5"x 8") ----- (✓) ()

8' straight side body----- (✓) ()

Air conditioning----- (✓) ()

Standard front bumper----- (✓) ()

Step-type rear bumper----- (✓) ()

Intermittent wipers----- (✓) ()

Color: White----- (✓) ()

WARRANTY: (specify) 3yr or 36000 miles whichever comes first Bumper to Bumper. 5yr or 60000 miles Powertrain 5yr or 60000 miles Roadside Assistance.

The City will sign for delivery but no acceptance until we have had sufficient time to properly inspect the equipment. Please set up an appointment for delivery.

VARIATIONS: If the equipment offered differs from the provisions contained in this specification, such differences must be explained on a separate sheet attached to the bid and labeled as such. All such bids will receive consideration if such deviations do not depart from the intent of the specifications and are in the best interest of the purchaser. It is not our intent to disqualify any quality equipment if your equipment does not meet these qualifications.

Erick Gabel
Authorized Signature

1/4/2018
Date

Erick Gabel
Authorized Signature (Print)



TOYOTA

Clemons

OF OTTUMWA

Dealer FOR The People



LINCOLN

Erick Gabel
Trusted Advisor

cell (641) 455-6655
office (641) 682-3422
1-800-779-8521

egabel@clemonsottumwa.com

www.ClemonsOttumwa.com

NO. _____

MOTOR VEHICLE PURCHASE AGREEMENTDATE 1/4/2019

Clemons

AUTO GROUP

2839 N Court P.O. Box 476 Ottumwa IA 52501
(641)882-3422

SALESPERSON Erick Gabel

BUYER City Of Ottumwa Water Pollution Control
City Of Ottumwa

CO-BUYER _____

ADDRESS 503 E 3rd StreetCITY OttumwaSTATE IA ZIP 52501

BUYER'S REGISTRATION MONTH _____ COUNTY _____

PHONE #/RES. _____ CELL (641)683-0600 BUS. _____

EMAIL reinhardc@ci.ottumwa.is.us

EMAIL _____

DESCRIPTION OF PURCHASED VEHICLE: NEW USED

STOCK NO. _____

YEAR 2019 MAKE Ford MODEL F250 4WD BODY TYPE Supercab XL LongbedV.I.N.# _____ COLOR _____ TRIM _____ MILES N/A

PRICE OF VEHICLE	\$ 28,815.36	TRADE-IN ALLOWANCE AND OTHER CREDITS: YEAR	
ACCESSORIES		MAKE	MODEL
spray in bedliner	500.00	V.I.N.#	BODY TYPE
light bar	380.00	BALANCE OWED TO	
light bar install	151.50	ADDRESS	
		TRADE-IN ALLOWANCE	
		LESS BALANCE OWED	
		NET TRADE-IN ALLOWANCE ON TRADE-IN	
		DEPOSIT OR CREDIT BALANCE	
		MANUFACTURER'S REBATE (IF ANY)	
		SPECIAL PROGRAM REBATE	
		TOTAL DOWN PAYMENT (TRANSFER TO LEFT COLUMN)	
		\$	
OTHER SALE CONDITIONS			
1. A DEPOSIT is required to hold this vehicle & deposit must be approved by management. All deposits are cashed. Without a deposit, this vehicle is still for sale.			
2. DEPOSITS are non-refundable except for the following circumstances:			
a) this dealership is arranging your financing and is unable to obtain loan approval;			
b) for some unforeseen circumstance the dealership is unable to fulfill commitment;			
c) this dealership is attempting to locate a vehicle and is unable to find a suitable vehicle.			
Deposits may be applied to the deal or a check will be issued back to you after taking delivery.			
<input type="checkbox"/> Cash <input type="checkbox"/> Check <input type="checkbox"/> Other _____			
Deposit Amount \$ _____			
Customer Acknowledgement: _____			
3. SOLD ORDER-OUTS are subject to uncontrollable delays such as, but not limited to: order/allocation restrictions, production delays, transportation delays, strikes, damage, availability, etc. No exact time frame of delivery can be guaranteed by the dealer. In the event it takes longer than 12 weeks and/or in excess of _____ miles reading on the odometer to receive ordered vehicle, dealership management reserves the right to re-evaluate any trade-in allowance and adjust the current market values.			
Customer Acknowledgement: _____			

Delivery Date & Time: 1/4/2019 1:09:16 PM

I.S.D.: _____

\$ 29,846.86 CASH PRICE \$ 29,846.86

\$ LESS TRADE-IN ALLOWANCE

\$ LESS MANUFACTURER'S REBATE

\$ 29,846.86 TAXABLE AMOUNT

TAX \$ TITLE FEE \$ LICENSE FEE \$ \$

REGISTRATION AND/OR PLATE FEE \$

ADMINISTRATIVE FEE \$

LIEN FILING FEE (credit sale only) \$

TOTAL CASH DELIVERED PRICE \$ 29,846.86

LESS TOTAL DOWN PAYMENT (FROM RIGHT COLUMN) \$

UNPAID CASH BALANCE DUE ON DELIVERY \$ 29,846.86

Payment Estimates

Payments, interest rates & terms are subject to change based on individual credit ratings, solely determined by the lending institutions we seek to do business with. These payments are not exact and are meant merely for estimation purposes only.

	Downpayment	
	\$	\$
mo.		
mo.		

Check One:

 Tax, title, license, etc. fees are estimated and included in payments; Tax and fees are extra

Accepted By: _____

Authorized Dealer Manager

As the buyer, I certify the following:

- > This is an offer to purchase and this document represents the complete agreement between me/us and the dealer.
- > I understand liability insurance required by the Iowa Motor Vehicle Financial & Safety Responsibility Act is not included.
- > I am (or one of the buyers is) at least 18 years of age.
- > I have read the conditions of deposit and understand the terms.

X

Buyer's Signature

D.O.B. & Soc. Sec. or F.I.D. # _____

X

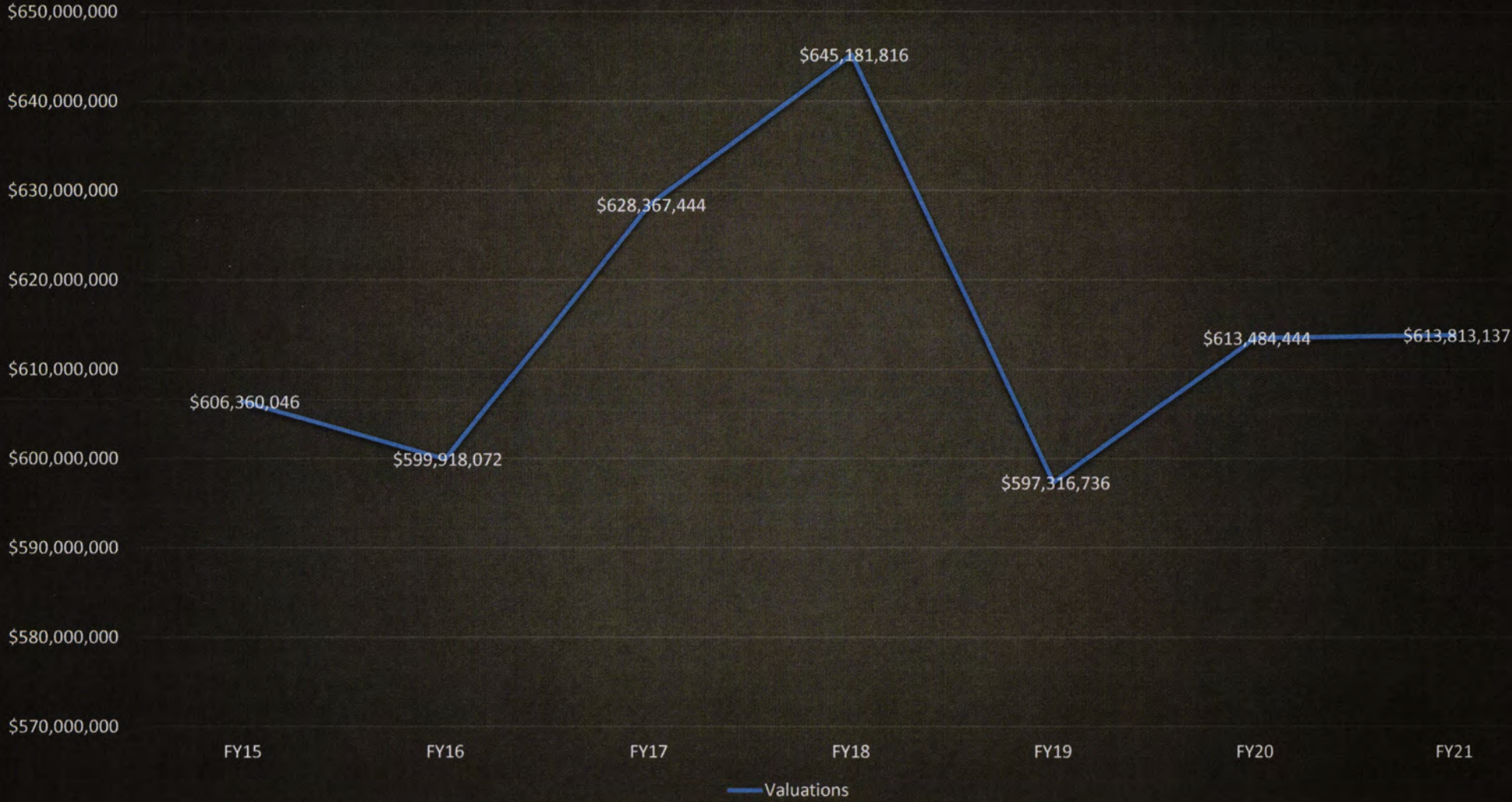
Co-Buyer's Signature

D.O.B. & Soc. Sec. or F.I.D. # _____



Budget 2020-2021

Valuations



Levy Rate

— Levy Rate



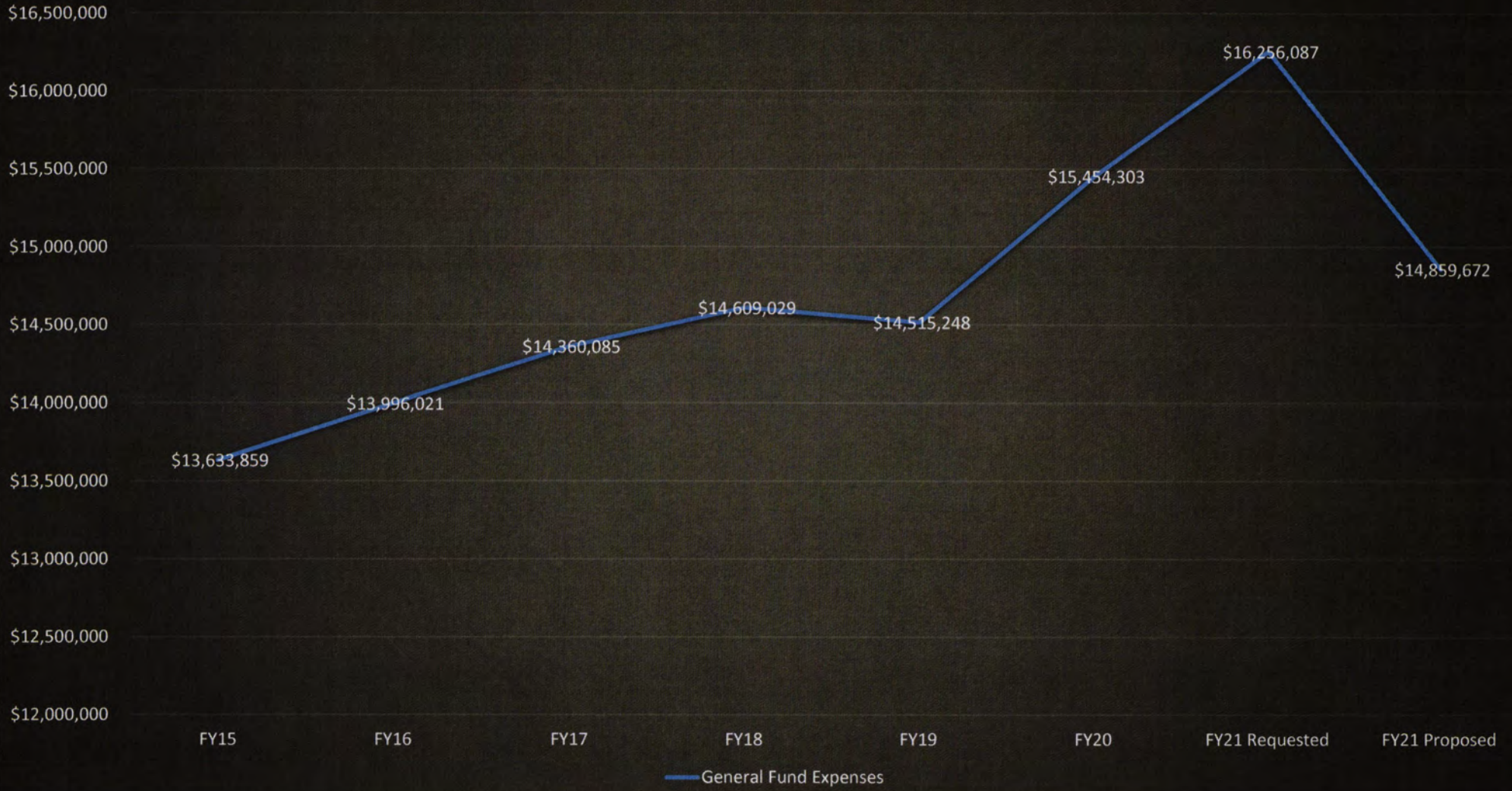
Tentative Tax Levy

	2020-2021		MAX	2019-2020	
	Levy	Amount		Levy	Amount
General Fund	8.10000	\$ 4,971,886	8.10000	8.1000	\$ 4,969,224
Event Center	0.13500	\$ 82,865	0.13500	0.13500	\$ 82,820
Emergency	0.21000	\$ 128,901	0.21000	0.21000	\$ 128,832
Library	0.27000	\$ 165,730	0.27000	0.27000	\$ 165,641
Insurance	0.57000	\$ 349,873	0.57000	0.57000	\$ 349,686
Transit	0.74500	\$ 457,290	0.81000	0.81000	\$ 496,922
Debt Service	-0.06500				
	3.36595	\$ 2,220,725	3.55858	3.05577	\$ 1,926,241
Trust & Agency	0.31018				
	9.06055	\$ 5,561,485	9.54930	9.30571	\$ 5,708,914
	-0.48875				
Subtotal - Regular Levy	22.4565		23.20288	22.4565	
Ag-Land	3.00375	\$ 2,878	3.00375	3.00375	\$ 2,962
TOTAL PROPERTY TAXES		\$ 13,941,633			\$ 13,831,242
	Taxable Valuation	2008 - 2009	\$ 503,329,606		
		2009 - 2010	\$ 513,542,241		
		2010 - 2011	\$ 545,130,006		
		2011 - 2012	\$ 585,931,862		
		2012 - 2013	\$ 622,356,319		
		2013 - 2014	\$ 630,134,013		
		2014 - 2015	\$ 606,360,046		
		2015 - 2016	\$ 599,918,072		
		2016 - 2017	\$ 628,367,444		
		2017 - 2018	\$ 645,181,816		
		2018 - 2019	\$ 597,316,736		
		2019 - 2020	\$ 613,484,444		
		2020 - 2021	\$ 613,813,137		

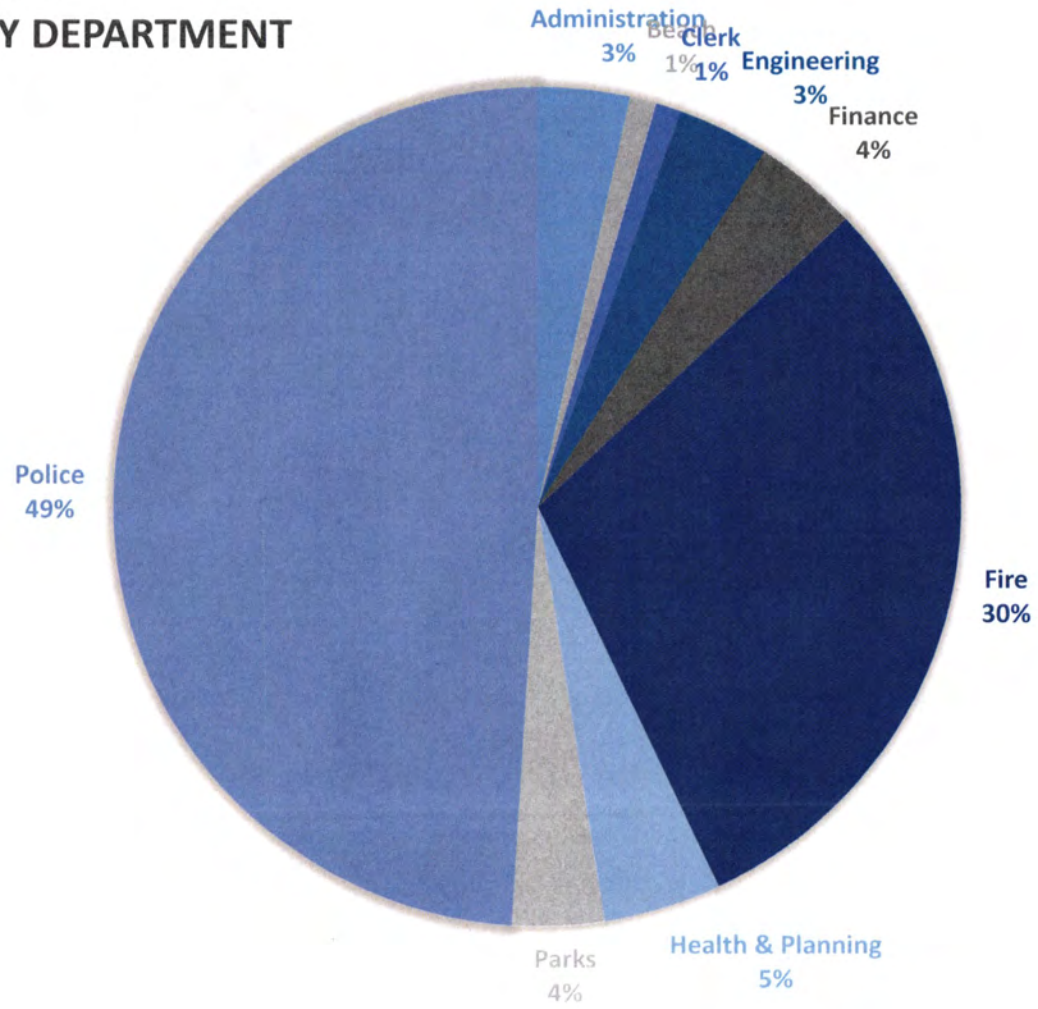
Levy Dollar Amount



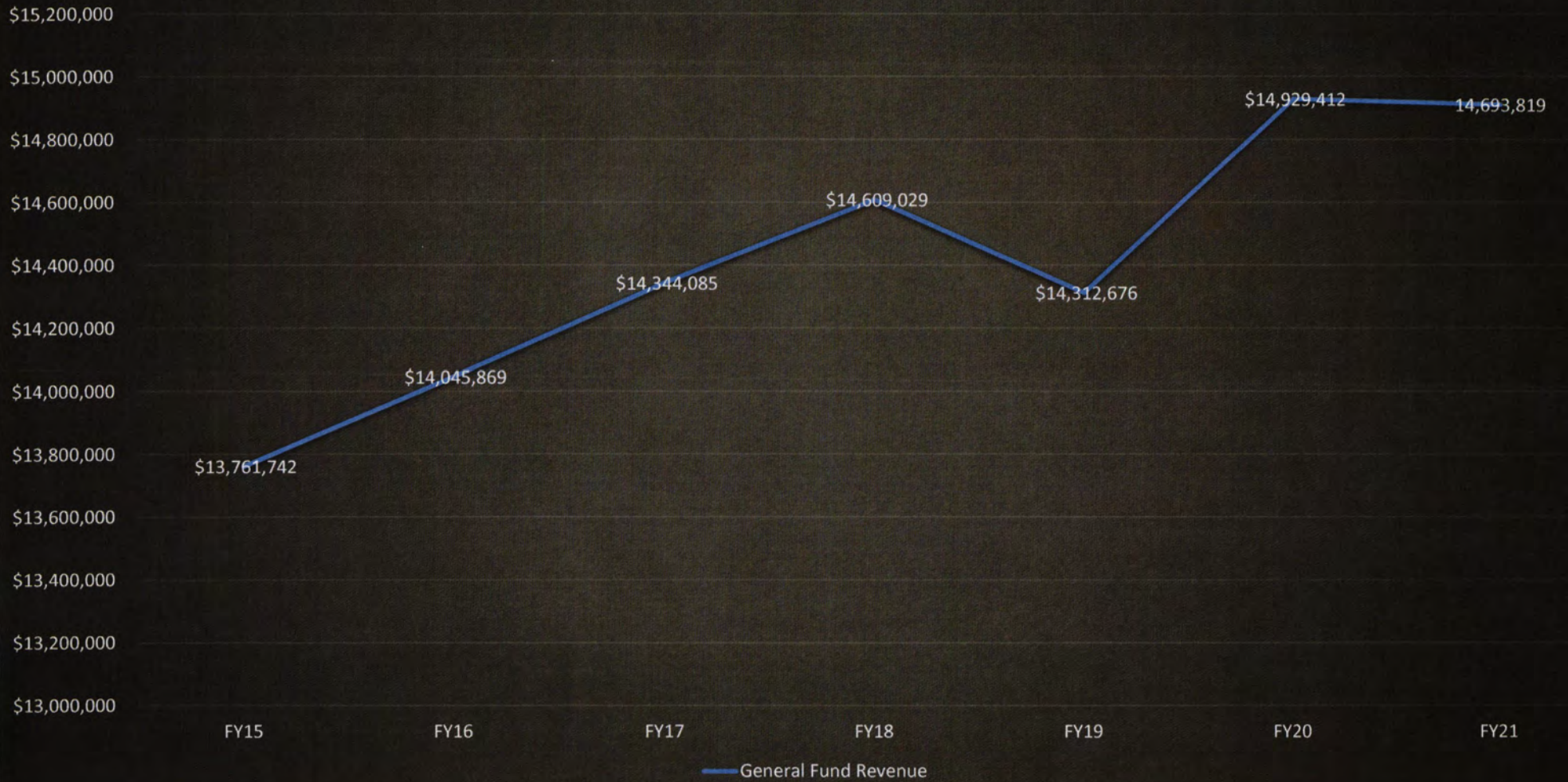
Expenses (General Fund)



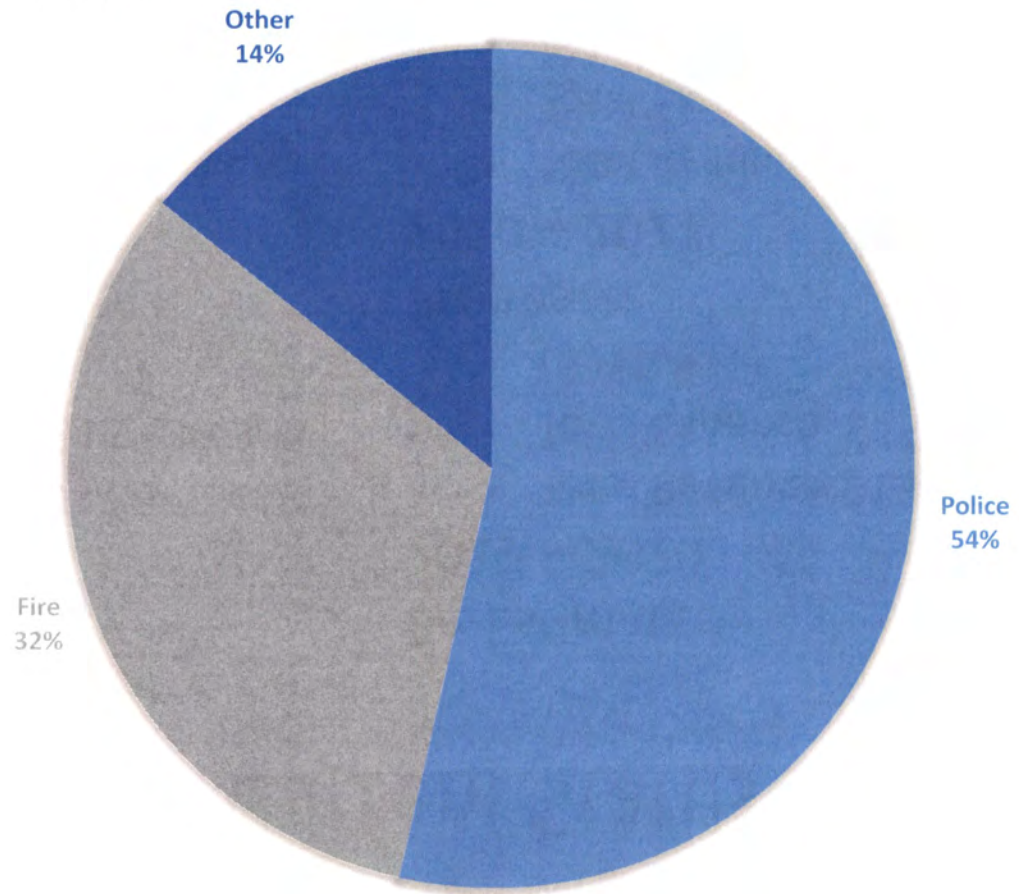
GENERAL FUND PERSONNEL EXPENSES BY DEPARTMENT



Revenues (General Fund)



**GENERAL FUND
NET EXPENSES BY DEPARTMENT**



Current Status

Budgeted 2019 – 2020

- Total Revenue \$14,929,412
- Total Expense \$15,439,303
- (\$509,891)

Requested 2020 – 2021

- Total Revenue \$14,911,598
- Total Expense \$16,256,087
- (\$1,344,489)

Re-Estimated 2019 – 2020

- Total Revenue \$15,026,387
- Total Expense \$15,442,303
- (\$415,916)

Proposed 2020 – 2021

- Total Revenue \$14,693,819
- Total Expense \$14,859,672
- (\$165,853)

**CITY OF OTTUMWA
PROPOSED OPERATING BUDGET
2020-2021**

FUND: GENERAL OPERATING (001)

	ACTUAL 2018-2019	BUDGET 2019-2020	ESTIMATED 2019-2020	REQUESTED 2020-2021	PROPOSED #2 2020-2021
FUND BALANCE - JULY 1	3,970,919	3,431,089	3,768,347	3,352,431	3,352,431
REVENUE:					
REVENUE PER ATTACHED	14,312,676	14,929,412	15,026,387	14,911,598	14,693,819
EXPENDITURES:					
EXPENDITURES PER ATTACHED	14,515,248	15,439,303	15,442,303	16,256,087	14,859,672
FUND BALANCE - JUNE 30	3,768,347	2,921,198	3,352,431	2,007,942	3,186,578
COVERAGE RATIO	25.96%	18.92%	21.71%	12.35%	21.44%

Road Use Tax

Revenues: \$5,279,491

Expenditures: \$5,269,950

Major Expenditures:

- Street Maintenance: \$2,560,829
- Street Lighting: \$464,661
- Traffic Maintenance: \$376,085
- Traffic Light Maintenance: \$235,565
- Snow Removal: \$399,456
- Street Cleaning: \$269,625
- Central Garage: \$749,151

Employee Benefits

Revenues: \$5,737,295

Expenditures: \$5,508,624

Allocation of employee benefits:

- General Fund: \$3,718,177
- Library: \$211,159
- Cemetery: \$62,044
- Road Use Tax: \$541,341
- Airport: \$75,903
- Retiree Insurance: \$900,000

Emergency Tax Fund

Revenues: \$132,756

All revenue generated from this tax levy is transferred to the General Fund.

Local Option Sales Tax Fund

Revenues: \$3,206,760

Expenditures: \$1,486,293

Major expenditures:

- General Fund property tax relief: \$320,824
- Road Use Tax Projects (ESRP): \$900,000
- Sewer Debt Service (Lagoon Pump Station) \$266,941

TIF Funds

Revenues: \$606,275

Expenditures: \$587,914

The City is capturing \$16,037,666 in increment valuation.

Risk Management

Revenues: \$524,146

Expenditures: \$670,365

This fund pays nearly all of the insurance premiums for the City.

Airport Fund

Revenues: \$858,193

Expenditures: \$886,150

The airport is operated and managed out of this fund.

Library Fund

Revenues: \$764,385

Expenditures: \$821,510

The Library is operated and managed out of this fund.

Cemetery Fund

Revenues: \$328,514

Expenditures: \$344,152

The Cemetery is operated and managed out of this fund.

Haz-Mat Fund

Revenues: \$221,291

Expenditures: \$217,006

The Southeast Iowa Response Group (SIRG) is funded by a group of 11 counties.

Retiree Insurance Fund

Revenues: \$1,339,100

Expenditures: \$1,367,500

Retiree health insurance benefits are paid from this fund.

Employee Insurance Fund

Revenues: \$4,310,000

Expenditures: \$3,836,500

Employee health insurance benefits are paid from this fund.

Debt Service Fund

Revenues: \$3,643,556

Expenditures: \$3,769,551

The debt service is used to pay for the interest and principal payments on certain types of debt.

Sewer Fund

Revenues: \$7,707,360

Expenditures: \$7,546,877

The sewer plant and sewer maintenance are managed and operated out of this fund.

Landfill Fund

Revenues: \$2,061,000

Expenditures: \$1,970,519

The Landfill is managed and operated from this fund.

Recycling Center Fund

Revenues: \$625,000

Expenditures: \$564,729

The Recycling Center is operated and managed out of this fund.

Transit Fund

Revenues: \$1,853,940

Expenditures: \$2,008,801

The Transit bus system is operated and managed out of this fund.

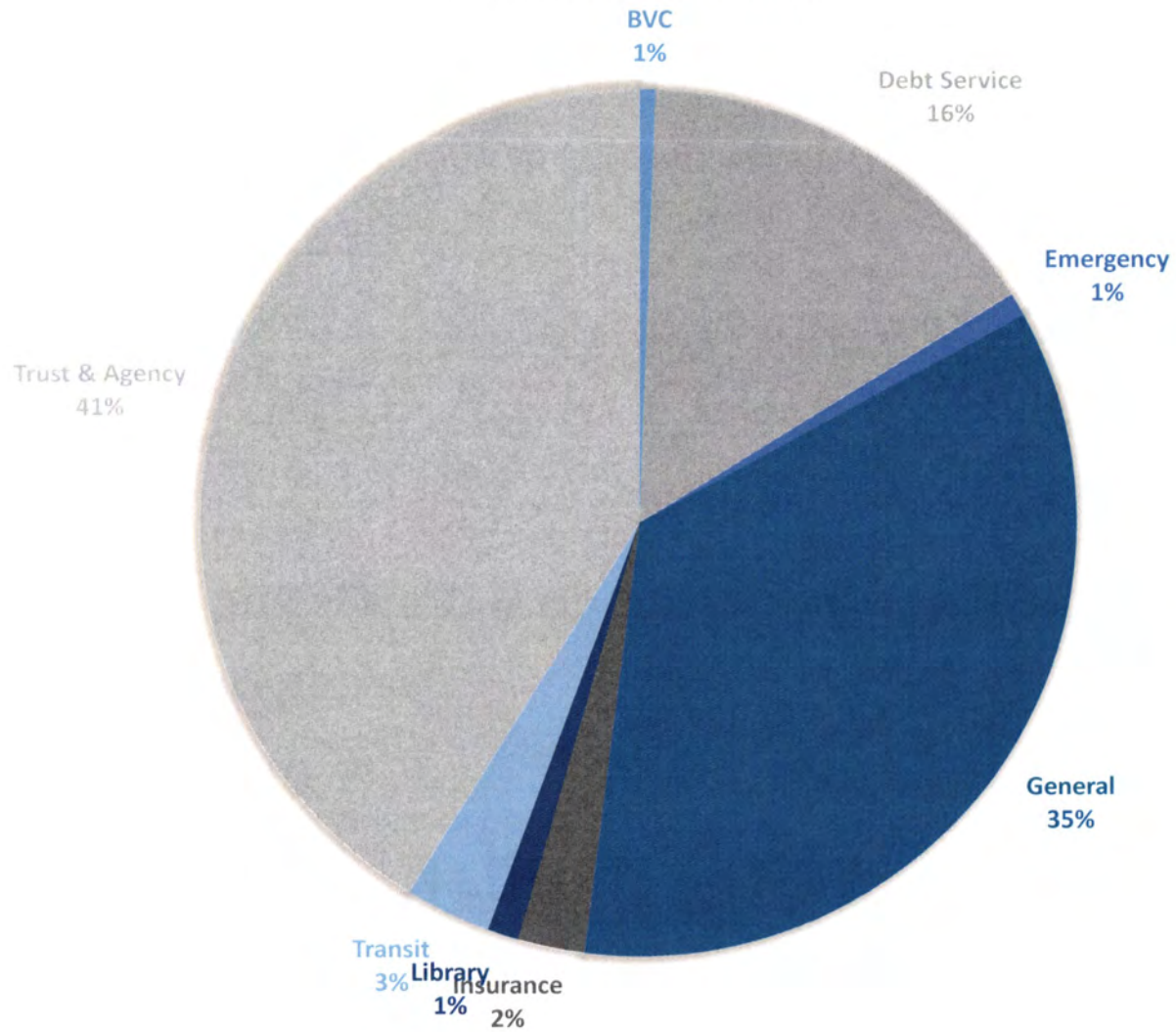
Bridge View Center Fund

Revenues: \$1,257,745

Expenditures: \$1,254,239

The Bridge View Center is operated and managed out of this fund.

PERCENTAGE OF LEVY



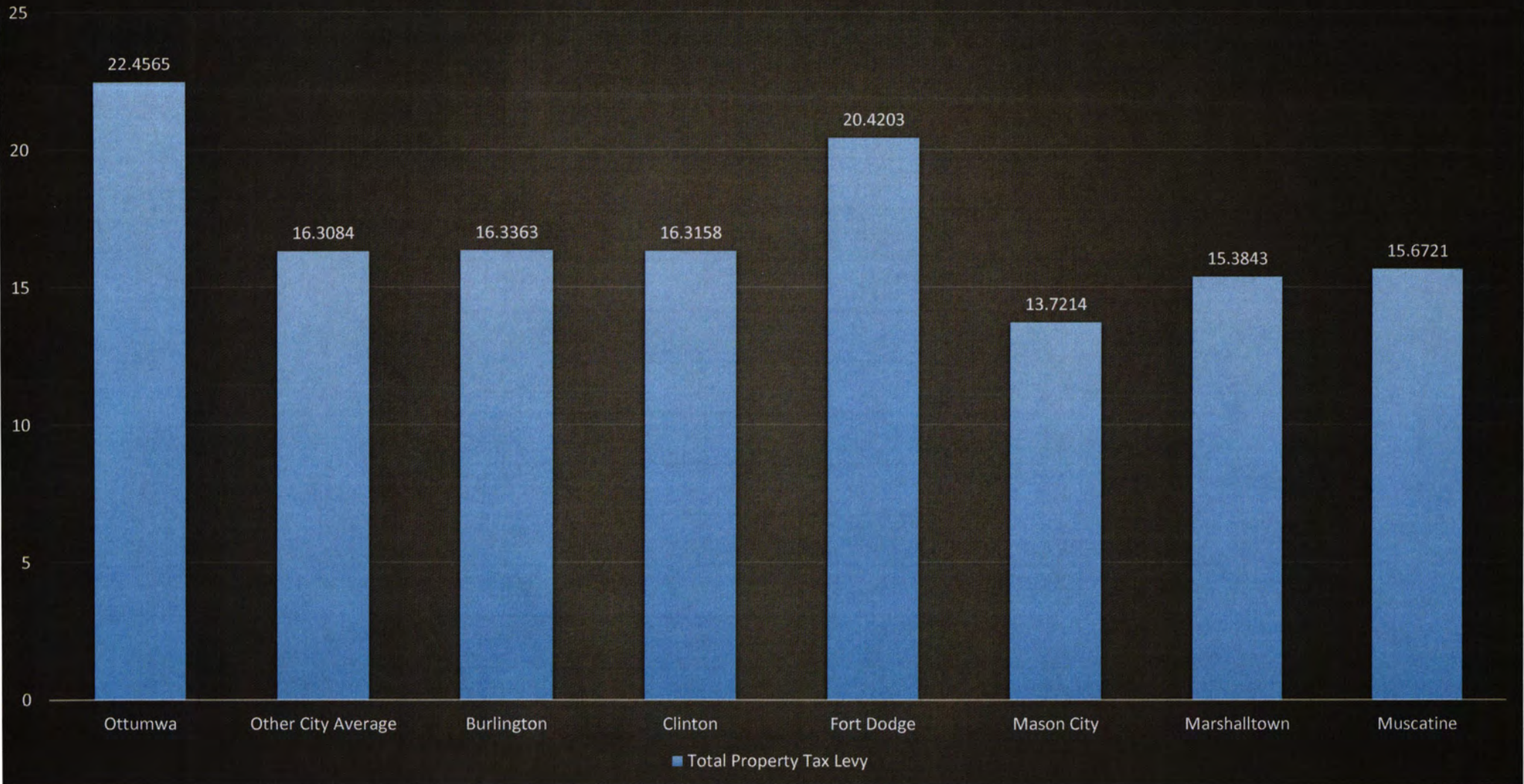
Cost of Services Per Citizen

Police	36%	\$447
Fire	21%	\$261
Debt Service	15%	\$182
Retiree	6%	\$76
Library	4%	\$52
Public Works	4%	\$49
Other	3%	\$42
Parks & Rec	3%	\$41
Transit	3%	\$40
Insurance	2%	\$32
Cemetery	1%	\$16
	100%	\$1,237

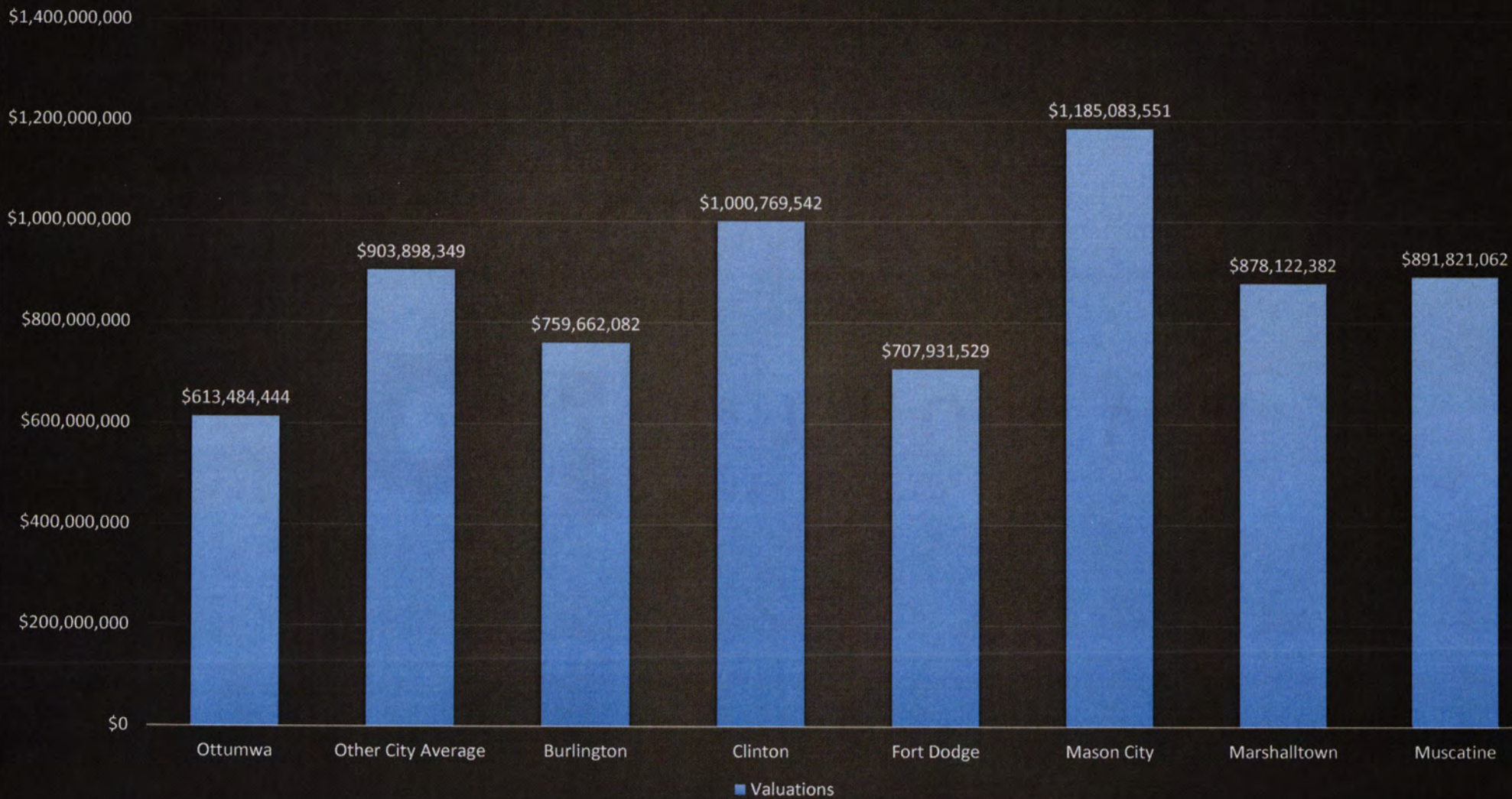
*City Property Tax on a \$100,000 Home

*\$1,237 per \$100,000

Tax Levy Comparison



Valuation Comparison





Questions?

FILE

2020 FEB 18 10:46

CITY OF OTTUMWA
Staff Summary

**** ACTION ITEM ****

Council Meeting of : Feb 18, 2020

Police
Department

Mary Lou Donaldson

Prepared By

Sam McInerney
Department Head

Tom X. Longo
City Administrator Approval

AGENDA TITLE: Consideration of a State and Community Highway Safety Grant application to the Governor's Traffic Safety Bureau.

Public hearing required if this box is checked.

The Proof of Publication for each Public Hearing must be attached to this Staff Summary. If the Proof of Publication is not attached, the item will not be placed on the agenda.

RECOMMENDATION: Approve the grant submission and authorize the Mayor and Chief of Police to sign the application and contract upon receipt.

DISCUSSION: The Police Department has partnered with the Governor's Traffic Safety Bureau since 1988 to enhance our traffic safety efforts. Previous grants have been used to purchase traffic safety related equipment and to pay overtime wages for special traffic enforcement activities. This partnership has helped us to combat both personal injury and alcohol related crashes.

We have been invited to submit an application for a one year grant. This proposal requests \$12,000.00 in grant funding to be used for overtime wages and an additional \$13,500.00 for equipment (3 in-car video cameras). The grant will pay 100% of the overtime wages and 100% of the equipment.

Source of Funds:

Budgeted Item:

Budget Amendment Needed:



Application

342725 - 2021 GTSB non-sTEP Highway Safety Grants

343981 - Ottumwa PD 2020 402

Governor's Traffic Safety Bureau

Status: Editing

Submitted
Date:

Applicant Information

Project Officer

AnA User Id MICKEY.HUCKS@IOWAID
 First Name* Mickey Hucks
First Name Middle Name Last Name
 Title: Lieutenant
 Email:* hucksm@ci.ottumwa.ia.us
 Address:* 330 w. second st.

City* Ottumwa Iowa 52501
City State/Province Postal Code/Zip
 Phone:* 641-683-0633
Phone Ext.

Program Area of Interest* Governor's Traffic Safety Bureau
 Fax: 641-683-4584

Organization Information

Organization Name:* Ottumwa Police Department
 Organization Type:* City Government
 DUNS: 10-670-8212
 Organization Website: www.cityofottumwa.org
 Address: 330 West Second Street

Phone: Ottumwa Iowa 52501
City State/Province Postal Code/Zip
 Phone: 641-683-0636
 Fax: 641-683-0656
Ext.

Cover Sheet-General Information

Authorized Official

Name* tom mcandrew
 Title* chief
 Organization* ottumwa police department
If you are an individual, please provide your First and Last Name.
 Address* 330 w. second st.

City/State/Zip* ottumwa Iowa 52501
City State Zip

Telephone Number* 641-683-0636
 E-Mail* mcandrewt@ci.ottumwa.ia.us

Fiscal Officer/Agent

Please enter the "Fiscal Officer" for your Organization.

If you are an individual, please provide your First and Last Name.

Name* mickey hucks
Title lieutenant
Organization ottumwa police department
Address 330 w. second st.

City/State/Zip ottumwa iowa 52501
City State Zip
Telephone Number 641-683-0633
E-Mail hucksm@ci.ottumwa.ia.us
County(ies) Participating, Involved, or Affected by this Proposal* Wapello County
Congressional District(s) Involved or Affected by this Proposal* 2nd - Rep David Loebsack (D)
Congressional Map
Iowa Senate District(s) Involved or Affected by this Proposal* 41
District Map
Iowa House District(s) Involved or Affected by this Proposal* 81
District Map

Contract Information

Head of Agency

Type name exactly as it will appear in your contract. Do Not use All Caps nor All Lower Case.

Department Head First Name* Tom
The individual with authority to sign the contract i.e. Chief, Sheriff, Director

Type name exactly as it will appear in your contract. Do Not use All Caps nor All Lower Case.

Department Head Last Name* McAndrew

Please enter the title of your agency head. Example: Director, Chief, Ms. Mr.

Agency Head Title* Chief

Project Administrator (Contact Person)

The Contact Person responsible for project activities.

Project Administrator First Name* Mickey
This individual can also sign claims and correspondence.

Project Administrator Last Name* Hucks
This individual can also sign claims and correspondence.

Please enter the title of your Project Administrator. Example: Officer, Clerk, Ms. Mr.

Project Administrator Title* Lieutenant

Example: Anytown Police Department (Type exactly as agency should appear in your contract NOT ALL CAPS nor all lower case)

Agency Name* Ottumwa Police Department

Agency Mailing Address* 330 W. Second St.

Agency City* Ottumwa

Agency State* Iowa

Agency Zip Code+4* 52501 2505

Agency Phone Number*	641-683-0635
Agency Fax Number*	641-683-4584
Project Administrator's E-mail Address*	hucksm@ci.ottumwa.ia.us Throughout the program year, information will be sent to this address.
Payment Information	
Issue Payment To: (City/County/Sheriff's Office)*	City of Ottumwa This information will be used to provide reimbursement payments to your agency.
Payment Mailing Address*	330 W. Second St.
Payment City*	Ottumwa
Payment State*	Iowa
Payment Zip Code*	52501
Finance Person	Lt. Mickey Hucks Provide a contact for financial matters
Finance Phone #	641-683-0633 Optional
Finance E-mail	hucksm@ci.ottumwa.ia.us Optional

Personnel

Enter the Number of full-time officers* - REQUIRED FOR OT FUNDING	42
Average rate of overtime pay	\$45.00
<i>Optional</i>	
Number of paid part-time or reserve officers	0
Average rate of pay	\$0.00

Agency Information

Required Information if Equipment is Requested

Number of Marked Vehicles in Department's Fleet	15
Number of Unmarked Vehicles in Department's Fleet	6
Number of Working Radars Owned by Department	14
Number of Working PBTs Owned by Department	16
Number of Working Lidars Owned by Department	1
Number of Working In-Car Cameras Owned by Department	11

Equipment

Is the Requested Equipment to Replace Equipment Purchased with GTSB Funds*

Yes

If yes to this question, please list the equipment and it's date of purchase in the field that will be presented after you click save.

Equipment List

Equipment	Date Purchased
in-car video camera system	10/04/2013
in-car video camera system	12/16/2014
in-car video camera system	12/16/2014

Equipment Upgrade

Is the Requested Equipment an Upgrade of Existing Equipment*

Yes

If Yes, Give Reasons Why the Upgrade is Needed

We upgraded 1 in-car video camera system from Flashback 2 to Flashback 3 purchased through GTSB grant funding in 2013. We upgraded 2 in-car video camera systems from Flashback 2 to Flashback HD purchased through GTSB grant funding in 2014. The units are no longer supported by SafeFleet.

Example: Upgrading from analog to digital.

Project Description

Provide responses to the following (REQUIRED):

Problem Statement: SPEED*

1) Briefly describe traffic safety problem(s) related to speed that you want to address. Include pertinent traffic data like number of speeding citations for your jurisdiction.

Safety of the motoring public in and around the Ottumwa area is one of the Department's primary missions. In 2019, the City had 264 personal damage accidents, 123 personal injury accidents and 2 fatalities. During the 2019 grant year, 224 speed citations were issued by the Department.

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Goal Objectives/Performance Measures:*

2) What results do you hope to attain and how will they be measured?

It is the Department's goal to reduce the amount of accidents in Ottumwa by enforcement as well as providing informational public service announcements. The Department will conduct at least 266 hours of enforcement. Speed related citations will increase during the upcoming grant year resulting in a lower amount of personal injury accidents. We will maintain monthly statistics to be provided to GTSB to monitor the Department's progress in the area of speed enforcement.

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Proposed Activities:*

3) Describe the methods by which you propose to achieve your objectives. (OT Enforcement, Educational presentations, Outreach, etc.)

The Department will conduct overt uniform enforcement activities. It is the goal of the Department to inform the public of our efforts regarding joint projects with our sister department throughout the year. Through the use of public information activities we will provide the public with awareness of the results of driving too fast.

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Problem Statement: OCCUPANT PROTECTION*

1) Briefly describe traffic safety problem(s) related to occupant protection/seatbelts that you want to address. Include pertinent traffic data like observed belt usage rate for your jurisdiction and number of seat belt citations issued in the previous year.

Seat belt usage has become automatic for most Ottumwans due to the Department's efforts in the past several years. However, progress continues to be needed through enforcement to keep the usage rates high. The Department seat belt citations assisted in increasing the seat belt usage from 92% in March to 95% in August . In years past, the seat belt citations were typically 200 or more.

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Goal Objectives/Performance Measures:*

2) What results do you hope to attain and how will they be measured?

It is the Department's goal to increase the amount of seat belt usage and maintain a 92% average. The Department will maintain a monthly statistic count of any enforcement that occurs. The Department will conduct at least 266 hours of total high-visibility enforcement and directed covert activities to increase the amount of citations in the area of occupant protection.

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Proposed Activities:*

3) Describe the methods by which you propose to achieve your objectives. (OT Enforcement, Educational presentations, Outreach, etc.)

The Department will conduct at least 266 hours of high-visibility enforcement and directed covert activities. The Department will conduct 2 occupant protection surveys during the grant year. The results will be published as well as our efforts during joint projects with our sister agencies.

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Problem Statement: IMPAIRED DRIVING*

1) Briefly describe traffic safety problem(s) related to impaired driving that you want to address. Include pertinent traffic data like number of OWI arrests for your jurisdiction.

During the 2019 year, the Department had 202 OWI (Alcohol and/or Drug) arrests. 22 were charges as a result of accidents.

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Goal Objectives/Performance Measures:*

2) What results do you hope to attain and how will they be measured?

Through the Department's efforts we hope to reduce the amount of OWI arrests in relation to the total amount of traffic stops conducted. By tracking monthly statistics the Department will be able to show the ratio and the successes.

Can Copy Text Into Area - 2000 character limit - About 1/2 typed page

Proposed Activities:*

3) Describe the methods by which you propose to achieve your objectives. (OT Enforcement, Educational presentations, Outreach, etc.)

The Department will conduct both high-visibility traffic enforcement, covert enforcement in and around high traffic areas in Ottumwa. By way of communicating via public service announcements and public release information the Department will continue to receive cooperation with our partners. We will conduct enforcement operations in the evening hours where high risk driving takes place. The Department will continue to work with the media outlets in and around Ottumwa to have the public assist in the area of reducing impaired driving.

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Special Project

Special Projects

Would your agency be interested in an additional contract or funding to help with special projects in the following areas?

Nighttime Seat Belt Enforcement*	No
Pedestrian Safety*	No
Speed Enforcement*	No

Agency Commitment

Commitment Statements

1) Conduct program activities within the time frame of the contract and submit a timely monthly or quarterly report and a final accumulative report on program activities, successes and/or failures;

Conduct program activities:* Yes

2) Submit claims for reimbursement on GTSB provided forms with proper original signature within 90 days of expenses being paid.

Submit claims: Yes

For Law Enforcement Agencies ONLY

3) Conduct high visibility traffic enforcement directed at alcohol/drug-related, occupant protection, speed, stop sign/stop light and other moving violations:

Conduct traffic enforcement: Yes

4) Conduct at least two special traffic enforcement projects such as saturation patrols or checkpoints with at least one project conducted during nighttime hours:

Conduct special projects: Yes

5) Conduct at least twelve public information/education activities;

Conduct public activities: Yes

6) For 402 grants: Conduct and publicize results of 2 observational occupant protection surveys in March and August.

Conduct 2 surveys: Yes

Required if these Items are Selected

7) If funding is received for educational materials, traffic safety educational information must be pre-approved and distributed in support of the program. For 405d grants, materials must contain an impaired driving prevention message.

Funding received for education: No

8) If funding is received for program-related travel, a travel request will be submitted 8 weeks prior to out-of-state travel and a post-travel report submitted within 2 weeks of return.

Funding received for travel: No

9) If funding is received for equipment, it will be purchased to support the program and an HSP-3 form and a digital photograph of the equipment with the serial number will be submitted. If the equipment cost is \$5,000 or more (regardless of the reimbursement amount) special prior approval from NHTSA must be received.

Funding received for equipment: Yes

Signature

Name of Agency Head:* Tom McAndrew

Minority Impact Statement

Question # 1

1. The proposed grant programs or policies could have a disproportionate or unique **POSITIVE IMPACT** on minority persons. *

Not Applicable

If YES, describe the positive impact expected from this project

Indicate the group(s) positively impacted.

Question # 2

2. The proposed grant project programs or policies could have a disproportionate or unique **NEGATIVE IMPACT** on minority persons. *

No

If YES, describe the negative impact expected from this project.

If YES, present the rationale for the existence of the proposed program or policy.

If YES, provide evidence of consultation with representatives of the minority groups impacted.

Indicate the group(s) negatively impacted.

Question # 3

3. The proposed grant project programs or policies are **NOT EXPECTED TO HAVE A DISPROPORTIONATE OR UNIQUE IMPACT** on minority persons. *

Yes

If YES, present the rationale for determining no impact.

The proposed grant project program is not expected to have a disproportionate or unique impact on minority persons. As part of this project, we will be conducting traffic enforcement in which violators will be stopped, regardless of race or gender, and issued a traffic citation or given a warning. The purpose of the traffic enforcement is to reduce the number and severity of accidents in our community. We currently collect and maintain data on all traffic stops by race and gender, and our statistics show no disproportionate impact on any minority group. We will continue to collect data on all traffic stops and I'm confident that the statistics will continue to show there is no disproportionate impact on any minority group.

Certification

I hereby certify that the information on this form is complete and accurate, to the best of my knowledge.*

Yes

Name of Person Submitting Certification.*

Mickey Hucks

Title of Person Submitting Certification*

Lieutenant

Objective/Performance Measures**Personnel:**

of OT hours for traffic enforcement 266

of OT hours for educational presentations 0

Program training-related travel 0

Commodities

Explain educational materials being requested (brochures, coloring books, posters etc. must include impaired driving prevention information pre-approved by GTSB)

Equipment allowed only under 402 grants

Number of hand-held radar(s) being requested (if any) 0
max allowed \$1,000/radar

Number of moving radar(s) being requested (if any) 0
max allowed \$1,500/radar

Number of lidar(s) being requested (if any) 0
max allowed \$3,000/lidar

Number of TruCam lidar(s) being requested (if any) 0
max allowed \$4,500/lidar

Number of speed trailer(s) being requested (if any) 0
max allowed \$4,500/speed trailer

Equipment allowed under 402 & 405d grants

Number of in-car video camera(s) being requested (if any) 3
max allowed \$4,500/camera

Number of PBTs being requested (if any) 0
max allowed \$450/PBT

Number of fatal vision goggle kits (if any) 0
max allowed \$850/set of Fatal Vision Goggles

Please list other items being requested (if any)

Project Budget

Requested Program Elements	Highway Safety Funds	Federal Awarded Amount
Personal Services		
OT Enforcement Hours	\$12,000.00	\$12,000.00
OT Educational Presentations	\$0.00	\$0.00
Program training-related travel	\$0.00	\$0.00
Commodities		
Educational materials	\$0.00	\$0.00
Equipment under 402 only		
Handheld Radar (Max. \$1,000 each)	\$0.00	\$0.00
Moving Radar (Max. \$1,500 each)	\$0.00	\$0.00
Lidar (laser radar) (Max. \$3,000 each)	\$0.00	\$0.00
TruCam Lidar (Max. \$4,500 each)	\$0.00	\$0.00
Speed trailer (Max. \$4,500 each)	\$0.00	\$0.00
Equipment under 402 and 405d		
In-car Video Camera (Max. \$4,500 each)	\$13,500.00	\$13,500.00
PBT (Max. \$450 each)	\$0.00	\$0.00
Fatal Vision Goggle Kit (Max. \$850 each)	\$0.00	\$0.00
Other items requested	\$0.00	\$0.00

Comments

Comments

Limited to 2000 characters or approximately 1/2 typed page

CITY OF OTTUMWA

STAFF SUMMARY

FILED
2020 FEB 13 PM 1:43
CITY OF OTTUMWA

Council Meeting of: February 18, 2020

ITEM NO. _____

Joni Keith

Prepared By

David Silverio

Department Head

Administration

Department

Tom X. Lazio, Acting City Administrator

AGENDA TITLE: Approval of collective bargaining agreement by and between the City of Ottumwa, Iowa and the Teamsters Local 238, representing Transit employees. Commencing July 1, 2020 and continuing through June 30, 2024.

PURPOSE: Is to seek City Council approval of the collective bargaining agreement by and between the City of Ottumwa, Iowa and the Teamsters, Local 238, representing Transit employees.

RECOMMENDATION: Approve collective bargaining agreement by the parties effective July 1, 2020 through June 30, 2024.

DISCUSSION: This is a 4-year agreement, with a 2% cost of living increase for each year of the contract. Because of Federal requirements, this contract is not subject to PERB prohibitions. All contract language remains exactly the same as the prior 4-year Agreement. Attached hereto please find a copy of the proposed agreement, which has been ratified by the union membership.

AGREEMENT BETWEEN
THE CITY OF OTTUMWA, IOWA
AND
CHAUFFEURS, TEAMSTERS AND HELPERS
LOCAL UNION NO. 238
AFFILIATED WITH THE
INTERNATIONAL BROTHERHOOD OF TEAMSTERS
JULY 1, 2020 TO JUNE 30, 2024

AGREEMENT

THIS AGREEMENT entered into this 18 day of February, 2020, by and between the CITY OF OTTUMWA, IOWA, hereinafter referred to as "Employer", and CHAUFFEURS, TEAMSTERS AND HELPERS, LOCAL UNION NO. 238, hereinafter referred to as the "Union". This agreement constitutes the complete and final agreement between the parties on all bargainable issues.

ARTICLE 1 RECOGNITION

The Employer recognizes the Union as the sole and exclusive bargaining representative for those employees of the Employer in the following bargaining unit: CASE NO. 8431

INCLUDED: All Ottumwa Transit bus drivers, bus cleaners and dispatchers.

EXCLUDED: Transit Administrator, Administrative Assistants, all clerical employees, supervisors and other employees excluded by Iowa Code Section 20.4.

ARTICLE 2 SEVERABILITY AND SAVINGS

If any provision of the Agreement is subsequently declared by the proper legislative or judicial authority to be unlawful, unenforceable, or not in accordance with applicable statutes or ordinances, all other provisions of this Agreement shall remain in full force and effect for the duration of this Agreement.

ARTICLE 3 GRIEVANCE PROCEDURE AND ARBITRATION

A grievance is defined as a timely filed claim by an employee which alleges that there has been a violation of a specific and expressed provisions of this Agreement. An employee may be represented at any stage of the grievance procedure by a Union representative.

Grievance Steps:

Step 1. An employee who claims a grievance shall reduce the grievance to writing by giving and signing a statement of facts, what is the issue, and what section of the policy was violated and what remedy the employee is seeking. The written grievance must be submitted to the immediate supervisor no later than seven (7) calendar days after the occurrence upon which the grievance is based. The immediate supervisor shall give a written answer to the aggrieved employee within seven (7) calendar days after the grievance is presented to the supervisor.

Step 2. If the grievance is not settled in Step 1, the employee shall present the grievance in writing to the Transit Administrator or his/her designated representative within seven (7) calendar days of receipt of the Step 1 answer. Within seven (7) calendar days of receipt of the grievance, the Transit Administrator or his/her designee will answer the grievance in writing.

Step 3. Any grievance not settled in Step 2 of the grievance procedure may be referred to arbitration, providing the referral to arbitration is in writing to the other party and is made within ten (10) days after the employee's receipt of the Step 2 answer.

The moving party will submit a request for a list of seven (7) arbitrators from the Public Employment Relations Board at the same time as the notice of referral to arbitration is made. The parties will alternately strike a name from the list, with the moving party making the first strike.

The fees and expenses of the arbitrator will be shared and paid equally by the parties. Each party shall pay its own cost of preparation and presentation of arbitration. No stenographic transcript of the arbitration hearing shall be made unless requested by a party. The cost of stenographic reporting of the hearing shall be borne by the party requesting the same, except that the other party may request a copy of such transcript, in which case the parties shall equally divide the cost of stenographic reporting and of the transcripts.

The arbitrator shall have no power to change, ignore, alter, nullify, detract from or add to the provisions of this Agreement. The arbitrator's decision will be final and binding on the parties.

If a grievance is not presented within the time limits specified in this Article, it shall be considered waived and it shall be considered settled on the basis of the Employer's last answer. Grievances not filed and processed according to the time limits specified are barred from the arbitration process. If a grievance is at any step is not timely answered by the employer, it may automatically be referred to the next step.

All grievance and arbitration meetings under this Article are to be held in private and not open to the public.

The parties may mutually agree to extend the timelines.

ARTICLE 4 SENIORITY

Seniority is defined as the length of service with the Employer since their last date of hire. The Union shall be furnished with a list of bargaining unit employees, including name, hire date and job classification within thirty (30) days after the effective date of the Agreement. A seniority list will be provided to the Union on an annual basis and

made available to the Stewards at the staff meetings mandatorily held throughout the year.

Dispatchers: Dispatchers will have a separate seniority list.

The employment relationship shall be broken and terminated if the employee quits, retires or is discharged.

Reduction in Force Procedures. In the event the Employer determines that employees will be laid off, the Employer will consider maintenance of operational efficiency, skill, training, qualifications and ability to perform. If these factors are equal among the employees affected, seniority will be the deciding factor.

Dispatchers: Dispatchers will have a separate reduction in force procedure. Dispatch seniority will be the deciding factor.

Laid off employees shall have the responsibility of advising the Employer of their current addresses and telephone numbers during layoff. Employees will be eligible for recall within the first 12 months following the date of lay off. Employees will be recalled in reverse order of layoff. Employees will be notified of a recall by certified mail. Employees must report to work within 10 calendar days after receiving the notification of recall. Failure to report to work within the specified time will result in termination of employment.

Job Vacancy Procedures: If a route opening, a cleaner position vacancy, or a Dispatch vacancy occurs, qualified current employees are given first opportunity to bid for the vacancy, subject to Iowa Civil Service requirements. Vacancies are normally posted for a period of 5 calendar days. If more than one qualified employee bids for the route opening, then the most senior employee will be selected.

The Master Seniority list will control all job bidding. Any employee who signs a bid sheet to fill a new job or vacancy shall have fourteen (14) working days to qualify for that job. If an employee or the Employer does not feel that employee can handle the position, the employee shall return to their previous job and classification. All vacancies and new jobs will be filled from the current seniority lists before hiring from the outside.

Annual Bidding: The fixed routes and Para-transit routes will be made available 10 days prior to the spring all hands staff meeting. Bidding will be done at the meeting.

Dispatch jobs will not be included in the annual bidding.

Extra Work: All extra work for the Ottumwa Transit schedule shall be posted. This in no way precludes amendments to the extra work schedule, including additions or deletions.

Physicals: Drivers will be allowed to have their required employment physicals performed by their regular doctors as long as that doctor is CDL certified. The City will reimburse each driver up to One Hundred (\$100.00) Dollars for the physical.

ARTICLE 5 HOURS OF WORK AND OVERTIME

This Article is intended only to provide a basis for calculating overtime and shall not be construed as a guarantee of or limitation on hours of work per day or per week, or days of work per week.

Normal working schedules for employees shall be established by the Employer.

Lunch Breaks: Full-time employees will normally receive a 30 minute paid lunch break. Full-time Dispatchers will be allowed a one-hour unpaid lunch break.

Overtime: Overtime shall be paid for at the rate of time and one-half (1 ½) the employee's straight time hourly rate for hours worked in excess of forty (40) hours in a seven (7) day work week. All overtime must have prior approval of the Administrator or his/her designee. Only hours worked shall be counted for the purpose of computing overtime.

Call Back: If an employee is called back to work after his/her shift has ended and that employee has left work and had to return, that employee will be paid for a minimum of two hours at straight time.

ARTICLE 6 DISCIPLINARY PROCEDURES

Section 1. Both parties of this Agreement recognize that discipline is necessary for the efficiency of the operation. Therefore, the parties have agreed upon steps of progressive discipline as follows:

- Verbal warning
- Written warning
- Second warning
- Suspension with Loss of Pay
- Discharge

When the City determines the seriousness of the offense or condition warrants it, the City may suspend or discharge the employee for just cause. If a full-time employee wishes to appeal his/her suspension or discharge, the employee must appeal to the Civil Service Commission as set forth in Chapter 400 of the Code of Iowa within fifteen (15) days. If the employee elects the complaint procedures of Civil Service, the grievance procedures of the contract shall not apply. All part-time employees covered

under this agreement may appeal a disciplinary step under the grievance procedures outlined in Article 3 of this agreement.

Section 2. Written warnings may be appealed under the grievance procedure. Any suspension or discharge that is appealed shall be taken up at the third step of the grievance process.

Section 3. The employee will be permitted to see his/her personnel file upon request of at least 2 business days with the HR Manager or designee present. With written permission of the employee, a Union representative may examine the employee's personnel file with the HR Manager or designee present. Disciplinary procedures are confidential in nature, which may be waived by the employee to allow participation by the union.

Section 4. If management has a serious complaint against an employee, management will promptly discuss the complaint with the employee.

ARTICLE 7 FUNERAL LEAVE

In the event of death in the family of an employee, full-time employees shall be granted an absence of up to three (3) days with pay for family members listed below, with the exception of the employee's spouse or child. Up to five (5) days shall be granted in the event of the death of the employee's spouse or child (spouse includes common law spouse). For the purposes of this Article, the employee's family shall include: the employee's spouse, child, mother, father, sister, brother, mother-in-law, father-in-law, brother-in-law, sister-in-law, son-in-law, daughter-in-law, grandchild, step-parent, step-child, grandparents, grandparents-in-law, aunt, uncle. Regular part-time employees are eligible for funeral leave on the same basis as full-time employees except a day will consist of 6 hours.

Only days absent which would have been compensable workdays will be paid. No payment will be made during vacations, holidays, layoffs, or other leave of absence. Employee must attend the funeral in order to qualify for funeral leave pay.

ARTICLE 8 VACATION

Regular full-time employees, who were full-time as of 12/31/2012, are eligible for vacation as follows:

<u>Years of Service</u>	<u>Vacation Period</u>	<u>Bi-Weekly Accrual</u>
0 through 5 years	80 hours – 2 weeks	3.08 hours
5 through 15 years	120 hours – 3 weeks	4.62 hours
15 through 20 years	160 hours – 4 weeks	6.15 hours

Over 20 years

200 hours – 5 weeks

7.69 hours

Full-time employees hired after 12/31/2012 shall not be eligible for vacation under this Article 8. Scheduling of vacation is subject to the approval of the Administrator or his/her designee.

Employees accrue vacation beginning within the first day of employment, but may not use vacation during the first 3 months of employment.

All vacation requests must be approved by the employee's supervisor. Requests for vacation must be submitted at least one (1) week in advance, unless otherwise approved by the employee's supervisor. Vacation requests will not be approved after the schedule is posted. Approval will not be withheld unreasonably.

Vacation time may be accrued to a maximum of 1 ½ the employee's annual accrual rate.

Upon termination of employment, employees will be paid for accrued, but unused vacation.

ARTICLE 9 HOLIDAYS

Employees may be eligible for the following paid holidays:

New Years Day	Martin Luther King Day
Memorial Day	Veteran's Day
Independence Day	President's Day
Labor Day	Christmas Day
Thanksgiving Day	Last working day before or after Christmas
Day after Thanksgiving	Day as designated by the Mayor

Regular full-time employees are eligible for 8 hours of pay for each of the recognized holidays. Regular part-time employees are eligible for 6 hours of pay for each of the recognized holidays. If an employee works on a recognized holiday (defined as midnight to midnight of the actual holiday), he/she will be paid time and one-half for hours worked.

To be eligible for holiday pay, an employee must have worked their last full scheduled workday immediately before and their first full scheduled workday immediately after such holiday.

Any employee on unpaid leave of absence or layoff is not eligible for holiday pay. Any employee off work due to an on-the-job injury or paid leave of absence will not receive holiday pay after the first (30) days of absence.

**ARTICLE 10
PAID LEAVE TIME**

Full-time and part-time employees are eligible for paid leave time. Employees accrue paid leave time as of the first day of employment, but may not use paid leave time until after the completion of 3 months of employment.

Paid leave hours accrue as follows:

Schedule for full-time employees

Full-time employees shall accrue 9.69 hours per pay period.

Schedule for part-time employees

<u>Years of Service</u>	<u>Bi-Weekly Accrual</u>
0 through 3 years	3.08 hours
4 through 7 years	4.62 hours
8 through 11 years	4.93 hours
12 through 16 years	7.69 hours
17 years and over	8.69 hours

All paid leave time requests must be approved by the employee's supervisor. Requests for paid leave time must be submitted at least one (1) week in advance, unless otherwise approved by the employee's supervisor. Paid leave time will not be approved after the schedule is posted. When leave is needed due to sickness, the employee shall notify the immediate supervisor directly two (2) hours prior to the beginning of the scheduled reporting time. Failure to do so, without a bona fide reason, shall result in the employee being considered absent without leave, and subject to disciplinary action.

Paid leave time shall not be paid to an individual who is hired on an "as needed" basis unless that individual performs work for the employer during the pay period.

Paid leave time may be accrued to a maximum of 1 ½ the employee's annual accrual rate.

Upon termination of employment employees will be paid for accrued, but unused paid leave time.

**ARTICLE 11
JURY DUTY**

An employee required to serve as a juror shall receive his/her regular wage for scheduled hours missed due to serving as a juror. In order to receive payment for such duty, the employee must submit certification of service and assign all fees, except

mileage, travel expense and meals, received for such duty to the employer. If released from jury duty, the employee shall immediately contact his/her supervisor.

ARTICLE 12 OTHER LEAVE

Military leave will be granted in accordance with state and federal law.

ARTICLE 13 INSURANCE

Health Insurance: Regular full-time employees are eligible to participate in the City's provided group health insurance program.

Cadillac Tax Liability – Should the City's health insurance plan become classified as a Cadillac plan subject to tax under the Affordable Care Act, the employer reserves the right to open the contract as it relates to the insurance place structure at the discretion of the City. If the City opens the contract for insurance, the Union will be allowed to open the contract for wages.

Life Insurance: For each eligible regular full-time employee, the Employer will pay the premium for group life insurance in an amount equal to the employee's annual salary.

The insurance programs referred to in this contract shall be subject to all terms and conditions of the contract with the insurance carrier(s) selected by the Employer.

ARTICLE 14 DUES CHECKOFF

Upon receipt of a lawfully executed, written authorization from an employee which may be revoked in writing at any time, by giving thirty (30) days written notice, the Employer agrees to make monthly deductions from the wages of such employee and remit such deduction by the end of the month to the business address of the Union, with the accompanying list of employees in the bargaining unit, identifying from whom payroll deductions were made. The Union will notify the Employer in writing of the exact amount of such authorized deductions to be made. The Union agrees to indemnify and hold the Employer harmless against any and all claims, suits, orders or judgments brought or issued against the Employer as a result of any action taken or not taken by the Employer under the provisions of this Article.

The Union agrees to indemnify and hold the Employer harmless against any and all claims, suits, order or judgments brought or issued against the Employer as a result of any action taken or not taken by the Employer under the provisions of this Article.

**ARTICLE 13
WAGES**

BUS DRIVERS AND BUS CLEANERS

	<u>7-1-20</u>	<u>7-1-21</u>	<u>7-1-22</u>	<u>7-1-23</u>
Step 1	\$11.75	\$11.99	\$12.23	\$12.47
Step 2	\$12.33	\$12.58	\$12.83	\$13.09
Step 3	\$12.96	\$13.22	\$13.48	\$13.75
Step 4	\$13.34	\$13.61	\$13.88	\$14.16

DISPATCHERS

	GTRA1	GTRA2	GTRA3	GTRA4	GTRA5
<u>7/1/2020</u>	17.13	17.63	18.17	18.71	19.27
<u>7/1/2021</u>	17.47	17.98	18.53	19.08	19.66
<u>7/1/2022</u>	17.82	18.34	18.90	19.46	20.05
<u>7/1/2023</u>	18.18	18.71	19.28	19.85	20.45

DISPATCH SUPERVISOR

	GTRC1	GTRC2	GTRC3	GTRC4	GTRC5
<u>7/1/2020</u>	19.03	19.60	20.20	20.80	21.42
<u>7/1/2021</u>	19.41	19.99	20.60	21.22	21.85
<u>7/1/2022</u>	19.80	20.39	21.01	21.64	22.29
<u>7/1/2023</u>	20.20	20.60	21.43	22.07	22.74

All step increases are made on July 1. New employees must have at least 6 months of service to be eligible for a step increase. For example, an employee hired on December 15, 2019 would be eligible for a step increase on July 1, 2020. An employee hired on March 15, 2020 would not be eligible for a step increase on July 1, 2020.

Employees at or above top step will receive a 2% wage increase on 7/1/20, a 2% wage increase on 7/1/21, a 2% wage increase on 7/1/22, and a 2% wage increase on 7/1/23.

**ARTICLE 14
LONGEVITY**

The City will pay all bargaining unit employees, who are not simply "as needed," \$25.00 per month for each five years of continuous service. Effective date will be the employee's anniversary date.

ARTICLE 15
DURATION OF AGREEMENT

THIS AGREEMENT shall be effective July 1st, 2020 and shall continue to remain in full force and effect until its expiration on June 30th, 2024.

During the life of this Agreement, neither the Employer nor Union will be required to negotiate on any further matters affecting this Agreement or any other subjects not specifically set forth in this Agreement. Should either party desire to modify, amend, or terminate this Agreement, written notice must be served on the other party before October 15, 2023.

IN WITNESS WHEREOF, the parties hereto have caused this Agreement to be executed by their duly authorized representatives this 18 day of Feb., 2020.

CITY OF OTTUMWA, IOWA

TEAMSTERS LOCAL 238

By: Tom X. Lazio 2-18-2020
Tom X. Lazio, Mayor Date

By: _____
Rod Walton, Business Representative Date

ATTEST:

By: Melissa D. Huber 2-14-20
Date

Christa Reinhard 2-18-2020
Christina Reinhard, City Clerk Date

By: _____
Date

Employees at or above top step will receive a 2% wage increase on 7/1/21, a 2% wage increase on 7/1/22, and a 2% wage increase on 7/1/23.

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LONGEVITY**

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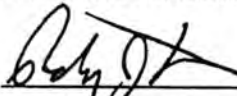
IN WITNESS WHEREOF, the parties hereto have caused this Agreement to be executed by their duly authorized representatives this ____ day of _____, 2020.

CITY OF OTTUMWA, IOWA

TEAMSTERS LOCAL 238

By: _____
Tom X. Lazio, Mayor
Representative

Date

By: 
Date 2/13/2020 Rod Walton, Business

ATTEST:

By:

Date

Christina Reinhard, City
Clerk Date

By:

Date

City of Ottumwa
Staff Summary

FILE
2020 FEB 12 PM 1:45
CITY
OTTUMWA

Council Meeting of: February 18, 2020

Item No. 28-2020

Finance Department
Department

Tom Loggia
City Administrator

Kala Mulder
Prepared By
Kala Mulder
Department Head

Agenda Title: Resolution No. 28-2020 Resolution for Public Hearing on the proposition to authorize a Loan Agreement and the issuance of Notes to evidence the obligations of the City thereunder. Not to Exceed \$19,000,000 General Obligation and Refunding Capital Loan Notes.

Purpose: The City Council is required to hold a public hearing on the proposition to authorize a Loan Agreement and the issuance of Notes to evidence the obligations of the City thereunder. Not to Exceed \$19,000,000 General Obligation and Refunding Capital Loan Notes.

Recommendation: Open public hearing.
Call for written and oral objections.
Close public hearing.

Discussion: A public hearing is required by law prior to the issuance of G.O. Debt. These bonds will be used to provide funds to pay the costs of (i) the acquisition, construction and equipping of works and facilities useful for the collection, treatment, and disposal of sewer and industrial waste, and for the collection and disposal of surface waters and streams, including costs associated with the Division I Combined Sewer Overflow project; (ii) the construction, reconstruction, and repairing of any street improvements, the acquisition, installation, and repair of sidewalks, storm sewers, sanitary sewers, water service lines, street lighting, and traffic control devices, including the acquisition of any real estate needed for any of the foregoing purposes; and (iii) refinancing and refunding certain outstanding indebtedness of the City, including the General Obligation Capital Loan Notes, Series 2012, dated April 2, 2012, and the General Obligation Capital Loan Notes, Series 2013D, dated December 30, 2013. Principal and interest on the proposed Loan Agreement will be payable from the Debt Service Fund.

ITEMS TO INCLUDE ON AGENDA

CITY OF OTTUMWA, IOWA

Not to Exceed \$19,000,000 General Obligation and Refunding Capital Loan Notes

- Public hearing on the authorization of a Loan Agreement and the issuance of Notes to evidence the obligation of the City thereunder.
- Resolution instituting proceedings to take additional action.

NOTICE MUST BE GIVEN PURSUANT TO IOWA CODE
CHAPTER 21 AND THE LOCAL RULES OF THE CITY.

February 18, 2020

The City Council of the City of Ottumwa, State of Iowa, met in regular session, in the Council Chambers, City Hall, 105 East 3rd Street, Ottumwa, Iowa, at 5:30 P.M., on the above date. There were present Mayor Lazio, in the chair, and the following named Council Members:

Stevens, Meyers, Berg, Dalbey, Roe

Absent: None

Vacant: None

The Mayor announced that this was the time and place for the public hearing and meeting on the matter of the authorization of a Loan Agreement and the issuance of not to exceed \$19,000,000 General Obligation and Refunding Capital Loan Notes, in order to provide funds to pay the costs of (i) the acquisition, construction and equipping of works and facilities useful for the collection, treatment, and disposal of sewer and industrial waste, and for the collection and disposal of surface waters and streams, including costs associated with the Division I Combined Sewer Overflow project; (ii) the construction, reconstruction, and repairing of any street improvements, the acquisition, installation, and repair of sidewalks, storm sewers, sanitary sewers, water service lines, street lighting, and traffic control devices, including the acquisition of any real estate needed for any of the foregoing purposes; and (iii) refinancing and refunding certain outstanding indebtedness of the City, including the General Obligation Capital Loan Notes, Series 2012, dated April 2, 2012, and the General Obligation Capital Loan Notes, Series 2013D, dated December 30, 2013, for essential corporate purposes, and that notice of the proposed action by the Council to institute proceedings for the authorization of the Loan Agreement and the issuance of the Notes had been published as provided by Sections 384.24A and 384.25 of the Code of Iowa.

The Mayor then asked the Clerk whether any written objections had been filed by any resident or property owner of the City to the issuance of the Notes. The Clerk advised the Mayor and the Council that zero written objections had been filed. The Mayor then called for oral objections to the issuance of the Notes and none were made. Whereupon, the Mayor declared the time for receiving oral and written objections to be closed.

(Attach here a summary of objections received or made, if any)

Whereupon, the Mayor declared the hearing on the authorization of entering into a Loan Agreement and the issuance of the Notes to be closed.

The Council then considered the proposed action and the extent of objections thereto.

Whereupon, Council Member Roe introduced and delivered to the Clerk the Resolution hereinafter set out entitled "RESOLUTION INSTITUTING PROCEEDINGS TO TAKE ADDITIONAL ACTION FOR THE ISSUANCE OF NOT TO EXCEED \$19,000,000 GENERAL OBLIGATION AND REFUNDING CAPITAL LOAN NOTES", and moved:

- that the Resolution be adopted.
- to ADJOURN and defer action on the Resolution and the proposal to institute proceedings for the issuance of notes to the meeting to be held at _____ .M. on the _____ day of _____, 2020, at this place.

Council Member Dalbey seconded the motion. The roll was called and the vote was,

AYES: Stevens, Meyers, Berg, Dalbey, Roe

NAYS: None

Whereupon, the Mayor declared the measure duly adopted.

Resolution No. 28-2020
RESOLUTION INSTITUTING PROCEEDINGS TO TAKE
ADDITIONAL ACTION FOR THE ISSUANCE OF NOT TO
EXCEED \$19,000,000 GENERAL OBLIGATION AND
REFUNDING CAPITAL LOAN NOTES

WHEREAS, pursuant to notice published as required by law, the City Council has held a public meeting and hearing upon the proposal to institute proceedings for the authorization of a Loan Agreement and the issuance of not to exceed \$19,000,000 General Obligation and Refunding Capital Loan Notes, for essential corporate purposes, in order to provide funds to pay the costs of (i) the acquisition, construction and equipping of works and facilities useful for the collection, treatment, and disposal of sewer and industrial waste, and for the collection and disposal of surface waters and streams, including costs associated with the Division I Combined Sewer Overflow project; (ii) the construction, reconstruction, and repairing of any street improvements, the acquisition, installation, and repair of sidewalks, storm sewers, sanitary sewers, water service lines, street lighting, and traffic control devices, including the acquisition of any real estate needed for any of the foregoing purposes; and (iii) refinancing and refunding certain outstanding indebtedness of the City, including the General Obligation Capital Loan Notes, Series 2012, dated April 2, 2012, and the General Obligation Capital Loan Notes, Series 2013D, dated December 30, 2013, and has considered the extent of objections received from residents or property owners as to the proposed issuance of Notes; and following action is now considered to be in the best interests of the City and residents thereof.

NOW, THEREFORE, BE IT RESOLVED BY THE CITY COUNCIL OF THE CITY OF OTTUMWA, STATE OF IOWA:

Section 1. That this Council does hereby institute proceedings and take additional action for the authorization and issuance in the manner required by law of not to exceed \$19,000,000 General Obligation and Refunding Capital Loan Notes, for the foregoing essential corporate purposes.

Section 2. This Resolution shall serve as a declaration of official intent under Treasury Regulation 1.150-2 and shall be maintained on file as a public record of such intent. It is

reasonably expected that the general fund moneys may be advanced from time to time for capital expenditures which are to be paid from the proceeds of the above Notes. The amounts so advanced shall be reimbursed from the proceeds of the Notes not later than eighteen months after the initial payment of the capital expenditures or eighteen months after the property is placed in service. Such advancements shall not exceed the amount authorized in this Resolution unless the same are for preliminary expenditures or unless another declaration of intention is adopted.

PASSED AND APPROVED this 18th day of February, 2020.



Mayor

ATTEST:




City Clerk

CERTIFICATE

STATE OF IOWA)
) SS
COUNTY OF WAPELLO)

I, the undersigned City Clerk of the City of Ottumwa, State of Iowa, do hereby certify that attached is a true and complete copy of the portion of the records of the City showing proceedings of the Council, and the same is a true and complete copy of the action taken by the Council with respect to the matter at the meeting held on the date indicated in the attachment, which proceedings remain in full force and effect, and have not been amended or rescinded in any way; that meeting and all action thereat was duly and publicly held in accordance with a notice of meeting and tentative agenda, a copy of which was timely served on each member of the Council and posted on a bulletin board or other prominent place easily accessible to the public and clearly designated for that purpose at the principal office of the Council pursuant to the local rules of the Council and the provisions of Chapter 21, Code of Iowa, upon reasonable advance notice to the public and media at least twenty-four hours prior to the commencement of the meeting as required by law and with members of the public present in attendance; I further certify that the individuals named therein were on the date thereof duly and lawfully possessed of their respective City offices as indicated therein, that no Council vacancy existed except as may be stated in the proceedings, and that no controversy or litigation is pending, prayed or threatened involving the incorporation, organization, existence or boundaries of the City or the right of the individuals named therein as officers to their respective positions.

WITNESS my hand and the seal of the Council hereto affixed this 19th day of February, 2020.

Christa Reinhard
City Clerk, City of Ottumwa, State of Iowa



(SEAL)

PROOF OF PUBLICATION

STATE OF IOWA
WAPELLO COUNTY

I, Ron Gutierrez, being duly sworn on my oath, say I am the Publisher of the Ottumwa Courier, a newspaper printed in said Wapello County, Iowa and of general circulation there in, and that the advertisement

PUBLIC HEARING 2020

CITY OF OTTUMWA

newspaper for 1 consecutive week's to-wit: 2/6/20 hereto attached was published in said
and in my presence, by the said 6TH day of FEBRUARY, 2020 Subscribed and sworn to before me,



Notary Public

In and for Wapello County

Printer's fee \$35.74

COPY OF ADVERTISEMENT

NOTICE OF MEETING OF THE CITY COUNCIL OF THE CITY OF OTTUMWA, STATE OF IOWA, ON THE MATTER OF THE PROPOSED AUTHORIZATION OF A LOAN AGREEMENT AND THE ISSUANCE OF NOT TO EXCEED \$19,000,000 GENERAL OBLIGATION AND REFUNDING CAPITAL LOAN NOTES OF THE CITY (FOR ESSENTIAL CORPORATE PURPOSES), AND THE HEARING ON THE ISSUANCE THEREOF PUBLIC NOTICE is hereby given that the City Council of the City of Ottumwa, State of Iowa, will hold a public hearing on the 18th day of February, 2020, at 5:30 P.M., in the Council Chambers, City Hall, 105 East 3rd Street, Ottumwa, Iowa, at which meeting the Council proposes to take additional action for the authorization of a Loan Agreement and the issuance of not to exceed \$19,000,000 General Obligation and Refunding Capital Loan Notes, for essential corporate purposes, to provide funds to pay the costs of (i) the acquisition, construction and equipping of works and facilities useful for the collection, treatment, and disposal of sewer and industrial waste, and for the collection and disposal of surface waters and streams, including costs associated with the Division I Combined Sewer Overflow project; (ii) the construction, reconstruction, and repairing of any street improvements, the acquisition, installation, and repair of sidewalks, storm sewers, sanitary sewers, water service lines, street lighting, and traffic control devices, including the acquisition of any real estate needed for any of the foregoing purposes; and (iii) refinancing and refunding certain outstanding indebtedness of the City, including the General Obligation Capital Loan Notes, Series 2012, dated April 2, 2012, and the General Obligation Capital Loan Notes, Series 2013D, dated December 30, 2013. Principal and interest on the proposed Loan Agreement will be payable from the Debt Service Fund. At the above meeting the Council shall receive oral or written objections from any resident or property owner of the City to the above action. After all objections have been received and considered, the Council will at the meeting or at any adjournment thereof, take additional action for the authorization of a Loan Agreement and the issuance of the Notes to evidence the obligation of the City thereunder or will abandon the proposal to issue said Notes. This notice is given by order of the City Council of the City of Ottumwa, State of Iowa, as provided by Sections 384.24A and 384.25 of the Code of Iowa. Dated this 6th day of February, 2020. Christina Reinhard City Clerk, City of Ottumwa, State of Iowa

PH Notice
Not to Exceed \$19,000,000
G.O. Debt

FILED

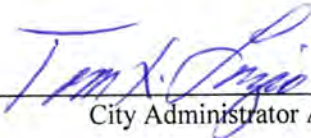
CITY OF OTTUMWA
Staff Summary

**** ACTION ITEM ****

Council Meeting of : Feb 18, 2020

Finance
Department

Kala Mulder
Prepared By
Kala Mulder
Department Head


City Administrator Approval

AGENDA TITLE: Resolution No. 34-2020, Approving the Preliminary Official Statement for \$19,000,000 General Obligation and Refunding Capital Loan Notes (Series 2012, dated April 2, 2012, and Series 2013D, dated December 30, 2013)

RECOMMENDATION: Pass and adopt Resolution No. 34-2020.

DISCUSSION: The City Council held a Public Hearing on the proposition to authorize a Loan Agreement and the issuance of Notes to evidence the obligations of the City thereunder, Not to Exceed \$19,000,000 General Obligation and Refunding Capital Loan Notes. These bonds will be used to provide funds to pay the costs of (i) the acquisition, construction and equipping of works and facilities useful for the collection, treatment, and disposal of sewer and industrial waste, and for the collection and disposal of surface waters and streams, including costs associated with the Division I Combined Sewer Overflow project; (ii) the construction, reconstruction, and repairing of any street improvements, the acquisition, installation, and repair of sidewalks, storm sewers, sanitary sewers, water service lines, street lighting, and traffic control devices, including the acquisition of any real estate needed for any of the foregoing purposes; and (iii) refinancing and refunding certain outstanding indebtedness of the City, including the General Obligation Capital Loan Notes, Series 2012, dated April 2, 2012, and the General Obligation Capital Loan Notes, Series 2013D, dated December 30, 2013. Principal and interest on the proposed Loan Agreement will be payable from the Debt Service Fund.

In conjunction with its Financial Advisor, Piper Sandler, the City has caused a Preliminary Official Statement to be prepared outlining the details of the proposed sale of the Notes; \$15,380,000* (Subject to Adjustment Pursuant to Terms of Offering) General Obligation and Refunding Capital Loan Notes, Series 2020.

Source of Funds:

Budgeted Item: Budget Amendment Needed:

RESOLUTION NO. Resolution No. 34-2020

A RESOLUTION APPROVING THE PRELIMINARY OFFICIAL STATEMENT FOR \$19,000,000 GENERAL OBLIGATION AND REFUNDING CAPITAL LOAN NOTES. (Series 2012, dated April 2, 2012, and Series 2013D, dated December 30, 2013)

WHEREAS, Council and staff have completed additional review of the Preliminary Official Statement; and

WHEREAS, it is necessary to approve the form of Preliminary Official Statement, to be deemed final prior to the date of the sale;

NOW, THEREFORE, BE IT RESOLVED BY THE CITY COUNCIL OF THE CITY OF OTTUMWA, IOWA:

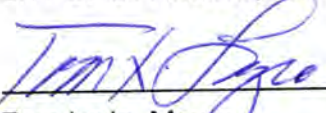
Section 1. That the preliminary Official Statement in the form presented to this meeting be and the same hereby is approved as to form and deemed final for purposes of Rule 15c2-12 of the Securities and Exchange Commission, subject to such revisions, corrections or modifications as the Mayor and City Clerk, upon the advice of disclosure and bond counsel, shall determine to be appropriate, and is authorized to be distributed in connection with the offering of the Bonds for sale.

APPROVED, PASSED, AND ADOPTED this 18th day of February, 2020.

AYES: Stevens, Meyers, Berg, Dalbey, Roe

NAYS: None

CITY OF OTTUMWA, IOWA



Tom Lazio, Mayor

ATTEST:



Christina Reinhard, City Clerk

Christina Reinhard, City Clerk

ITEMS TO INCLUDE ON AGENDA

CITY OF OTTUMWA, IOWA

\$15,380,000* (Subject to Adjustment Pursuant to Terms of Offering) General Obligation and Refunding Capital Loan Notes, Series 2020

- Resolution Approving Distribution of Preliminary Official Statement.

NOTICE MUST BE GIVEN PURSUANT TO IOWA CODE
CHAPTER 21 AND THE LOCAL RULES OF THE CITY.

February 18, 2020

The City Council of the City of Ottumwa, State of Iowa, met in regular session, in the Council Chambers, City Hall, 105 East 3rd Street, Ottumwa, Iowa, at 5:30 P.M., on the above date. There were present Mayor Lazio, in the chair, and the following named Council Members:

Stevens, Meyers, Berg, Dalbey, Roe

Absent: None

Vacant: None

* * * * *

Council Member Meyers introduced the following resolution entitled "RESOLUTION APPROVING DISTRIBUTION OF PRELIMINARY OFFICIAL STATEMENT", and moved that the resolution be adopted. Council Member Stevens seconded the motion to adopt. The roll was called and the vote was,

AYES: Stevens, Meyers, Berg, Dalbey, Roe

NAYS: None

Whereupon, the Mayor declared the resolution duly adopted as follows:

Resolution No. 34-2020
RESOLUTION APPROVING DISTRIBUTION OF
PRELIMINARY OFFICIAL STATEMENT

WHEREAS, in conjunction with its Financial Advisor, Piper Sandler, the City has caused a Preliminary Official Statement to be prepared outlining the details of the proposed sale of the Notes; and

WHEREAS, the Council deems it in the best interests of the City and the residents thereof to approve the distribution of the Preliminary Official Statement in connection with the offering of the Notes.

NOW, THEREFORE, BE IT RESOLVED BY THE CITY COUNCIL OF THE CITY OF OTTUMWA, STATE OF IOWA:

Section 1. That the preliminary Official Statement in the form presented to this meeting be and the same hereby is approved as to form and deemed final for purposes of Rule 15c2-12 of the Securities and Exchange Commission, subject to such revisions, corrections or modifications as the Mayor and Clerk, upon the advice of bond counsel, disclosure counsel, and the City's Financial Advisor, shall determine to be appropriate, and is authorized to be distributed in connection with the offering of the Notes for sale.

PASSED AND APPROVED this 18th day of February, 2020.



Mayor

ATTEST:



City Clerk

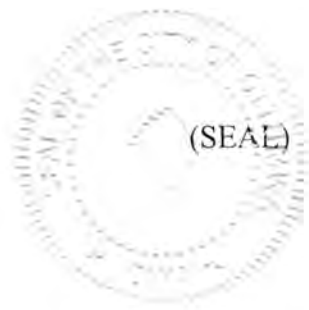
CERTIFICATE

STATE OF IOWA)
) SS
COUNTY OF WAPELLO)

I, the undersigned City Clerk of the City of Ottumwa, State of Iowa, do hereby certify that attached is a true and complete copy of the portion of the records of the City showing proceedings of the Council, and the same is a true and complete copy of the action taken by the Council with respect to the matter at the meeting held on the date indicated in the attachment, which proceedings remain in full force and effect, and have not been amended or rescinded in any way; that meeting and all action thereat was duly and publicly held in accordance with a notice of meeting and tentative agenda, a copy of which was timely served on each member of the Council and posted on a bulletin board or other prominent place easily accessible to the public and clearly designated for that purpose at the principal office of the Council pursuant to the local rules of the Council and the provisions of Chapter 21, Code of Iowa, upon reasonable advance notice to the public and media at least twenty-four hours prior to the commencement of the meeting as required by law and with members of the public present in attendance; I further certify that the individuals named therein were on the date thereof duly and lawfully possessed of their respective City offices as indicated therein, that no Council vacancy existed except as may be stated in the proceedings, and that no controversy or litigation is pending, prayed or threatened involving the incorporation, organization, existence or boundaries of the City or the right of the individuals named therein as officers to their respective positions.

WITNESS my hand and the seal of the Council hereto affixed this 19th day of February, 2020.

Christ Reinhard
City Clerk, City of Ottumwa, State of Iowa



NEW ISSUE - DTC BOOK ENTRY ONLY

Rating: " _ "

Subject to the Issuer's compliance with certain covenants, under present law, in the opinion of Bond Counsel, interest on the Notes is excludable from gross income for federal income tax purposes and is not an item of tax preference for purposes of the federal alternative minimum tax. Interest on the Notes is not exempt from present Iowa income taxes. The Notes will NOT be designated as "qualified tax-exempt obligations". See "TAX MATTERS" herein for additional information.



\$15,380,000*

City of Ottumwa, Iowa

General Obligation and Refunding Capital Loan Notes Series 2020

Dated: Date of Delivery

The General Obligation and Refunding Capital Loan Notes described above (the "Notes") are issuable as fully registered Notes in the denomination of \$5,000 or any integral multiple thereof and, when issued, will be registered in the name of Cede & Co., as Noteholder and nominee of the Depository Trust Company, New York, NY ("DTC"). DTC will act as securities depository for the Notes. Purchases of the Notes will be made in book-entry form. Purchasers of the Notes will not receive certificates representing their interest in the Notes purchased. So long as DTC or its nominee, Cede & Co., is the Noteholder, the principal of, premium, if any, and interest on the Notes will be paid by UMB Bank, n.a., as Registrar and Paying Agent (the "Registrar"), or its successor, to DTC, or its nominee, Cede & Co. Disbursement of such payments to the Beneficial Owners is the responsibility of the DTC Participants as more fully described herein. Neither the Issuer nor the Registrar will have any responsibility or obligation to such DTC Participants, indirect participants or the persons for whom they act as nominee with respect to the Notes.

Interest on the Notes is payable on May 1, and November 1 in each year, beginning November 1, 2020 to the registered owners thereof. Interest shall be payable by check or draft of the Paying Agent mailed to the persons who were registered owners thereof as of the fifteenth day of the month immediately preceding the Interest Payment Date, to the addresses appearing on the registration books maintained by the Paying Agent or to such other address as is furnished to the Paying Agent in writing by a registered owner.

The Notes maturing after May 1, 2029, may be called for redemption by the Issuer and paid before maturity on said date or any date thereafter, from any funds regardless of source, in whole or from time to time in part, in any order of maturity and within an annual maturity by lot. The terms of redemption shall be par, plus accrued interest to date of call.

MATURITY SCHEDULE *

Note Due	Amount *	Rate *	Yield *	Cusip Num.**	Notes Due	Amount	Rate *	Yield *	Cusip Num.**	
May 1, 2021	\$900,000				May 1, 2031	\$695,000				
May 1, 2022	945,000				May 1, 2032	720,000				
May 1, 2023	945,000				May 1, 2033	745,000				
May 1, 2024	980,000				May 1, 2034	670,000				
May 1, 2025	770,000				May 1, 2035	695,000				
May 1, 2026	790,000				May 1, 2036	725,000				
May 1, 2027	610,000				May 1, 2037	750,000				
May 1, 2028	630,000				May 1, 2038	780,000				
May 1, 2029	675,000				May 1, 2039	815,000				
May 1, 2030	695,000				May 1, 2040	845,000				
\$				Term Note due					Priced to yield	Cusip Num**

The Notes are being offered when, as and if issued by the Issuer and accepted by the Underwriter, subject to receipt of an opinion as to legality, validity and tax exemption by Ahlers & Cooney P.C., Des Moines, Iowa, Bond Counsel. It is expected that the Notes in the definitive form will be available for delivery on or about May 5, 2020. The Underwriter intends to engage in secondary market trading of the Notes subject to applicable securities laws. The Underwriter is not obligated, however, to repurchase any of the Notes at the request of the holder thereof.

PIPER | SANDLER

The Date of this Official Statement is _____, 2020

* Preliminary, subject to change

** CUSIP numbers shown above have been assigned by a separate organization not affiliated with the Issuer. The Issuer has not selected nor is responsible for selecting the CUSIP numbers assigned to the Notes nor do they make any representation as to the correctness of such CUSIP numbers on the Notes or as indicated above.

This Preliminary Official Statement and the information contained herein are subject to completion or amendment. These securities may not be sold nor may offers to buy be accepted prior to the time the Official Statement is delivered in final form. Under no circumstances shall this Preliminary Official Statement constitute an offer to sell or the solicitation of an offer to buy, nor shall there be any sale of the securities in any jurisdiction in which such offer, solicitation or sale would be unlawful prior to registration or qualification under the securities laws of any such jurisdiction.

No dealer, salesman or any other person has been authorized to give any information or to make any representations other than those contained in this Official Statement, and if given or made, such information or representations must not be relied upon as having been authorized by the Issuer or the Underwriter. This Official Statement does not constitute an offer to sell or a solicitation of any offer to buy any of the securities offered hereby in any state to any persons to whom it is unlawful to make such offer in such state. Except where otherwise indicated, this Official Statement speaks as of the date hereof. Neither the delivery of this Official Statement nor any sale hereunder shall under any circumstances create any implication that there has been no change in the affairs of the Issuer since the date hereof

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APPENDIX D - AUDITED FINANCIAL STATEMENTS OF THE ISSUER

IN CONNECTION WITH THIS OFFERING, THE UNDERWRITER MAY EFFECT TRANSACTIONS WHICH STABILIZE OR MAINTAIN THE MARKET PRICE OF THE NOTES AT A LEVEL ABOVE THAT WHICH MIGHT OTHERWISE PREVAIL IN THE OPEN MARKET. SUCH STABILIZING, IF COMMENCED, MAY BE DISCONTINUED AT ANY TIME.

This Official Statement is not to be construed as a contract with the purchasers of the Notes. The Issuer considers the Official Statement to be "near final" within the meaning of Rule 15c2-12 of the Securities Exchange Commission. Statements contained in this Official Statement which involve estimates, forecasts or matters of opinion, whether or not expressly so described herein, are intended solely as such and are not to be construed as a representation of facts.

THESE SECURITIES HAVE NOT BEEN REGISTERED WITH THE SECURITIES AND EXCHANGE COMMISSION BY REASON OF THE PROVISIONS OF SECTIONS 3(a)(2) OF THE SECURITIES ACT OF 1933, AS AMENDED. THE REGISTRATION OR QUALIFICATIONS OF THESE SECURITIES IN ACCORDANCE WITH APPLICABLE PROVISIONS OF SECURITIES LAWS OF THE STATES IN WHICH THESE SECURITIES HAVE BEEN REGISTERED OR QUALIFIED AND THE EXEMPTION FROM REGISTRATION OR QUALIFICATION IN OTHER STATES SHALL NOT BE REGARDED AS A RECOMMENDATION THEREOF. NEITHER THESE STATES NOR ANY OF THEIR AGENCIES HAVE PASSED UPON THE MERITS OF THE SECURITIES OR THE ACCURACY OR COMPLETENESS OF THIS OFFICIAL STATEMENT. ANY REPRESENTATION TO THE CONTRARY MAY BE A CRIMINAL OFFENSE.

FORWARD-LOOKING STATEMENTS

This Official Statement, including Appendix A, contains statements which should be considered "forward-looking statements," meaning they refer to possible future events or conditions. Such statements are generally identifiable by the words such as "plan," "expect," "estimate," "budget" or similar words. THE ACHIEVEMENT OF CERTAIN RESULTS OR OTHER EXPECTATIONS CONTAINED IN SUCH FORWARD-LOOKING STATEMENTS INVOLVE KNOWN AND UNKNOWN RISKS, UNCERTAINTIES AND OTHER FACTORS WHICH MAY CAUSE ACTUAL RESULTS, PERFORMANCE OR ACHIEVEMENTS EXPRESSED OR IMPLIED BY SUCH FORWARD-LOOKING STATEMENTS. THE CITY DOES NOT EXPECT OR INTEND TO UPDATE OR REVISE ANY FORWARD-LOOKING STATEMENTS CONTAINED HEREIN IF OR WHEN ITS EXPECTATIONS, OR EVENTS, CONDITIONS OR CIRCUMSTANCES ON WHICH SUCH STATEMENTS ARE BASED OCCUR.

**OFFICIAL STATEMENT
CITY OF OTTUMWA, IOWA
\$15,380,000***
GENERAL OBLIGATION AND REFUNDING CAPITAL LOAN NOTES SERIES 2020

INTRODUCTORY STATEMENT

This Official Statement presents certain information relating to the City of Ottumwa, Iowa (the “Issuer”), in connection with the sale of the Issuer’s General Obligation and Refunding Capital Loan Notes (the “Notes”). The Notes are being issued to provide funds for (i) the acquisition, construction and equipping of works and facilities useful for the collection, treatment, and disposal of sewer and industrial waste, and for the collection and disposal of surface waters and streams, including costs associated with the Division I Combined Sewer Overflow project; (ii) the construction, reconstruction, and repairing of any street improvements, the acquisition, installation, and repair of sidewalks, storm sewers, sanitary sewers, water service lines, street lighting, and traffic control devices, including the acquisition of any real estate needed for any of the foregoing purposes; and (iii) refinancing and refunding certain outstanding indebtedness of the City, including the General Obligation Capital Loan Notes, Series 2012, dated April 2, 2012, and the General Obligation Capital Loan Notes, Series 2013D, dated December 30, 2013; and paying the costs associated with the issuance of the Notes. See “**THE NOTES - Sources and Uses of Funds**” herein.

This Official Statement is deemed to be a final official statement within the meaning of Rule 15c2-12 of the Securities and Exchange Commission, except for the omission of certain pricing and other information which is to be made available through a final Official Statement.

This Introductory Statement is only a brief description of the Notes and certain other matters. Such description is qualified by reference to the entire Official Statement and the documents summarized or described herein. This Official Statement should be reviewed in its entirety.

The Notes are general obligations of the Issuer, payable from and secured by a continuing annual ad-valorem tax levied against all of the property valuation of the Issuer. See “**THE NOTES – Source of Security for the Notes**” herein.

All statements made in this Official Statement involving matters of opinion or of estimates, whether or not so expressly stated, are set forth as such and not as representations of fact, and no representation is made that any of the estimates will be realized.

THE NOTES

General

The Notes are dated as of the Date of Delivery, and will bear interest at the rates to be set forth on the cover page herein, interest payable on May 1 and November 1 in each year, beginning on November 1, 2020, calculated on the basis of a year of 360 days and twelve 30-day months. Interest shall be payable by check or draft of the Paying Agent mailed to the persons who were registered owners thereof as of the fifteenth day of the month immediately preceding the Interest Payment Date, to the addresses appearing on the registration books maintained by the Paying Agent or to such other address as is furnished to the Paying Agent in writing by a registered owner.

Authorization for the Issuance

The Notes are being issued pursuant to the Code of Iowa, 2019 as amended, Sections 384.24A and 384.25.

Book Entry Only System

The following information concerning The Depository Trust Company (“DTC”), New York, New York and DTC’s book-entry system has been obtained from sources the Issuer believes to be reliable. However, the Issuer takes no responsibility as to the accuracy or completeness thereof and neither the Indirect Participants nor the Beneficial Owners should rely on the following information with respect to such matters but should instead confirm the same with DTC or the Direct Participants, as the case may be. There can be no assurance that DTC will abide by its procedures or that such procedures will not be changed from time to time.

The Depository Trust Company (“DTC”), New York, NY will act as securities depository for the securities (the “Securities”). The Securities will be issued as fully-registered securities registered in the name of Cede & Co. (DTC’s partnership nominee) or such other name as may be requested by an authorized representative of DTC. One fully-registered Security certificate will be issued for the Securities in the aggregate principal amount of such issue, and will be deposited with DTC.

DTC, the world’s largest depository, is a limited-purpose trust company organized under the New York Banking Law, a “banking organization” within the meaning of New York Banking Law, a member of the Federal Reserve System, a “clearing corporation” within the meaning of the New York Uniform Commercial Code, and a “clearing agency” registered pursuant to the provisions of Section 17A of the Securities Exchange Act of 1934. DTC holds and provides asset servicing for over 3.5 million issues of U.S. and non-U.S. equity,

* Preliminary, subject to change

corporate and municipal debt issues and money market instrument from over 100 countries that DTC's participants ("Direct Participants") deposit with DTC. DTC also facilitates the post-trade settlement among Direct Participants of sales and other securities transactions in deposited securities through electronic computerized book-entry transfers and pledges between Direct Participants' accounts. This eliminates the need for physical movement of securities certificates.

Direct Participations include both U.S. and non-U.S. securities brokers and dealers, banks, trust companies clearing corporations and certain other organizations. DTC is a wholly-owned subsidiary of the Depository Trust & Clearing Corporation ("DTCC").

DTCC is the holding company for DTC, national Securities Clearing Corporation and Fixed Income Clearing Corporation, all of which are registered clearing agencies. DTCC is owned by the users of its regulated subsidiaries. Access to the DTC system is also available to others such as both U.S. and non-U.S. securities brokers and dealers, banks, trust companies and clearing corporations that clear through or maintain a custodial relationship with a Direct Participant, either directly or indirectly ("Indirect Participants"). DTC has Standard & Poor's highest rating: AA. The DTC Rules applicable to its Participants are on file with the Securities and Exchange Commission. More information about DTC can be found at www.dtcc.com and www.dtc.org.

Purchases of Securities under the DTC system must be made by or through Direct Participants, which will receive a credit for the Securities on DTC's records. The ownership interest of each actual purchaser of each security ("Beneficial Owner") is in turn to be recorded on the Direct and Indirect Participants' records. Beneficial Owners will not receive written confirmation from DTC of their purchase. Beneficial owners are, however, expected to receive written confirmations providing details of the transaction, as well as periodic statements of their holdings, from the Direct or Indirect Participant through which the Beneficial Owner entered in the transaction. Transfers of ownership interest in the Securities are to be accomplished by entries made on the books of Direct and Indirect Participants acting on behalf of Beneficial Owners. Beneficial Owners will not receive certificates representing their ownership in Securities, except in the event that use of the book-entry system for the Securities is discontinued.

To facilitate subsequent transfers, all Securities deposited by Direct Participants with DTC are registered in the name of DTC's partnership nominee, Cede & Co. or such other name as may be requested by an authorized representative of DTC. The deposit of Securities with DTC and their registration in the name of Cede & Co. or such other nominee do not effect any change in beneficial ownership. DTC has no knowledge of the actual Beneficial Owners of the Securities; DTC's records reflect only the identity of the Direct Participants to whose accounts such Securities are credited, which may or may not be the Beneficial Owners. The Direct and Indirect Participants will remain responsible for keeping account of their holdings on behalf of their customers.

Conveyance of notices and other communications by DTC to Direct Participants, by Direct Participants to Indirect Participants, and by Direct Participants and Indirect Participants to Beneficial Owners will be governed by arrangements among them, subject to any statutory or regulatory requirements as may be in effect from time to time. Beneficial Owners of Securities may wish to take certain steps to augment transmission to them notices of significant events with respect to the Securities, such as redemptions, tenders, defaults, and proposed amendments to the security documents. For example, Beneficial Owners of Securities may wish to ascertain that the nominee holding the Securities for their benefit have agreed to obtain and transmit notices to Beneficial Owners, in the alternative, Beneficial owners may wish to provide their names and addresses to the registrar and request that copies of the notices by provided directly to them.

Redemption notices shall be sent to DTC. If less than all of the Securities within an issue are being redeemed, DTC's practice is to determine by lot the amount of the interest of each Direct Participants in such issue to be redeemed.

Neither DTC nor Cede & Co. (nor such other DTC nominee) will consent or vote with respect to the Securities unless authorized by a Direct Participant in accordance with DTC's Procedures. Under its usual procedures, DTC mails an Omnibus Proxy to Issue as soon as possible after the record date. The Omnibus Proxy assigns Cede & Co.'s consenting or voting rights to those Direct Participants to whose accounts the Securities are credited on the record date (identified in a listing attached to the Omnibus Proxy).

Redemption proceeds, distributions and dividend payments on the Securities will be made to Cede & Co., or such other nominee as may be requested by an authorized representative of DTC. DTC's practice is to credit Direct Participants' accounts, upon DTC's receipt of funds and corresponding detail information from Issuer or Agent on payable date in accordance with their respective holdings shown on DTC's records. Payments by Participants to Beneficial Owners will be governed by standing instructions and customary practices, as is the case with securities held for the accounts of customers in bearer form or registered in "street name," and will be the responsibility of such Participant and not of DTC (nor its nominee), Agent, or Issuer, subject to any statutory or regulatory requirements as may be in effect from time to time. Payment of redemption proceeds, distributions, and dividend payments to Cede & Co. (or such other nominee as may be requested by an authorized representative of DTC) is the responsibility of Issuer or Agent, disbursement of such payments to Direct Participants will be the responsibility of DTC, and disbursement of such payments to Beneficial Owners will be the responsibility of Direct and Indirect Participants.

A Beneficial Owner shall give notice to elect to have its Securities purchased or tendered, through its Participant, to [Tender/Remarketing] Agent, and shall effect delivery of such Securities by causing the Direct Participant to transfer the Participant's interest in the Securities, on DTC's records, to [Tender/Remarketing] Agent. The requirement for physical delivery of Securities in connection with an optional tender or a mandatory purchase will be deemed satisfied when the ownership rights in the Securities are transferred by Direct Participants on

DTC's records and followed by a book-entry credit of tendered Securities to [Tender/Remarketing] Agent's DTC account.

DTC may discontinue providing its services as securities depository with respect to the Securities at any time by giving reasonable notice to Issuer or Agent. Under such circumstances, in the event that a successor securities depository is not obtained, Security certificates are required to be printed and delivered.

Issuer may decide to discontinue use of the system of book-entry-only transfers through DTC (or successor securities depository). In that event Security certificates will be printed and delivered to DTC.

The Issuer cannot and does not give any assurances that DTC, the Direct Participants or the Indirect Participants will distribute to the Beneficial Owners of the Notes (i) payments of principal of or interest and premium, if any, on the Notes, (ii) certificates representing an ownership interest or other confirmation of beneficial ownership interest in the Notes, or (iii) redemption or other notices sent to DTC or Cede & Co., its nominee, as the Registered Owner of the Notes, or that they will do so on a timely basis, or that DTC, Direct Participants or Indirect Participants will serve and act in the manner described in this Official Statement. The current "Rules" applicable to DTC are on file with the Securities Exchange Commission, and the current "Procedures" of DTC to be followed in dealing with Direct Participants are on file with DTC.

Neither the Issuer nor the Paying Agent/Trustee will have any responsibility or obligation to any Direct Participant, Indirect Participant or any Beneficial Owner or any other person with respect to: (1) the accuracy of any records maintained by DTC or any Direct Participant or Indirect Participant; (2) the payment by DTC or any Direct Participant or Indirect Participant of any amount due to any Beneficial Owner in respect of the principal or redemption price of or interest on the Notes; (3) the delivery by DTC or any Direct Participant or Indirect Participant of any notice to any Beneficial Owner which is required or permitted under the terms of the Indenture to be given to owners of Notes; (4) the selection of the Beneficial Owners to receive payment in the event of any partial redemption of the Notes; or (5) any consent given or other action taken by DTC as a Noteholder.

Transfer and Exchange

In the event that the Book Entry System is discontinued, any Note may, in accordance with its terms, be transferred by the person in whose name it is registered, in person or by his duly authorized attorney, upon surrender of such Note for cancellation at the principal corporate office of the Registrar accompanied by delivery of a duly executed written instrument of transfer in a form approved by the Registrar. Whenever any Note or Notes shall be surrendered for transfer, the Registrar shall execute and deliver a new Note or Notes of the same maturity, interest rate, and aggregate principal amount.

Notes may be exchanged at the principal corporate office of the Registrar for a like aggregate principal amount of Notes or other authorized denominations of the same maturity and interest rate; provided, however, that the Registrar is not required to transfer or exchange any Notes which have been selected for prepayment and is not required to transfer or exchange any Notes during the period beginning 15 days prior to the selection of Notes for prepayment and ending the date notice of prepayment is mailed. The Registrar may require the payment by the Note Owner requesting such exchange of any tax or other governmental charge required to be paid with respect to such exchange. All Notes surrendered pursuant to the provisions of this and the preceding paragraph shall be canceled by the Registrar and shall not be redelivered.

Prepayment

Optional Prepayment. The Notes maturing after May 1, 2029, may be called for redemption by the Issuer and paid before maturity on said date or any date thereafter, from any funds regardless of source, in whole or from time to time in part, in any order of maturity and within an annual maturity by lot. The terms of redemption shall be par, plus accrued interest to date of call.

Mandatory Sinking Fund Redemption The Notes maturing on _____ are subject to mandatory redemption (by lot, as selected by the Registrar) on _____ 1 and _____ in each of the years _____ through _____ at a redemption price of 100% of the principal amount thereof to be redeemed, plus accrued interest thereon to the redemption date in the following principal amounts:

_____ Term Note

<u>Mandatory Sinking Fund Date</u>	<u>Principal Amount</u>
------------------------------------	-------------------------

\$

(maturity)

Selection of Notes for Redemption Notes subject to redemption will be selected in such order of maturity as the Issuer may direct. If less than all of the Notes of a single maturity are to be redeemed, the Notes to be redeemed will be selected by lot or other random method by the Registrar in such a manner as the Registrar may determine.

Notice of Redemption. Prior to the redemption of any Notes under the provisions of the Resolution, the Registrar shall give notice by regular mail not less than thirty (30) days prior to the redemption date to each registered owner thereof.

On the dates so designated for redemption, notice having been given in the manner and under the conditions hereinabove, provided and moneys for payment of the redemption price being held in the Sinking Fund, the Notes so called for redemption shall become and be due and payable at the redemption price provided for redemption of such Notes on such date. Interest on the Notes so called for redemption shall cease to accrue; such Notes shall cease to be entitled to any benefit hereunder, and the Note Holders shall have no rights in respect thereof except to receive payment of the redemption price thereof.

Notes which have been duly called for redemption, with respect to which irrevocable instructions to call for redemption at a stated redemption have been given to the Registrar, and moneys for the payment the face amount thereof, premium, if any, and interest on are held in separate accounts by the Registrar in trust for Noteholders shall not thereafter be deemed to be outstanding under the provisions of the Resolution, other than be entitled to receive payment from such sources.

Source of Security for the Notes

These Notes are general obligations of the Issuer. All taxable property within the corporate boundaries of the Issuer is subject to the levy of taxes to pay the principal of and interest on the Notes without constitutional or statutory limitation as to amount.

NOTEHOLDERS' RISKS

Secondary Market

There can be no guarantee that there will be a secondary market for the Notes or, if a secondary market exists, that such Notes can be sold for any particular price. Occasionally, because of general market conditions or because of adverse history of economic prospects connected with a particular issue, and secondary marketing practices in connection with a particular Note or Notes issue are suspended or terminated. Additionally, prices of Note or note issues for which a market is being made will depend upon then prevailing circumstances. Such prices could be substantially different from the original purchase price of the Notes.

Ratings Loss

Moody's Investors Service, Inc. ("Moody's") has assigned a rating of "___" to the Notes. Generally, a rating agency bases its rating on the information and materials furnished to it and on investigations, studies and assumptions of its own. There is no assurance that the rating will continue for any given period of time, or that such rating will not be revised, suspended or withdrawn, if, in the judgment of Moody's, circumstances so warrant. A revision, suspension or withdrawal of a rating may have an adverse effect on the market price of the Notes.

Rating agencies are currently not regulated by any regulatory body. Future regulation of rating agencies could materially alter the methodology, rating levels, and types of ratings available, for example, and these changes, if ever, could materially affect the market value of the Notes.

Forward-Looking Statements

This Official Statement contains statements relating to future results that are "forward-looking statements" as defined in the Private Securities Litigation Reform Act of 1995. When used in this Official Statement, the words "estimate," "forecast," "intend," "expect" and similar expressions identify forward-looking statements. Any forward-looking statement is subject to uncertainty. Accordingly, such statements are subject to risks that could cause actual results to differ, possibly materially, from those contemplated in such forward-looking statements. Inevitably, some assumptions used to develop forward-looking statements will not be realized or unanticipated events and circumstances may occur. Therefore, investors should be aware that there are likely to be differences between forward looking statements and the actual results. These differences could be material and could impact the availability of funds of the Issuer to pay debt service when due on the Notes.

Tax Matters, Bank Qualification and Loss of Tax Exemption

As discussed under the heading "Tax Matters" herein, the interest on the Notes could become includable in gross income for purposes of federal income taxation retroactive to the date of delivery of the Notes, as a result of acts or omissions of the Issuer in violation of its covenants in the Resolution. Should such an event of taxability occur, the Notes would not be subject to a special prepayment and would remain outstanding until maturity or until prepaid under the prepayment provisions contained in the Notes, and there is no provision for an adjustment of the interest rate on the Notes.

It is possible that further legislation will be proposed or introduced that could result in changes in the way that tax exemption is calculated, or whether interest on certain securities are exempt from taxation at all. Prospective purchasers should consult with their own tax advisors

regarding the Jobs Act, the Reduction Act and any other pending or proposed federal income tax legislation. The likelihood of the Jobs Act or the Reduction Act being enacted or whether the currently proposed terms of the Jobs Act or the Reduction Act will be altered or removed during the legislative process cannot be reliably predicted.

It is also possible that actions of the Issuer after the closing of the Notes will alter the tax status of the Notes, and, in the extreme, remove the tax exempt status from the Notes. In that instance, the Notes are not subject to mandatory prepayment, and the interest rate on the Notes does not increase or otherwise reset. A determination of taxability on the Notes, after closing of the Notes, could materially adversely affect the value and marketability of the Notes.

DTC-Beneficial Owners

Beneficial Owners of the Notes may experience some delay in the receipt of distributions of principal of and interest on the Notes since such distributions will be forwarded by the Paying Agent to DTC and DTC will credit such distributions to the accounts of the Participants which will thereafter credit them to the accounts of the Beneficial Owner either directly or indirectly through indirect Participants. Neither the Issuer nor the Paying Agent will have any responsibility or obligation to assure that any such notice or payment is forwarded by DTC to any Participants or by any Participant to any Beneficial Owner.

In addition, since transactions in the Notes can be effected only through DTC Participants, indirect participants and certain banks, the ability of a Beneficial Owner to pledge the Notes to persons or entities that do not participate in the DTC system, or otherwise to take actions in respect of such Notes, may be limited due to lack of a physical certificate. Beneficial Owners will be permitted to exercise the rights of registered Owners only indirectly through DTC and the Participants. See “**THE NOTES– Book-Entry Only System.**”

Other Factors

An investment in the Notes involves an element of risk. In order to identify risk factors and make an informed investment decision, potential investors should be thoroughly familiar with this entire Official Statement (including the Appendices hereto) in order to make a judgment as to whether the Notes are an appropriate investment.

Pending Federal Tax Legislation

From time to time, there are Presidential proposals, proposals of various federal committees, and legislative proposals are pending in Congress that could, if enacted, alter or amend one or more of the federal (or state) tax matters described herein in certain respects or would adversely affect the market value of the Notes or otherwise prevent holders of the Notes from realizing the full benefit of the tax exemption of interest on the Notes. Further such proposals may impact the marketability or market value of the Notes simply by being proposed. It cannot be predicted whether or in what forms any of such proposals, either pending or that may be introduced, may be enacted and there can be no assurance that such proposals will not apply to the Notes. In addition, regulatory actions are from time to time announced or proposed, and litigation threatened or commenced, which if implemented or concluded in a particular manner, could adversely affect the market value, marketability or tax status of the Notes. It cannot be predicted whether any such regulatory action will be implemented, how any particular litigation or judicial action will be resolved, or whether the Notes would be impacted thereby

Tax Levy Procedures

The Notes are general obligations of the Issuer, payable from and secured by a continuing ad valorem tax levied against all of the property valuation within the Issuer. As part of the budgetary process each fiscal year, the Issuer will have an obligation to request a debt service levy to be applied against all of the taxable property within the Issuer. A failure on the part of the Issuer to make a timely levy request or a levy request by the Issuer that is inaccurate or is insufficient to make full payments of the debt service of the Notes for a particular fiscal year may cause Bondholders to experience delay in the receipt of distributions of principal of and/or interest on the Notes. In the event of a default in the payment of principal of or interest on the Notes, there is no provision for acceleration of maturity of the principal of the Notes. Consequently, the remedies of the owners of the Notes (consisting primarily of an action in the nature of mandamus requiring the Issuer and certain other public officials to perform the terms of the resolution for the Notes) may have to be enforced from year to year.

Federal Funds Orders, and State Funds Legislation

Various federal executive orders, and a law (SF 481) enacted in Iowa and effective July 1, 2018 (collectively “ICE Enforcement Initiatives”), impose requirements intended to ensure compliance with the federal immigration detention processes. The ICE Enforcement Initiatives impose various penalties for non-compliance, including the loss of state and/or federal funding under certain circumstances. The loss of state and/or federal funds in any significant amount could negatively impact the Issuer’s overall financial position and may affect its rating. However, the Notes are secured by a debt service levy upon real property in the jurisdictional limits of the Issuer, and are not secured by state or federal funds.

Cybersecurity

The Issuer, like many other public and private entities, relies on a large and complex technology environment to conduct its operations. As

such, it may face multiple cybersecurity threats including but not limited to, hacking, viruses, malware and other attacks on computer or other sensitive digital systems and networks. There can be no assurances that any security and operational control measures implemented by the Issuer will be completely successful to guard against and prevent cyber threats and attacks. The result of any such attacks could impact business operations and/or digital networks and systems and the costs of remedying any such damage could be significant.

Loss of Tax Base

Economic and other factors beyond the City's control, such as economic recession, deflation of property values, or financial difficulty or bankruptcy by one or more major property taxpayers, or the complete or partial destruction of taxable property caused by, among other eventualities, earthquake, flood, fire or other natural disaster, could cause a reduction in the assessed value within the corporate boundaries of the City. In addition, the State of Iowa has been susceptible to tornados, flooding and other extreme weather wherein winds and flooding have from time to time caused significant damage, which may have an adverse impact on the City's financial position.

Pension and OPEB Information

The Issuer contributes to the Iowa Public Employees' Retirement System ("IPERS"), and the Municipal Fire and Police Retirement System of Iowa ("MFPRSI"). For information concerning Net Pension Liability and contribution rates, see Appendices A and D.

Continuing Disclosure

A failure by the Issuer to comply with continuing disclosure obligations (see "CONTINUING DISCLOSURE" herein) will not constitute an event of default on the Notes. Any such failure must be disclosed in accordance with Rule 15c2-12 (the "Rule") adopted by the Securities and Exchange Commission (the "Commission") under the Securities Exchange Act of 1934, as amended (the "Exchange Act"), and may adversely affect the transferability and liquidity of the Notes and their market price.

Suitability of Investment

The interest rate borne by the Notes is intended to compensate the investor for assuming the risk of investing in the Notes. Each prospective investor should carefully examine this Official Statement and its own financial condition to make a judgment as to its ability to bear the economic risk of such an investment, and whether or not the Notes are an appropriate investment for such investor.

Summary

The foregoing is intended only as a summary of certain risk factors attendant to an investment in the Notes. In order for potential investors to identify risk factors and make an informed investment decision, potential investors should become thoroughly familiar with this entire Official Statement and the Appendices hereto.

LITIGATION

The City encounters litigation occasionally, as a course of business, however, no litigation currently exists that is not believed to be covered by current insurance carriers and no litigation has been proposed that questions the validity of these Notes.

ACCOUNTANT

The accrual-basis financial statements of the Issuer included as **APPENDIX D** to this Official Statement have been examined by Anderson, Larkin & Co., PC to the extent and for the periods indicated in their report thereon. Such financial statements have been included herein without permission of said CPA, and said CPA expresses no opinion with respect to the Notes or the Official Statement.

UNDERWRITING

The Notes are being purchased, subject to certain conditions, by Piper Sandler & Co. (the "Underwriter"). The Underwriter has agreed, subject to certain conditions, to purchase all, but not less than all, of the Notes at an aggregate purchase price of \$ _____ plus accrued interest to the Closing Date.

The Underwriter may offer and sell the Notes to certain dealers (including dealers depositing the Notes into unit investment trusts, certain of which may be sponsored or managed by the Underwriter) at prices lower than the initial public offering prices stated on the cover page. The initial public offering prices of the Notes may be changed, from time to time, by the Underwriter.

The Underwriter intends to engage in secondary market trading of the Notes subject to applicable securities laws. The Underwriter is not obligated, however, to repurchase any of the Notes at the request of the holder thereof.

The Underwriter has entered into a distribution agreement ("Distribution Agreement") with Charles Schwab & Co., Inc. ("CS&Co") for

the retail distribution of certain securities offerings at the original issue prices. Pursuant to the Distribution Agreement, CS&Co. will purchase Notes from the Underwriter at the original issue price less a negotiated portion of the selling concession applicable to any Notes that CS&Co. sells.

THE PROJECT

The Notes are being issued to provide funds for (i) the acquisition, construction and equipping of works and facilities useful for the collection, treatment, and disposal of sewer and industrial waste, and for the collection and disposal of surface waters and streams, including costs associated with the Division I Combined Sewer Overflow project; (ii) the construction, reconstruction, and repairing of any street improvements, the acquisition, installation, and repair of sidewalks, storm sewers, sanitary sewers, water service lines, street lighting, and traffic control devices, including the acquisition of any real estate needed for any of the foregoing purposes; and (iii) refinancing and refunding certain outstanding indebtedness of the City, including the General Obligation Capital Loan Notes, Series 2012, dated April 2, 2012, and the General Obligation Capital Loan Notes, Series 2013D, dated December 30, 2013, and paying the costs associated with the issuance of the Notes.

SOURCES AND USES OF FUNDS *

<u>Sources of Funds</u>		
	Note Proceeds	\$
	Reoffering Premium	
<u>Total Sources of Funds</u>		\$
<u>Uses of Funds</u>		
	Deposit to Project Fund	\$
	Deposit to Redemption Fund	
	Costs of Issuance	
	Underwriter's Discount	
	Contingency	
<u>Total Uses of Funds</u>		\$

TAX MATTERS

Tax Exemptions and Related Considerations: Federal tax law contains a number of requirements and restrictions that apply to the Notes. These include investment restrictions, periodic payments of arbitrage profits to the United States, requirements regarding the proper use of bond proceeds and facilities financed with bond proceeds, and certain other matters. The Issuer has covenanted to comply with all requirements that must be satisfied in order for the interest on the Notes to be excludable from gross income for federal income tax purposes. Failure to comply with certain of such covenants could cause interest on the Notes to become includable in gross income for federal income tax purposes retroactively to the date of issuance of the Notes.

Subject to the Issuer's compliance with the above referenced covenants, under present law, in the opinion of Bond Counsel, interest on the Notes is excludable from gross income of the owners thereof for federal income tax purposes, and is not included as an item of tax preference in computing the federal alternative minimum tax.

Prospective purchasers of the Notes should be aware that ownership of the Notes may result in collateral federal income tax consequences to certain taxpayers, including, without limitation, corporations subject to the branch profits tax, financial institutions, certain insurance companies, certain S corporations, individual recipients of Social Security or Railroad Retirement benefits and taxpayers who may be deemed to have incurred (or continued) indebtedness to purchase or carry tax-exempt obligations. Bond Counsel will not express any opinion as to such collateral tax consequences. Prospective purchasers of the Notes should consult their tax advisors as to collateral federal income tax consequences.

Interest on the Notes is not exempt from present Iowa income taxes.

Ownership of the Notes may result in other state and local tax consequences to certain taxpayers. Bond Counsel expresses no opinion regarding any such collateral consequences arising with respect to the Notes. Prospective purchasers of the Notes should consult their tax advisors regarding the applicability of any such state and local taxes.

NOT-Qualified Tax-Exempt Obligations: The Issuer will NOT designate the Notes as "qualified tax-exempt obligations" under the exception provided in Section 265(b)(3) of the Internal Revenue Code of 1986, as amended (the "Code").

Tax Accounting Treatment of Discount and Premium on Certain Notes: The initial public offering price of certain Notes (the "Discount Notes") may be less than the amount payable on such Notes at maturity. An amount equal to the difference between the initial public

offering price of Discount Notes (assuming that a substantial amount of the Discount Notes of that maturity are sold to the public at such price) and the amount payable at maturity constitutes original issue discount to the initial purchaser of such Discount Notes. Purchasers of Discount Notes should consult with their own tax advisors with respect to the determination of accrued original issue discount on Discount Notes for federal income tax purposes and with respect to the state and local tax consequences of owning and disposing of Discount Notes. It is possible that, under applicable provisions governing determination of state and local income taxes, accrued interest on Discount Notes may be deemed to be received in the year of accrual even though there will not be a corresponding cash payment.

The initial public offering price of certain Notes ("Premium Notes") may be greater than the amount of such Notes at maturity. An amount equal to the difference between the initial public offering price of Premium bonds (assuming that a substantial amount of the Premium Notes of that maturity are sold to the public at such price) and the amount payable at maturity constitutes a premium to the initial purchaser of such Premium Notes. Purchasers of the Premium Notes should consult with their own tax advisors with respect to the determination of amortizable bond premium on Premium Notes for federal income tax purposes and with respect to the state and local tax consequences of owning and disposing of Premium Notes.

Other Tax Advice: In addition to the income tax consequences described above, potential investors should consider the additional tax consequences of the acquisition, ownership, and disposition of the Notes. For instance, state income tax law may differ substantially from state to state, and the foregoing is not intended to describe any aspect of the income tax laws of any state. Therefore, potential investors should consult their own tax advisors with respect to federal tax issues and with respect to the various state tax consequences of an investment in Notes.

Audits: The Internal Revenue Service (the "Service") has an ongoing program of auditing tax-exempt obligations to determine whether, in the view of the Service, interest on such tax-exempt obligations is includable in the gross income of the owners thereof for federal income tax purposes. It cannot be predicted whether or not the Service will commence an audit of the Notes. If an audit is commenced, under current procedures the Service may treat the Issuer as a taxpayer and the bondholders may have no right to participate in such procedure. The commencement of an audit could adversely affect the market value and liquidity of the Notes until the audit is concluded, regardless of the ultimate outcome.

Withholdings: Payments of interest on, and proceeds of the sale, redemption or maturity of, tax-exempt obligations, including the Notes, are in certain cases required to be reported to the Service. Additionally, backup withholding may apply to any such payments to any Bond owner who fails to provide an accurate Form W-9 Request for Taxpayer Identification Number and Certification, or a substantially identical form, or to any Bond owner who is notified by the Service of a failure to report any interest or dividends required to be shown on federal income tax returns. The reporting and backup withholding requirements do not affect the excludability of such interest from gross income for federal tax purposes.

Legislation: Current and future legislative proposals, including some that carry retroactive effective dates, if enacted into law, or clarification of the Code may cause interest on the Notes to be subject, directly or indirectly, to federal income taxation, or otherwise prevent owners of the Notes from realizing the full current benefit of the tax status of such interest. For example, on December 22, 2017, Public Law 115-97, the Tax Cuts and Jobs Act ("TCJA") was signed into law. For tax years beginning after December 31, 2017, the TCJA, among other things, significantly changes the income tax rates on individuals and corporations, modifies the current provisions relative to the federal alternative minimum tax on individuals, and eliminates the federal alternative minimum tax for corporations. The TCJA, or the introduction or enactment of any other legislative proposals, clarification of the Code or court decisions may also affect, perhaps significantly, the market price for, or marketability of, the Notes. Prospective purchasers of the Notes should consult their own tax advisors regarding the TCJA, as well as any pending or proposed tax legislation, as to which Bond Counsel expresses no opinion other than as set forth in its legal opinion.

The opinions expressed by Bond Counsel are based upon existing legislation and regulations as interpreted by relevant judicial and regulatory authorities as of the date of issuance and delivery of the Notes, and Bond Counsel has expressed no opinion as of any date subsequent thereto or with respect to any proposed or pending legislation, regulatory initiatives or litigation.

Enforcement: Holders of the Notes shall have and possess all the rights of action and remedies afforded by the common law, the Constitution and statutes of the State of Iowa and of the United States of America for the enforcement of payment of the Notes, including, but not limited to, the right to a proceeding in law or in equity by suit, action or mandamus to enforce and compel performance of the duties required by Iowa law and the Resolution authorizing issuance of the Notes (the "Bond Resolution"). There is no bond trustee or similar person to monitor or enforce the terms of the resolution for issuance of the Notes. In the event of a default in the payment of principal of or interest on the Notes, there is no provision for acceleration of maturity of the principal of the Notes. Consequently, the remedies of the owners of the Notes may have to be enforced from year to year.

The obligation to pay general ad valorem property taxes is secured by a statutory lien upon the taxed property, but is not an obligation for which a property owner may be held personally liable in the event of a deficiency. The owners of the Notes cannot foreclose on property within the boundaries of the Issuer or sell such property in order to pay the debt service on the Notes. In addition, the enforceability of the rights and remedies of owners of the Notes may be subject to limitation as set forth in Bond Counsel's opinion. The opinion to be delivered concurrently with the delivery of the Notes will be qualified as to the enforceability of the various legal instruments by limitations imposed

by general principles of equity and public policy and by bankruptcy, reorganization, insolvency or other similar laws affecting the rights of creditors generally, and to the exercise of judicial discretion in appropriate cases.

Opinion: Bond Counsel's opinion is not a guarantee of a result, or of the transaction on which the opinion is rendered, or of the future performance of parties to the transaction, but represents its legal judgment based upon its review of existing statutes, regulations, published rulings and court decisions and the representations and covenants of the Issuer described in this section. No ruling has been sought from the Service with respect to the matters addressed in the opinion of Bond Counsel and Bond Counsel's opinion is not binding on the Service. Bond Counsel assumes no obligation to update its opinion after the issue date to reflect any further action, fact or circumstance, or change in law or interpretation, or otherwise.

ALL POTENTIAL PURCHASERS OF THE NOTES SHOULD CONSULT WITH THEIR TAX ADVISORS WITH RESPECT TO FEDERAL, STATE AND LOCAL TAX CONSEQUENCES OF OWNERSHIP OF THE NOTES (INCLUDING BUT NOT LIMITED TO THOSE LISTED ABOVE).

CONTINUING DISCLOSURE

The Issuer has covenanted for the benefit of the holders of the Notes to provide certain financial information and operating data relating to the Issuer, and to provide notices of the occurrence of certain enumerated events, if deemed by the Issuer to be material (the "Undertaking"). The specific nature of the information that the Issuer may provide pursuant to the Undertaking is summarized herein under the caption "**APPENDIX C - Form of Continuing Disclosure Certificate.**"

Within the last five years, the City did not timely file certain financial and operating data tables and did not timely file notice of its failure to provide the information on or before the date specified in its prior continuing disclosure undertakings. Failure to file notices have now been posted for all Undertakings related to currently outstanding obligations.

I have reviewed the information contained within the Official Statement of the City of Ottumwa, Iowa, and said Official Statement does not contain any material misstatements of fact nor omissions of any material fact regarding the issue of \$15,380,000* General Obligation and Refunding Capital Loan Notes, Series 2020 of said Issuer to be issued under date of delivery.

OTTUMWA, IOWA

/s/ Kala Mulder
Finance Director

* Preliminary, subject to change

APPENDIX A - INFORMATION ABOUT THE ISSUER

CITY OF OTTUMWA, IOWA

CITY OFFICIALS

MAYOR	Matt Dalby, Mayor Pro Tem
COUNCIL MEMBERS:	Holly Berg Bob Meyers Marc Roe Skip Stevens
INTERIM CITY ADMINISTRATOR:	Tom Lazio
CITY CLERK:	Chris Reinhard
CITY TREASURER:	Kala Mulder
CITY ATTORNEY	Joni Keith

CONSULTANTS

BOND COUNSEL:	Ahlers & Cooney, PC Des Moines, IA
UNDERWRITER:	Piper Sandler & Co. Des Moines, IA
REGISTRAR/PAYING AGENT:	UMB Bank, n.a. West Des Moines, IA

General Information

The City of Ottumwa is located in southeastern Iowa approximately 90 miles southeast of Des Moines. Ottumwa, the County seat, is the eighteenth largest city in the state of Iowa, with population (2010 Census) totaling 25,023. Ottumwa is the largest city in a 90 mile radius of southeastern Iowa and northeastern Missouri, and includes substantial retail, commercial and industrial capacity. The County operates with a full time auditor and treasurer, and three Board of Supervisors, each elected to staggered, four-year terms.

The City is served by U.S. Highways 34 and 63; Iowa highways 23, 137 and 16 and an excellent network of paved county roads. Freight rail service is provided the county by I&M Rail Link, the Burlington Northern and the Chicago and North Western. AMTRAK service is provided in the City of Ottumwa. Charter air service is available at the Ottumwa Municipal Airport by Ottumwa Flying Service.

The City is home to major employers, including: John Deere, Inc.; JBS Pork Ottumwa and Al-Jon Inc. IES operates an electric generating plant near Chillicothe in the northwestern part of the County. Substantial employment is also available in the Eddyville area from major employers including: Heartland Lysine Inc.; Cargill Inc.; and Ajinomoto Inc. of Japan.

Utilities

The following utilities operate within the Issuer providing the services indicated:

Electric Power:	Alliant Utilities
Natural Gas:	Mid American Utilities
Telephone:	CenturyLink
Water:	Ottumwa Water Works and Hydro
Sanitary Waste:	City of Ottumwa

Employee Pension Plan

Defined Benefit Plan – Iowa Public Employees’ Retirement System

Plan Description. Iowa Public Employees’ Retirement System (“IPERS”) membership is mandatory for employees of the Issuer. The Issuer’s employees are provided with pensions through a cost-sharing multiple employer defined pension plan administered by IPERS. IPERS benefits are established under Iowa Code, Chapter 97B and the administrative rules thereunder. The Issuer’s employee who completed seven years of covered service or has reached the age of 65 while in IPERS covered employment becomes vested. If the Issuer’s employee retires before normal retirement age, the employees’ monthly retirement benefit will be permanently reduced by an early-retirement reduction. IPERS provides pension benefits as well as disability benefits to Issuer employees and benefits to the employees’ beneficiaries upon the death of the eligible employee. See “APPENDIX D–AUDITED FINANCIAL STATEMENTS OF THE ISSUER–NOTES TO THE FINANCIAL STATEMENTS” for additional information on IPERS. Additionally, copies of IPERS annual financial report may be obtained from www.ipers.org. Moreover, IPERS maintains a website at www.ipers.com. However, the information presented in such financial reports or on such websites is not incorporated into this Official Statement by any reference.

Contributions. Effective July 1, 2012, as a result of a 2010 law change, IPERS contribution rates for the Issuer and its employees are established by IPERS following the annual actuarial valuation (which applies IPERS’ Contribution Rate Funding Policy and Actuarial Amortization method.) State statute, however, limits the amount rates can increase or decrease each year to one (1) percentage point. Therefore, any difference between the actuarial contribution rates and the contributions paid is due entirely to statutorily set contributions that may differ from the actual contribution rates. As a result, while the contribution rate in the fiscal year ended June 30, 2017 equaled the actuarially required rate, there is no guarantee, due to this statutory limitation on rate increases, that the contribution rate will meet or exceed the actuarially required rate in the future.

The Issuer’s contributions to IPERS is not less than that which is required by law. The Issuer’s share of the contribution, payable from the applicable funds of the Issuer, is provided by a statutorily authorized annual levy of taxes without limit or restriction as to rate or amount. The Issuer has always made its full required contributions to IPERS.

The following table sets forth the contributions made by the Issuer and its employees to IPERS for the period indicated. The Issuer cannot predict the levels of funding that will be required in the future.

Table 1 – Issuer and Employees Contribution to IPERS.

Fiscal Year	Issuer Contribution		Issuer Employees' Contribution	
	Amount Contributed	% of Covered Payroll	Amount Contributed	% of Covered Payroll
2015	\$553,872	8.93		5.95
2016	571,948	8.93		5.95
2017	604,546	8.93		5.95
2018	593,469	8.93		5.95
2019	636,669	9.44		6.29

SOURCE: The Issuer

The Issuer cannot predict the levels of funding that will be required in the future as any IPERS unfunded pension benefit obligation could be reflected in future years in higher contribution rates. The investment of moneys, assumptions underlying the same and the administration of IPERS is not subject to the direction of the Issuer. Thus, it is not possible to predict, control or prepare for future unfunded accrued actuarial liabilities of IPERS (“UAALs”). The UAAL is the difference between total actuarially accrued liabilities and actuarially calculated assets available for the payment of such benefits. The UAAL is based on assumptions as to retirement age, mortality, projected salary increases attributed to inflation, across-the-board raises and merit raises, adjustments, cost-of-living adjustments, valuation of current assets, investment return and other matters. Such UAAL could be substantial in the future, requiring significantly increased contributions from the Issuer which could affect other budgetary matters.

The following table sets forth certain information about the funding status of IPERS that has been extracted from the comprehensive annual financial reports of IPERS for the fiscal years ended June 30, 2019 through, and including, 2015 (collectively, the “IPERS CAFRs (2015-2019)”), and the actuarial valuation reports provided to IPERS by Cavanaugh MacDonald Consulting, LLC (collectively, the “IPERS Actuarial Reports (2015-2019)”). Additional information regarding IPERS and its latest actuarial valuations can be obtained by contacting IPERS administrative staff.

Table 2 – Funding Status of IPERS

Valuation Date	Actuarial Value of Assets [a]	Market Value of Assets [b]	Actuarial Accrued Liability [c]	Unfunded Actuarial Accrued Liability (Actuarial Value) [c]-[a]	Funded Ratio (Actuarial Value) [a]/[c]	Unfunded Actuarial Accrued Liability (Market Value) [c]-[b]	Funded Ratio (Market Value) [b]/[c]	Covered Payroll [d]	UAAL as a Percentage of Covered Payroll (Actuarial Value) [[c]-a]/[d]]
2015	27,915,379,103	28,429,834,829	33,370,318,731	5,454,939,628	83.65	4,940,483,902	85.19	7,326,348,141	74.46
2016	29,033,696,587	28,326,433,656	34,619,749,147	5,586,052,560	83.86	6,293,315,491	81.82	7,556,515,720	73.92
2017	30,472,423,914	30,779,116,326	37,440,382,029	6,967,958,115	81.39	6,661,265,703	82.21	7,863,160,443	88.62
2018	31,827,755,864	32,314,588,595	38,642,833,653	6,815,077,789	82.36	6,328,245,058	83.62	7,983,219,527	85.37
2019	33,324,327,606	34,010,680,731	39,801,338,797	6,477,011,191	83.73	5,790,658,066	85.45	8,151,043,468	71.04

Source: IPERS CAFRs and IPERS Actuarial Reports for the periods indicated

For a description of the assumptions used when calculating the funding status of IPERS, see IPERS CAFRs

Table 3 – Recent returns of IPERS

According to IPERS, the market value investment return on program assets is as follows:

Fiscal Year Ended June 30	Investment Return %
2015	3.96
2016	2.15
2017	11.70
2018	7.97
2019	8.35

Net Pension Liabilities.

Effective for fiscal years beginning after June 15, 2014, GASB Statement 68 requires all reporting units in a multi-employer cost sharing pension plan to record a balance sheet liability for their proportionate share of the net pension liability of the plan. The Issuer was required to implement GASB 68 in their year end June 30, 2015 financial statements.

At June 30, 2019, the Issuer reported a liability of \$5,596,287 for its proportional share of the IPERS net pension liability. The net pension liability was measured as of June 30, 2018 and the total pension liability used to calculate the net pension liability was determined by an actuarial valuation as of that date. The discount rate used to measure the total pension liability was 7.0%. The Issuer's proportion of the net pension liability was based on the Issuer's share of contributions to the pension plan relative to the contributions of all IPERS participating employers. See "APPENDIX D—AUDITED FINANCIAL STATEMENTS OF THE ISSUER—NOTES TO THE FINANCIAL STATEMENTS" for additional information related to the Issuer's deferred outflows and inflows of resources related to pensions, actuarial assumptions, discount rate and discount rate sensitivity.

Detailed information about the pension plan's fiduciary net position is available in the separately issued IPERS financial report which is available on IPERS' website at www.ipers.org.

Bond Counsel, Disclosure Counsel, the Issuer, and the Financial Advisor undertake no responsibility for and make no representations as to the accuracy or completeness of the material available from IPERS as discussed above or included on the IPERS website, including, but not limited to, updates of such information on the Auditor of State's website or links to other websites through the IPERS website.

Defined Benefit Pension Plan - Municipal Fire and Police Retirement System of Iowa

The Municipal Fire and Police Retirement System of Iowa (MFPRSI), created under Chapter 411.35 of the Code of Iowa, is the administrator of a multiple-employer, cost sharing, defined benefit pension plan for the exclusive benefit of eligible employees of participating cities (substantially all full-time employees of the respective fire and police departments). It is governed by a nine-member board of trustees who are elected by the employers and participants of MFPRSI. The City's responsibility is limited to payment of contributions. MFPRSI provides retirement disability and death benefits that are established by state statute to plan members and beneficiaries.

Plan members and their employer cities are required to contribute as shown below:

<u>Fiscal Year</u>	<u>Combined contribution rate</u>	<u>% of Payroll paid by Issuer</u>	<u>% of Payroll paid by Employee</u>
2015	39.81	30.41	9.40
2016	37.17	27.77	9.40
2017	35.32	25.92	9.40
2018	33.81	24.41	9.40
2019	34.71	25.31	9.40

MFPRSI is administered by the Board with administration costs paid from income derived from invested funds. MFPRSI has an unfunded actuarial liability and unrecognized actuarial loss. The following table sets forth certain information about the funding status of MFPRSI that has been extracted from the Actuarial Valuation Report for fiscal years noted below (the "MFPRSI Reports").

<u>Fiscal Year Ended June 30</u>	<u>Actuarial Value of Assets [a]</u>	<u>Actuarial Accrued Liability [b]</u>	<u>Unfunded Actuarial Accrued Liability Actuarial Value [b] - [a]</u>	<u>Funded Ratio {Actuarial Value} [a] / [b]</u>	<u>Covered Payroll [c]</u>	<u>UAL as a % of Covered Payroll (Actuarial Value) [(b-a) / [c]]</u>
2015	2,239,539,373	2,769,994,684	530,455,311	80.85	273,319,323	1.941
2016	2,333,944,800	2,867,807,326	533,862,526	81.38	283,639,887	1.882
2017	2,436,896,111	3,023,371,171	562,209,754	81.40	296,237,982	1.980
2018	2,578,863,993	3,145,031,474	566,167,481	82.00	302,420,313	1.872
2019	2,649,186,492	3,269,051,818	619,865,326	81.04	315,937,395	1.962

Source: MFPRSI Actuarial Reports (2015-2019)

For a description of the assumptions used when calculating the funding status of MFPRSI for each fiscal year ended June 30, see MFPRSI CAFRs (2012-2017)

The investment return on the market value of program assets is as follows:

<u>Fiscal Year Ended June 30</u>	<u>Investment Return %</u>
2015	3.07
2016	0.22
2017	11.75
2018	7.63
2019	5.44

Net Pension Liabilities. Effective for fiscal years beginning after June 15, 2014, GASB Statement 68 requires all reporting units in a multi-employer cost sharing pension plan to record a balance sheet liability for their proportionate share of the net pension liability of the plan. The Issuer was required to implement GASB 68 in their year end June 30, 2015 financial statements.

At June 30, 2019, the Issuer reported a liability of \$8,116,594 for its proportional share of the MFPRSI net pension liability. The net pension liability was measured as of June 30, 2018 and the total pension liability used to calculate the net pension liability was determined by an actuarial

valuation as of that date. The discount rate used to measure the total pension liability was 7.5%. The Issuer's proportion of the net pension liability was based on the Issuer's share of contributions to the pension plan relative to the contributions of all MFPRSI participating employers. See "APPENDIX D—AUDITED FINANCIAL STATEMENTS OF ISSUER - NOTES TO THE FINANCIAL STATEMENTS—PENSION PLAN" for additional information related to the Issuer's deferred outflows and inflows of resources related to pensions, actuarial assumptions, discount rate and discount rate sensitivity.

Detailed information about the pension plan's fiduciary net position is available in the separately issued MFPRSI financial report which is available on MFPRSI's website at www.mfprsi.org.

Bond Counsel, the Issuer, the Underwriter and the Financial Advisor undertake no responsibility for and make no representations as to the accuracy or completeness of the information available from the MFPRSI discussed above or included on the MFPRSI website, including, but not limited to, updates of such information on the State Auditor's website or links to other Internet sites accessed through the MFPRSI website.

Other Post Employment Benefits (OPEB)

Plan Description. The City administers a single-employer benefit plan which provides medical, prescription drug, dental and life insurance benefits for employees, retirees and their spouses. Group insurance benefits are established under Iowa Code Chapter 509A.13. No assets are accumulated in a trust that meets the criteria in paragraph 4 of GASB Statement No. 75.

Benefits provided. Employees hired before February 22, 1993 with at least 20 years of service are eligible for retiree health care benefits. Individuals who are employed by the City and are eligible to participate in the group health plan are eligible to continue healthcare benefits upon retirement. Retirees under age 65 pay the same premium for medical, prescription drug and dental benefits as active employees, which results in an implicit rate subsidy and an OPEB liability. The City does not offer a post-employment benefit subsidy to employees hired on or after February 22, 1993. These employees can receive health care benefits on a pay-all basis. Life insurance for retirees is \$3,000 with no additional reductions. Retirees do not contribute for this coverage. Life insurance is not offered to the dependents of retirees. The OPEB liability is general liquidated by the General fund for governmental activities and the respective funds for business-type activities.

Employees covered by the benefit terms. At June 30, 2019, the following employees were covered by the benefit terms:

Inactive employees or beneficiaries currently receiving benefit payments	119
Active employees	<u>20</u>
	139

Total OPEB liability. The City's total OPEB liability of \$10,123,398 was measured as of June 30, 2019, and was determined by an actuarial valuation as of that date.

Changes in the Total OPEB Liability

	<u>Total OPEB Liability</u>
Balance at the beginning of year	\$10,551,047
Changes for the year:	
Service Cost	46,196
Interest Cost	367,189
Differences between expected and actual experience	(24,013)
Changes in assumptions or other inputs	136,614
Benefit payments	(568,899)
Other Changes	(384,736)
Net Changes	<u>(427,649)</u>
Balance at end of year	<u>\$10,123,398</u>

Source: 2019 Audited financial statements

See "APPENDIX D – AUDITED FINANCIAL STATEMENTS OF ISSUER - NOTES TO THE FINANCIAL STATEMENTS (NOTE 6)" for additional information related to the Issuer's deferred outflows and inflows of resources related to OPEBs, actuarial assumptions, discount rate, discount rate sensitivity and expenses.

Population

The following table sets forth population trends for the Issuer:

<u>Year</u>	<u>Population</u>
2010	25,023
2000	24,998
1990	24,488
1980	27,381
1970	29,610

Source: U.S. Census Bureau

Major Employers

Following are the major employers within the City and the current number of people they employ:

<u>Employer</u>	<u>Business</u>	<u>Approximate Employees</u>
JBS Pork Ottumwa	Pork processing	2,200
John Deere Ottumwa Works	Agricultural Equipment	950
Ottumwa Regional Healthcare	Healthcare	825
Indian Hills Community College	Education	825
Ottumwa Community School District	Education	700
Cargill (located in Eddyville)	Com processing	500
Winger Mechanical Company	Sheet Metal Fabrication	315
Ajinomoto Animal Nutrition North America	Animal Feed Additives	275
City of Ottumwa	Government	250
Keurig Dr. Pepper Inc.	Beverage bottling	200
Hy-Vee	Grocery store	190
Menards	Retail store	190
Tenco Industries	Packaging and Assembly	180
QSI	Chemical Cleaning Service	150
Wapello County	Government	150
Winbco Tank Co.	Stainless Steel Fabrication	125
CNC Manufacturing	Landfill Compaction Equipment	100
Norris Asphalt Paving Co.	Asphalt Paving Materials	100

Source: Locationone.com

Employment Statistics

The State of Iowa Department of Job Service reports unemployment unadjusted rates as follows (November 2019)

<u>Governmental Body</u>	<u>Percentage Unemployed</u>
National Average:	3.50%
State of Iowa:	2.60%
Wapello County:	3.00%

Source: Iowa Workforce Development

Historical Employment Statistics

Presented below are the historical unemployment rates for the years indicated for Wapello County and the State of Iowa.

<u>Calendar Year</u>	<u>Wapello County</u>	<u>State Of Iowa</u>
2018	3.40%	2.50%
2017	4.80	3.10
2016	5.80	3.60
2015	5.20	3.80
2014	5.50	4.20

Source: Iowa Workforce Development

Retail Sales

Presented below are retail sales statistics for the City of Ottumwa for the period indicated:

<u>Year Ended</u>	<u>Taxable Retail Sales</u>	<u>Number of Businesses</u>
2019	\$335,240,641	670
2018	350,297,209	671
2017	374,082,634	694
2016	386,900,094	708
2015	392,609,388	710

Source: Iowa Department of Revenue

Building Permits

Presented below are the building permits issued in the City for the calendar year indicated.

<u>Fiscal Year</u>	<u>Number of Permits</u>	<u>Dollar Value</u>
2019		
2018	169	4,951,596
2017	252	21,446,819
2016	258	21,346,052
2015	248	4,494,063

Source: the Issuer

Local Option Sales Tax

The City approved a 1% local option sales and service tax ("Local Option Tax") at a special referendum. The Local Option Tax for the City became effective July 1, 1998. On November 6, 2012, the Local Option Tax was renewed with an approval rate of approximately 63%. The City's Local Option Tax referendum question stated that proceeds of such tax would be designated for 10% to be used for property tax relief and 90% to be used for street improvements and/or sewer improvements. The City is currently authorized to collect this tax through December 31, 2025.

Once approved, a Local Option Tax can only be repealed through a public referendum at which a majority voting approve the repeal or tax rate change. If a Local Option Tax is not imposed county-wide, then the question of repeal is voted upon only by voters in such areas of a county where the tax has been imposed. A Local Option Tax may not be repealed within one year of the effective date.

The State of Iowa Department of Revenue (the "Department") administers collection and disbursement of all local option sales and services taxes in conjunction with administration of the State-wide sales, services and use tax presently assessed at 6%. The Department is required by statute to remit at least 95% of the estimated tax receipts to a county board of supervisors (for taxes imposed in unincorporated areas) and to each incorporated city. Such remittances are on a monthly basis. Once a year the Department reconciles its monthly estimated payments and makes an adjustment payment or debit at the November 10 payment date. Remittance of collections within a county are based upon the following statutory formula for county-wide collections:

75 percent: Based on a pro rata share of population (the most recent certified federal census) of those incorporated or unincorporated areas in a county which have approved a Local Option Tax.

25 percent: Based on a pro rata share of total property tax dollars levied during the three year period beginning July 1, 1982, through June 30, 1985, for those incorporated or unincorporated areas of a county which have approved a Local Option Tax.

Local Option Taxes are based on the same sales currently taxed by the state-wide 6% sales and services tax, with the present statutory exceptions of (i) certain sales of motor fuel or special fuel as defined in Chapter 452A, (ii) the sale of natural gas or electric energy in a city or county where the gross receipts are subject to a franchise fee or user fee during the period the franchise or user fee is imposed, (iii) the sales price from a pay television service consisting of a direct-to-home satellite service, or (iv) the sale of equipment by the State Department of Transportation.

The following table shows the trend of City Local Option tax receipts.

<u>Fiscal Year ending June 30</u>	<u>Local Option Sales Tax Receipts (2)</u>	<u>Percent of Change +(-)</u>
2019	3,046,422	(7.35%)
2018	3,288,140	0.26%
2017	3,279,640	(0.74%)
2016	3,304,164	(6.59%)
2015	3,537,231	4.05%
2014	3,399,674	6.76%

(1) Source: Iowa Department of Revenue.

(2) Includes a reconciliation payment in November attributable to the previous fiscal year.

(3) Collections received or expected to be received, not including any allowance for the reconciliation payment.

Property Tax Legislation

During the 2013 legislative session, the Iowa General Assembly enacted Senate File 295 (the "Act"), which the Governor signed into law on June 12, 2013. Among other things, the Act (i) reduces the maximum annual taxable value growth percent, due to revaluation of existing residential and agricultural property, from the current 4% to 3%, (ii) assigns a "rollback" (the percentage of a property's value that is subject to tax) to commercial, industrial and railroad property of 95% for the 2013 assessment year and 90% for the 2014 assessment year and all years thereafter, (iii) creates a new property tax classification for multi-residential properties (mobile home parks, manufactured home communities, land-lease communities, assisted living facilities and property primarily used or intended for human habitation containing three or more separate dwelling units) ("Multi-residential Property") that begins in the 2015 assessment year, and assigns a declining rollback percentage of 3.75% to such properties for each subsequent year until 2021 assessment year (the rollback percentage for Multi-residential Properties will be equal to the residential rollback percentage in 2022 assessment year and thereafter) and (iv) exempts a specified portion of the assessed value of telecommunication properties.

The Act includes a standing appropriation to replace some of the tax revenues lost by local governments, including tax increment districts, resulting from the new rollback for commercial and industrial property. Prior to Fiscal Year 2017-18, the appropriation is a standing unlimited appropriation, but beginning in fiscal year 2017-18 the standing appropriation cannot exceed the actual fiscal year 2016-17 appropriation amount. The appropriation does not replace losses to local governments resulting from the Act's provisions that reduce the annual revaluation growth limit for residential and agricultural properties to 3% from 4%, the gradual transition for Multi-residential Property from the commercial rollback percentage (100% of Actual Value in Fiscal Year 2013-14) to the residential rollback percentage (currently 54.4002% of Actual Valuation), or the reduction in the percentage of telecommunications property that is subject to taxation.

Given the wide scope of the statutory changes, and the State of Iowa's discretion in establishing the annual replacement amount that is appropriated each year commencing in fiscal year 2017-18, the impact of the Act on the City's future property tax collections is uncertain and the City is unable to accurately assess the financial impact of the Act's provisions on the City's future operations.

In Moody's Investor Service US Public Finance Weekly Credit Outlook, dated May 30, 2013, Moody's Investor Service ("Moody's") projected that local governments in the State of Iowa are likely to experience modest reductions in property tax revenues starting in fiscal year 2014-15 as a result of the Act, with sizeable reductions possible starting in fiscal year 2017-18. According to Moody's, local governments that may experience disproportionately higher revenue losses include regions that have a substantial commercial base, a large share of Multi-residential Property (such as college towns), or significant amounts of telecommunications property.

Notwithstanding any decrease in property tax revenues that may result from the Act, Iowa Code section 76.2 provides that when an Iowa political subdivision issues general obligation bonds, "the governing authority of these political subdivisions before issuing bonds shall, by resolution, provide for the assessment of an annual levy upon all the taxable property in the political subdivision sufficient to pay the interest and principal of the bonds within a period named not exceeding twenty years. A certified copy of this resolution shall be filed with the county auditor or the auditors of the counties in which the political subdivision is located; and the filing shall make it a duty of the auditors to enter annually this levy for collection from the taxable property within the boundaries of the political subdivision until funds are realized to pay the bonds in full."

From time to time, other legislative proposals may be considered by the Iowa General Assembly that would, if enacted, alter or amend one or more of the property tax matters described in this Official Statement. It cannot be predicted whether or in what forms any of such proposals may be enacted, and there can be no assurance that such proposals will not apply to valuation, assessment or levy procedures for the levy of taxes by the City.

Property Tax Valuations

In compliance with Section 441.21 of the Code of Iowa, as amended, the State Director of Revenue annually directs all county auditors to apply prescribed statutory percentages to the assessments of certain categories of real property. The final values, called Actual Valuation, are then adjusted by the county auditor. Assessed or Taxable Valuation subject to tax levy is then determined by the application of State determined rollback percentages, principally to residential and commercial property.

Beginning in 1978, the State required a reduction in Actual Valuation to reduce the impact of inflation on its residents. The resulting value is defined as the Assessed or Taxable Valuation. The rollback percentages for residential, agricultural and commercial valuations are as follows:

Fiscal Year	Residential Rollback	Ag Land & Buildings	Commercial	Multi-residential
2020-21	55.0743	81.4832	90.0000	71.2500
2019-20	55.6209	54.4480	90.0000	78.7500
2018-19	56.9391	47.4996	90.0000	82.5000
2017-18	56.9391	47.4996	90.0000	82.5000
2016-17	55.6259	46.1068	90.0000	86.2500

Source: Iowa Department of Revenue

Property is assessed on a calendar year basis. The assessments finalized as of January 1 of each year are applied to the following fiscal year. For example, the assessments finalized on January 1, 2016 are used to calculate tax liability for the tax year starting July 1, 2017 through June 30, 2018. Presented below are actual and taxable valuations for the period indicated:

Valuation Year	Actual Valuation w/ Utilities	% Change in Actual Valuation	Debt Service Valuation w/ Utilities	% Change in Debt Service Valuation
2019	1,041,083,102	1.00%	625,005,880	-1.00%
2018	1,030,739,721	-0.01%	631,348,061	-5.14%
2017	998,301,734	-3.15%	618,138,534	-7.12%
2016	1,030,806,015	2.87%	665,530,026	2.58%
2015	1,002,029,772	3.21%	648,778,554	4.04%
2014	970,894,024	0.91%	623,614,070	-0.35%

Property Valuations

Presented below are the historic property valuations of the Issuer by class of property.

Valuation as of January Fiscal Year	2019 2020-21	2018 2019-20	2017 2018-19	2016 2017-18	2015 2016-17	2014 2015-16
Residential:	643,709,591	635,098,518	632,662,170	638,570,727	617,167,001	628,593,825
Agricultural Land:	1,108,240	1,674,750	1,662,660	1,792,680	2,172,982	2,180,780
Ag Buildings:	67,740	82,030	80,240	92,100	187,016	187,016
Commercial:	205,207,228	200,959,647	194,363,801	231,448,273	234,736,150	226,730,208
Multi Residential	28,967,691	40,258,381	38,816,281	39,841,922	37,385,876	
Industrial:	40,405,638	27,993,011	27,459,232	26,183,608	25,981,522	32,078,875
Personal RE:	0	0	0			0
Railroads:	4,407,992	3,920,830	3,335,978	3,543,857	3,171,551	2,695,696
Utilities:	2,888,096	3,499,745	3,413,549	3,284,571	3,623,033	3,996,448
Other:	0	0	0	0	0	0
Total Valuation:	926,762,216	913,486,912	901,793,911	944,757,738	924,425,131	896,462,848
Less Military:	1,907,720	2,043,001	2,126,335	2,261,372	2,251,579	2,560,868
Net Valuation:	924,854,496	911,443,911	899,667,576	942,496,366	922,173,552	893,901,980
TIF Valuation:	10,546,546	17,189,523	20,184,849	19,817,882	24,463,831	27,487,497
Utility Replacement:	105,682,060	102,106,287	78,449,309	68,491,767	55,392,389	49,504,547

Taxable Valuation Valuation as of January Fiscal Year	2019 2020-21	2018 2019-20	2017 2018-19	2016 2017-18	2015 2016-17	2014 2015-16
Residential:	351,357,868	355,474,235	344,370,870	356,683,963	338,833,968	344,162,465
Agricultural Land:	903,024	940,072	905,283	851,505	1,001,889	974,766
Ag Buildings:	55,196	46,045	43,689	43,746	86,228	83,599
Commercial:	184,668,233	180,852,047	174,915,786	208,291,931	211,250,990	204,045,677
Multi Residential	26,070,923	30,193,895	30,567,838	32,869,591	32,245,325	
Industrial:	28,729,343	25,193,711	24,713,310	23,565,248	23,383,371	28,870,988
Personal RE:	0	0	0			0
Railroads:	3,967,193	3,528,747	3,002,380	3,189,471	2,854,396	2,426,126
Utilities:	2,888,096	3,499,745	3,413,549	3,284,571	3,623,033	3,996,448
Other:	0	0	0	0	0	0
Total Valuation:	598,639,876	599,728,497	581,932,705	628,780,026	613,279,200	584,560,069
Less Military:	1,907,720	2,043,001	2,126,335	2,261,372	2,251,579	2,560,868
Net Valuation:	596,732,156	597,685,496	579,806,370	626,518,654	611,027,621	581,999,201
TIF Valuation:	10,234,523	16,877,500	19,872,826	19,452,959	19,322,993	22,637,633
Utility Replacement:	18,039,201	16,785,065	18,459,338	19,558,413	18,427,940	18,977,236

Source: Iowa Department of Management

Tax Rates

The Issuer levied the following taxes for collection during the fiscal years indicated:

<u>Fiscal Year</u>	<u>General</u>	<u>Outside</u>	<u>Emergency</u>	<u>Debt</u>	<u>Employee Benefits</u>	<u>Capital</u>	<u>Total Levy</u>
2020	8.10000	1.78500	0.21000	3.05577	9.30571	0.00000	22.45648
2019	8.10000	1.78500	0.21000	3.54789	8.30228	0.00000	21.94517
2018	8.10000	1.78500	0.21000	3.54789	7.80229	0.00000	21.44518
2017	8.10000	1.78500	0.27000	3.43886	7.13293	0.00000	20.72679
2016	8.10000	1.68500	0.27000	3.18594	7.28946	0.00000	20.53040

Source: Iowa Department of Management

Historic Tax Rates

<u>Fiscal Year</u>	<u>City</u>	<u>School</u>	<u>College</u>	<u>State</u>	<u>Assessor</u>	<u>Ag Externs</u>	<u>County</u>	<u>Total Levy Rate</u>
2020	22.45648	14.74676	0.99340	0.00280	0.44353	0.21643	7.26719	46.12659
2019	21.94517	14.68765	0.95940	0.00290	0.38830	0.20680	7.62535	45.81557
2018	21.44518	14.66352	0.95947	0.00310	0.40637	0.20250	7.97489	45.65503
2017	20.72679	14.66923	0.94358	0.00330	0.47474	0.20401	7.91388	44.93553
2016	20.53040	14.69705	0.94340	0.00330	0.58199	0.20384	7.95232	44.33031

Source: Iowa Department of Management

Tax Collection History

<u>Fiscal Year</u>	<u>Amount Levied</u>	<u>Amount Collected</u>	<u>% Collected</u>
2019-20	13,831,000	In Collection	NA
2018-19	14,493,000	14,483,000	99.9%
2017-18	15,230,000	15,223,000	99.9
2016-17	14,417,000	14,383,000	99.7
2015-16	13,960,000	13,817,000	99.0
2014-15	13,427,000	13,337,000	99.0

Source: the Issuer and Iowa Department of Management

Public Funds Investments

As of January 31, 2020, the Issuer held investments in the following amounts:

	<u>Amount on Deposit</u>
Non Marketable CD	\$
Local Bank Time Deposits	
Money Market Deposits	
Marketable Securities	
Total	

Source: City of Ottumwa

Largest Taxpayers

Set forth in the following table are the persons or entities which represent the 2019 largest taxpayers within the Issuer, as provided by the County Auditor's Offices. No independent investigation has been made of and no representation is made herein as to the financial condition of any of the taxpayers listed below or that such taxpayers will continue to maintain their status as major taxpayers in the City. The City's mill levy is uniformly applicable to all of the properties included in the table, and thus taxes expected to be received by the City from such taxpayers will be in proportion to the assessed valuations of the properties. The total tax bill for each of the properties is dependent upon the mill levies of the other taxing entities which overlap the properties.

<u>Taxpayer</u>	<u>2019 Taxable Valuation</u>	<u>Percent of Total</u>
MPT of Ottumwa-Lima LLC	30,886,137	4.94%
Interstate Power and Light Co	13,987,042	2.24%
Swift Pork Company	9,722,959	1.56%
Wal-Mart Real Estate Business Trust	9,341,442	1.49%
Menard Inc	7,650,000	1.22%
Atlantis Senior Living II LLC	7,391,129	1.18%
John Deere Ottumwa Works	6,474,825	1.04%
CHCT Iowa LLC	6,060,285	0.97%
Millard Refrigerated Services	5,343,300	0.85%
RB Ottumwa LLC	4,797,603	0.77%
	Total	16.26%

Source: County Auditor

(1) Utility Property Tax Replacement

Beginning in 1999, the State replaced its previous property tax assessment procedure in valuing the property of entities involved primarily in the production, delivery, service and sale of electricity and natural gas with a replacement tax formula based upon the delivery of energy by these entities. Electric and natural gas utilities now pay replacement taxes to the State in lieu of property taxes. All replacement taxes are allocated among local taxing cities by the State Department of Revenue and Finance and the Department of Management. This allocation is made in accordance with a general allocation formula developed by the Department of Management on the basis of general property tax equivalents. Properties of these utilities are exempt from the levy of property tax by political subdivisions. Utility property will continue to be valued by a special method as provided in the statute and taxed at the rate of three cents per one thousand dollars for the general fund of the State.

The utility replacement tax statute states that the utility replacement tax collected by the State and allocated among local taxing cities (including the Issuer) shall be treated as property tax when received and shall be disposed of by the county treasurer as taxes on real estate. However, utility property is not subject to the levy of property tax by political subdivisions, only the utility replacement tax and statewide property tax. It is possible that the general obligation debt capacity of the Issuer could be adjudicated to be proportionately reduced in future years if utility property were determined to be other than "taxable property" for purposes of computing the Issuer's debt limit under Article XI of the Constitution of the State of Iowa. There can be no assurance that future legislation will not (i) operate to reduce the amount of debt the Issuer can issue or (ii) adversely affect the Issuer's ability to levy taxes in the future for the payment of the principal of and interest on its outstanding debt obligations, including the Bonds.

Outstanding General Obligation Principal and Interest

Presented below is the outstanding principal and interest of the Issuer's General Obligation Debt, including the Notes:

<u>Fiscal Year</u>	<u>Total</u>	<u>Interest</u>	<u>Total P&I</u>
6/1/20	3,555,000	617,970	4,172,970
6/1/21	4,160,000	1,649,379	5,809,379
6/1/22	4,010,000	1,494,185	5,504,185
6/1/23	4,135,000	1,373,498	5,508,498
6/1/24	4,055,000	1,248,588	5,303,588
6/1/25	3,350,000	1,123,128	4,473,128
6/1/26	3,350,000	1,015,795	4,365,795
6/1/27	2,540,000	907,095	3,447,095
6/1/28	1,795,000	818,230	2,613,230
6/1/29	1,660,000	750,080	2,410,080
6/1/30	1,570,000	684,800	2,254,800
6/1/31	1,610,000	621,420	2,231,420
6/1/32	1,510,000	556,400	2,066,400
6/1/33	1,565,000	496,000	2,061,000
6/1/34	1,375,000	433,400	1,808,400
6/1/35	1,425,000	378,400	1,803,400
6/1/36	1,485,000	321,400	1,806,400
6/1/37	1,540,000	262,000	1,802,000
6/1/38	1,605,000	200,400	1,805,400
6/1/39	1,670,000	136,200	1,806,200
6/1/40	1,735,000	69,400	1,804,400
Totals:	49,700,000	15,157,766	64,857,766

Source: the Issuer

General Obligation Debt Subject to Abatement

The City has previously issued general obligation bonds and notes, a portion of which are paid from sources other than ad-valorem property taxes. Presented below is a table that illustrates the principal and interest payments on debt subject to abatement and the abatement source, by issue and fiscal year:

<u>Fiscal Year</u>	<u>Total</u>	<u>Interest</u>	<u>Total P&I</u>
2020	1,345,000	301,186	1,646,186
2021	1,090,000	747,707	1,837,707
2022	1,425,000	723,450	2,148,450
2023	1,415,000	680,563	2,095,563
2024	1,450,000	636,913	2,086,913
2025	1,250,000	560,275	1,810,275
2026	1,275,000	518,175	1,793,175
2027	1,080,000	474,375	1,554,375
2028	955,000	434,750	1,389,750
2029	985,000	397,680	1,382,680
2030	875,000	359,400	1,234,400
2031	915,000	323,820	1,238,820
2032	790,000	286,600	1,076,600
2033	820,000	255,000	1,075,000
2034	705,000	222,200	927,200
2035	730,000	194,000	924,000
2036	760,000	164,800	924,800
2037	790,000	134,400	924,400
2038	825,000	102,800	927,800
2039	855,000	69,800	924,800
2040	890,000	35,600	925,600
Totals:	21,225,000	7,623,493	28,848,493

Debt Limit

The amount of general obligation debt a political subdivision of the State of Iowa can incur is controlled by the constitutional debt limit, which is an amount equal to 5% of the actual value of property within the corporate limits, taken from the last County Tax list. The Issuer's debt limit, based upon said valuation, amounts to the following:

Actual Valuation	1,030,739,721
X	0.05
Statutory Debt Limit:	51,536,986
<hr/>	
Total General Obligation Debt:	22,165,000
Division 1 debt	12,155,000
Less 2020 Payments	-3,555,000
Other Debt	
<hr/>	
Total Debt Subject to Limit:	30,765,000
Percentage of Debt Limit Obligated:	59.69%

Source: Iowa Department of Management

Overlapping & Underlying Debt

Presented below is a listing of the overlapping and underlying debt for Issuers within the District and the amount applicable to the Issuer:

<u>Taxing Authority</u>	<u>Total Debt</u>	<u>2018 Taxable Valuation</u>	<u>Taxable Value Within Issuer</u>	<u>Percentage Applicable</u>	<u>Amount Applicable</u>	
Ottumwa CSD	0	839,510,793	625,005,880	74.45%		
Wapello County	2,101,200	1,238,060,073	625,005,880	50.48%	1,060,742	
Indian Hills Community College	5,080,000	6,295,601,012	625,005,880	9.93%	504,325	
Great Prairie Area Education Agency	0	10,867,405,451	625,005,880	5.75%		
					Total	1,565,067

Source: Iowa Department of Management, Iowa State Treasurer

FINANCIAL SUMMARY

Actual Value of Property, 2019	1,041,083,102
Taxable Value of Property, 2019	625,005,880
Direct General Obligation Debt:	49,700,000
Less Self-Supported General Obligation Debt:	-21,225,000
Net Direct General Obligation Debt:	28,475,000
Overlapping Debt:	1,565,067
Net Direct & Overlapping General Obligation Debt:	30,040,067
Population, 2010 US Census:	25,023
Direct Debt per Capita:	1,986
Total Debt per Capita:	1,200
Net Direct Debt to Taxable Valuation:	4.56%
Total Debt to Taxable Valuation:	4.81%
Net Direct Debt to Actual Valuation:	2.74%
Total Debt to Actual Valuation:	2.89%
Actual Valuation per Capita:	41,605
Taxable Valuation per Capita:	24,977

Source: Iowa Department of Management

APPENDIX B – FORM OF LEGAL OPINION

We hereby certify that we have examined a certified transcript of the proceedings of the City Council and acts of administrative officers of the City of Ottumwa, State of Iowa (the "Issuer"), relating to the issuance of General Obligation and Refunding Capital Loan Notes, Series 2020, by said City, dated May 5, 2020, in the denomination of \$5,000 or multiples thereof, in the aggregate amount of \$ _____ (the "Notes").

We have examined the law and such certified proceedings and other papers as we deem necessary to render this opinion as bond counsel.

As to questions of fact material to our opinion, we have relied upon representations of the Issuer contained in the resolution authorizing the Loan Agreement and issuance of the Notes (the "Resolution") and in the certified proceedings and other certifications of public officials furnished to us, without undertaking to verify the same by independent investigation.

Based on our examination and in reliance upon the certified proceedings and other certifications described above, we are of the opinion, under existing law, as follows:

1. The Issuer is duly created and validly existing as a body corporate and politic and political subdivision of the State of Iowa with the corporate power to adopt and perform the Resolution and Loan Agreement and issue the Notes.
2. The Loan Agreement and Notes are valid and binding general obligations of the Issuer.
3. All taxable property in the territory of the Issuer is subject to ad valorem taxation without limitation as to rate or amount to pay the Notes. Taxes have been levied by the Resolution for the payment of the Notes and the Issuer is required by law to include in its annual tax levy the principal and interest coming due on the Notes to the extent the necessary funds are not provided from other sources.
4. Interest on the Notes is excludable from gross income for federal income tax purposes and is not an item of tax preference for purposes of the federal alternative minimum tax. The opinion set forth in the preceding sentence is subject to the condition that the Issuer comply with all requirements of the Internal Revenue Code of 1986, as amended, that must be satisfied subsequent to the issuance of the Notes in order that the interest thereon be, and continue to be, excludable from gross income for federal income tax purposes. The Issuer has covenanted to comply with all such requirements. Failure to comply with certain of such requirements may cause interest on the Notes to be included in gross income for federal income tax purposes retroactively to the date of issuance of the Notes.

We express no opinion regarding the accuracy, adequacy, or completeness of the Official Statement or other offering material relating to the Notes. Further, we express no opinion regarding tax consequences arising with respect to the Notes other than as expressly set forth herein.

The rights of the owners of the Notes and the enforceability of the Notes are limited by bankruptcy, insolvency, reorganization, moratorium, and other similar laws affecting creditors' rights generally, and by equitable principles, whether considered at law or in equity.

This opinion is given as of the date hereof, and we assume no obligation to revise or supplement this opinion to reflect any facts or circumstances that may hereafter come to our attention, or any changes in law that may hereafter occur.

Respectfully submitted,

APPENDIX C – FORM OF CONTINUING DISCLOSURE AGREEMENT

This Continuing Disclosure Certificate (the "Disclosure Certificate") is executed and delivered by the City of Ottumwa, State of Iowa (the "Issuer"), in connection with the issuance of \$ _____ General Obligation and Refunding Capital Loan Notes, Series 2020 (the "Notes") dated May 5, 2020. The Notes are being issued pursuant to a Resolution of the Issuer approved on _____, 2020 (the "Resolution"). The Issuer covenants and agrees as follows:

Purpose of the Disclosure Certificate; Interpretation. This Disclosure Certificate is being executed and delivered by the Issuer for the benefit of the Holders and Beneficial Owners of the Notes and in order to assist the Participating Underwriters in complying with S.E.C. Rule 15c2-12(b)(5). This Disclosure Certificate shall be governed by, construed and interpreted in accordance with the Rule, and, to the extent not in conflict with the Rule, the laws of the State. Nothing herein shall be interpreted to require more than required by the Rule.

Definitions. In addition to the definitions set forth in the Resolution, which apply to any capitalized term used in this Disclosure Certificate unless otherwise defined in this Section, the following capitalized terms shall have the following meanings:

"Annual Financial Information" shall mean financial information or operating data of the type included in the final Official Statement, provided at least annually by the Issuer pursuant to, and as described in, Sections 3 and 4 of this Disclosure Certificate.

"Beneficial Owner" shall mean any person which (a) has the power, directly or indirectly, to vote or consent with respect to, or to dispose of ownership of, any Notes (including persons holding Notes through nominees, depositories or other intermediaries), or (b) is treated as the owner of any Notes for federal income tax purposes.

"Business Day" shall mean a day other than a Saturday or a Sunday or a day on which banks in Iowa are authorized or required by law to close.

"Dissemination Agent" shall mean the Issuer or any Dissemination Agent designated in writing by the Issuer and which has filed with the Issuer a written acceptance of such designation.

"Financial Obligation" shall mean a (i) debt obligation; (ii) derivative instrument entered into in connection with, or pledged as security or a source of payment for, an existing or planned debt obligation; or (iii) guarantee of (i) or (ii). The term Financial Obligation shall not include municipal securities as to which a final official statement has been provided to the MSRB consistent with S.E.C. Rule 15c2-12.

"Holders" shall mean the registered holders of the Notes, as recorded in the registration books of the Registrar.

"Listed Events" shall mean any of the events listed in Section 5(a) of this Disclosure Certificate.

"Municipal Securities Rulemaking Board" or "MSRB" shall mean the Municipal Securities Rulemaking Board, 1300 I Street NW, Suite 1000, Washington, DC 20005.

"National Repository" shall mean the MSRB's Electronic Municipal Market Access website, a/k/a "EMMA" (emma.msrb.org).

"Official Statement" shall mean the Issuer's Official Statement for the Notes, dated _____, 2020.

"Participating Underwriter" shall mean any of the original underwriters of the Notes required to comply with the Rule in connection with offering of the Notes.

"Rule" shall mean Rule 15c2-12 adopted by the Securities and Exchange Commission (S.E.C.) under the Securities Exchange Act of 1934, and any guidance and procedures thereunder published by the S.E.C., as the same may be amended from time to time.

"State" shall mean the State of Iowa.

Provision of Annual Financial Information.

The Issuer shall, or shall cause the Dissemination Agent to, not later than two hundred ten (210) days after the end of the Issuer's fiscal year (presently June 30th), commencing with information for the 2019/2020 fiscal year, provide to the National Repository an Annual Financial Information filing consistent with the requirements of Section 4 of this Disclosure Certificate. The Annual Financial Information filing must be submitted in such format as is required by the MSRB (currently in "searchable PDF" format). The Annual Financial Information filing may be submitted as a single document or as separate documents comprising a package. The Annual Financial Information filing may cross-reference other information as provided in Section 4 of this Disclosure Certificate; provided that the audited financial statements of the Issuer may be submitted separately from the balance of the Annual Financial Information filing and later than the date required above for the filing of the Annual Financial Information if they are not available by that date. If the Issuer's fiscal year changes, it shall give notice of such change in the same manner as for a Listed Event under Section 5(c).

If the Issuer is unable to provide to the National Repository the Annual Financial Information by the date required in subsection (a), the Issuer shall send a notice to the Municipal Securities Rulemaking Board, if any, in substantially the form attached as Exhibit A.

The Dissemination Agent shall:

each year file Annual Financial Information with the National Repository; and

(if the Dissemination Agent is other than the Issuer), file a report with the Issuer certifying that the Annual Financial Information has been filed pursuant to this Disclosure Certificate, stating the date it was filed.

Content of Annual Financial Information. The Issuer's Annual Financial Information filing shall contain or incorporate by reference the following:

The last available audited financial statements of the Issuer for the prior fiscal year, prepared in accordance with generally accepted accounting principles promulgated by the Financial Accounting Standards Board as modified in accordance with the governmental accounting standards promulgated by the Governmental Accounting Standards Board or as otherwise provided under State law, as in effect from time to time, or, if and to the extent such financial statements have not been prepared in accordance with generally accepted accounting principles, noting the discrepancies therefrom and the effect thereof. If the Issuer's audited financial statements for the preceding years are not available by the time Annual Financial Information is required to be filed pursuant to Section 3(a), the Annual Financial Information filing shall contain unaudited financial statements of the type included in the final Official Statement, and the audited financial statements shall be filed in the same manner

as the Annual Financial Information when they become available.

A table, schedule or other information prepared as of the end of the preceding fiscal year, of the type contained in the final Official Statement under the captions **TBE**

Any or all of the items listed above may be included by specific reference to other documents, including official statements of debt issues of the Issuer or related public entities, which have been filed with the National Repository. The Issuer shall clearly identify each such other document so included by reference.

Reporting of Significant Events.

Pursuant to the provisions of this Section, the Issuer shall give, or cause to be given, notice of the occurrence of any of the following events with respect to the Notes in a timely manner not later than 10 Business Days after the day of the occurrence of the event:

Principal and interest payment delinquencies;

Non-payment related defaults, if material;

Unscheduled draws on debt service reserves reflecting financial difficulties;

Unscheduled draws on credit enhancements relating to the Notes reflecting financial difficulties;

Substitution of credit or liquidity providers, or their failure to perform;

Adverse tax opinions, the issuance by the Internal Revenue Service of proposed or final determinations of taxability, Notices of Proposed Issue (IRS Form 5701-TEB) or other material notices or determinations with respect to the tax-exempt status of the Series Notes, or material events affecting the tax-exempt status of the Notes;

Modifications to rights of Holders of the Notes, if material;

Note calls (excluding sinking fund mandatory redemptions), if material, and tender offers;

Defeasances of the Notes;

Release, substitution, or sale of property securing repayment of the Notes, if material;

Rating changes on the Notes;

Bankruptcy, insolvency, receivership or similar event of the Issuer;

The consummation of a merger, consolidation, or acquisition involving the Issuer or the sale of all or substantially all of the assets of the Issuer, other than in the ordinary course of business, the entry into a definitive agreement to undertake such an action or the termination of a definitive agreement relating to any such actions, other than pursuant to its terms, if material;

Appointment of a successor or additional trustee or the change of name of a trustee, if material;

Incurrence of a Financial Obligation of the Issuer, if material, or agreement to covenants, events of default, remedies, priority rights, or other similar terms of a Financial Obligation of the Issuer, any of which affect security holders, if material; and

Default, event of acceleration, termination event, modification of terms or other similar events under the terms of a Financial Obligation of the Issuer, any of which reflect financial difficulties.

Whenever the Issuer obtains the knowledge of the occurrence of a Listed Event, the Issuer shall determine if the occurrence is subject to notice only if material, and if so shall as soon as possible determine if such event would be material under applicable federal securities laws.

If the Issuer determines that knowledge of the occurrence of a Listed Event is not subject to materiality, or determines such occurrence is subject to materiality and would be material under applicable federal securities laws, the Issuer shall promptly, but not later than 10 Business Days after the occurrence of the event, file a notice of such occurrence with the Municipal Securities Rulemaking Board through the filing with the National Repository.

Termination of Reporting Obligation. The Issuer's obligations under this Disclosure Certificate with respect to each Series of Notes shall terminate upon the legal defeasance, prior redemption or payment in full of all of the Notes of that Series or upon the Issuer's receipt of an opinion of nationally recognized bond counsel to the effect that, because of legislative action or final judicial action or administrative actions or proceedings, the failure of the Issuer to comply with the terms hereof will not cause Participating Underwriters to be in violation of the Rule or other applicable requirements of the Securities Exchange Act of 1934, as amended.

Dissemination Agent. The Issuer may, from time to time, appoint or engage a Dissemination Agent to assist it in carrying out its obligations under this Disclosure Certificate, and may discharge any such Agent, with or without appointing a successor Dissemination Agent. The Dissemination Agent shall not be responsible in any manner for the content of any notice or report prepared by the Issuer pursuant to this Disclosure Certificate. The initial Dissemination Agent shall be the Issuer.

Amendment; Waiver. Notwithstanding any other provision of this Disclosure Certificate, the Issuer may amend this Disclosure Certificate, and any provision of this Disclosure Certificate may be waived, provided that the following conditions are satisfied:

If the amendment or waiver relates to the provisions of Section 3(a), 4, or 5(a), it may only be made in connection with a change in circumstances that arises from a change in legal requirements, change in law, or change in the identity, nature or status of an obligated person with respect to the Notes, or the type of business conducted;

The undertaking, as amended or taking into account such waiver, would, in the opinion of nationally recognized bond counsel, have complied with the requirements of the Rule at the time of the original issuance of the Notes, after taking into account any amendments or interpretations of the Rule, as well as any change in circumstances; and

The amendment or waiver either (i) is approved by the Holders of the Notes in the same manner as provided in the Resolution for amendments to the Resolution with the consent of Holders, or (ii) does not, in the opinion of nationally recognized bond counsel, materially impair the interests of the Holders or Beneficial Owners of the Notes.

In the event of any amendment or waiver of a provision of this Disclosure Certificate, the Issuer shall describe such amendment in the next Annual Financial Information filing, and shall include, as applicable, a narrative explanation of the reason for the amendment or waiver and its impact on the type (or in the case of a change of accounting principles, on the presentation) of financial information or operating data being presented by the Issuer. In addition, if the amendment relates to the accounting principles to be followed in preparing financial statements, (i)

notice of such change shall be given in the same manner as for a Listed Event under Section 5(c), and (ii) the Annual Financial Information filing for the year in which the change is made will present a comparison or other discussion in narrative form (and also, if feasible, in quantitative form) describing or illustrating the material differences between the financial statements as prepared on the basis of the new accounting principles and those prepared on the basis of the former accounting principles.

Additional Information. Nothing in this Disclosure Certificate shall be deemed to prevent the Issuer from disseminating any other information, using the means of dissemination set forth in this Disclosure Certificate or any other means of communication, or including any other information in any Annual Financial Information filing or notice of occurrence of a Listed Event, in addition to that which is required by this Disclosure Certificate. If the Issuer chooses to include any information in any Annual Financial Information filing or notice of occurrence of a Listed Event in addition to that which is specifically required by this Disclosure Certificate, the Issuer shall have no obligation under this Certificate to update such information or include it in any future Annual Financial Information filing or notice of occurrence of a Listed Event.

Default. In the event of a failure of the Issuer to comply with any provision of this Disclosure Certificate, any Holder or Beneficial Owner of the Notes may take such actions as may be necessary and appropriate, including seeking mandate or specific performance by court order, to cause the Issuer to comply with its obligations under this Disclosure Certificate. Direct, indirect, consequential and punitive damages shall not be recoverable by any person for any default hereunder and are hereby waived to the extent permitted by law. A default under this Disclosure Certificate shall not be deemed an event of default under the Resolution, and the sole remedy under this Disclosure Certificate in the event of any failure of the Issuer to comply with this Disclosure Certificate shall be an action to compel performance.

Duties, Immunities and Liabilities of Dissemination Agent. The Dissemination Agent shall have only such duties as are specifically set forth in this Disclosure Certificate, and the Issuer agrees to indemnify and save the Dissemination Agent, its officers, directors, employees and agents, harmless against any loss, expense and liabilities which it may incur arising out of or in the exercise or performance of its powers and duties hereunder, including the costs and expenses (including attorneys' fees) of defending against any claim of liability, but excluding liabilities due to the Dissemination Agent's negligence or willful misconduct. The obligations of the Issuer under this Section shall survive resignation or removal of the Dissemination Agent and payment of the Notes.

Beneficiaries. This Disclosure Certificate shall inure solely to the benefit of the Issuer, the Dissemination Agent, the Participating Underwriters and Holders and Beneficial Owners from time to time of the Notes, and shall create no rights in any other person or entity.

Rescission Rights. The Issuer hereby reserves the right to rescind this Disclosure Certificate without the consent of the Holders in the event the Rule is repealed by the S.E.C. or is ruled invalid by a federal court and the time to appeal from such decision has expired. In the event of a partial repeal or invalidation of the Rule, the Issuer hereby reserves the right to rescind those provisions of this Disclosure Certificate that were required by those parts of the Rule that are so repealed or invalidated.

Date: 18th day of February, 2020.

CITY OF OTTUMWA, STATE OF IOWA

By: [Signature]
Mayor

ATTEST:

By: [Signature]
City Clerk

EXHIBIT A - NOTICE TO NATIONAL REPOSITORY OF FAILURE TO FILE ANNUAL FINANCIAL INFORMATION

Name of Issuer: City of Ottumwa, Iowa.

Name of Note Issue: \$ _____ General Obligation and Refunding Capital Loan Notes, Series 2020

Dated Date of Issue: May 5, 2020

NOTICE IS HEREBY GIVEN that the Issuer has not provided Annual Financial Information with respect to the above-named Notes as required by Section 3 of the Continuing Disclosure Certificate delivered by the Issuer in connection with the Notes. The Issuer anticipates that the Annual Financial Information will be filed by _____.

Dated: _____ day of _____, 20__.

CITY OF OTTUMWA, STATE OF IOWA

By: _____
Its: _____

CITY OF OTTUMWA

Staff Summary

2020 FEB 13 AM 10:43

**** ACTION ITEM ****

Council Meeting of: February 18, 2020

Engineering Department
Department

Larry Seals
Prepared By
Larry Seals
Department Head

Tom X. Lopez
City Administrator Approval

AGENDA TITLE: Resolution #29-2020. Approving the Plans and Specifications for the Milner Street Reconstruction Project.

Public hearing required if this box is checked.

The Proof of Publication for each Public Hearing must be attached to this Staff Summary. If the Proof of Publication is not attached, the item will not be placed on the agenda.

RECOMMENDATION: Pass and adopt Resolution #29-2020.

DISCUSSION: The proposed project will consist of full-depth, full-width reconstruction of Milner Street from Mary to Burrhus. This project includes replacement of ADA sidewalks at intersections as required, storm and sanitary sewer improvements, and water main replacement.

The Notice to Bidders, plan distribution and letting will be handled by the Iowa Department of Transportation. A bid report and bid award recommendation will be presented at the March 17th, 2020 regular council meeting. Engineer's current estimate is \$2,750,000. Transportation Enhancement funds will cover \$1,629,508. Ottumwa Water and Hydro will once again team up with the City and take advantage of the construction opportunity to replace their water main as well as moving it out from under the pavement. The OWW will be reimbursing the City for all water main related items installed during construction at an estimated cost of \$689,341. This leaves the local contribution of \$431,151 to be funded by the Expanded Street Repair Program.

Budgeted

Funding Sources-Entire Project	Funding Amount
Estimated Construction Cost	\$ 2,750,000
STBG/SWAP	\$ 1,629,508
Ottumwa Water Works	\$ 689,341
ESRP	\$ 431,151

Original ESRP budget is \$407,377 leaving a budget amendment of \$23,774.

Source of Funds: STBG/SWAP, ESRP

Budgeted Item: Yes

Budget Amendment Needed: Yes

RESOLUTION #29-2020

A RESOLUTION APPROVING THE PLANS, SPECIFICATIONS, AND AN ESTIMATED COST FOR THE MILNER STREET RECONSTRUCTION PROJECT

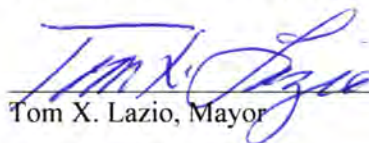
WHEREAS, The Iowa Department of Transportation has conducted a public hearing on the plans, specifications, form of contract and estimated cost for the above referenced project.

WHEREAS, No objections to the said plans, specifications, form of contract and estimated cost were received.


NOW, THEREFORE, BE IT RESOLVED, BY THE CITY COUNCIL OF THE CITY OF OTTUMWA, IOWA THAT: The plans, specifications, form of contract, and estimated cost for the Milner Street Reconstruction Project are hereby approved.

APPROVED, PASSED, AND ADOPTED, this 18th day of February 2020.

CITY OF OTTUMWA, IOWA


Tom X. Lazio, Mayor

ATTEST:


Christina Reinhard, City Clerk



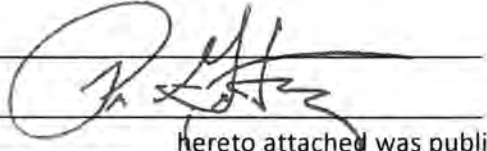
PROOF OF PUBLICATION

STATE OF IOWA
WAPELLO COUNTY

I, Ron Gutierrez, being duly sworn on my oath, say I am the Publisher of the Ottumwa Courier, a newspaper printed in said Wapello County, Iowa and of general circulation there in, and that the advertisement

MILNER ST. RECONSTRUCTION

CITY OF OTTUMWA - ENGINEERING



hereto attached was published in said newspaper for 1 consecutive week's to-wit: 2/11/20 Subscribed and sworn to before me, and in my presence, by the said 11TH day of FEBRUARY, 2020



Notary Public

In and for Wapello County

Printer's fee \$22.58

COPY OF ADVERTISEMENT

SECTION 00010 NOTICE OF PUBLIC HEARING The City Council of Ottumwa, Iowa, will hold a public hearing on the proposed Plans and Specifications, form of contract and estimate of cost for the construction of said improvements described in general as "Milner Street Reconstruction Project, Ottumwa, Iowa" at 5:30 o'clock p.m. on the 18th day of February, 2020, in the Council Chambers, City Hall, Ottumwa, Iowa. At said hearing any interested person may appear and file objections thereto or to the cost of the improvements. At the hearing, the City will receive and consider any objections made by any interested party, to the Plans and Specifications, proposed form of Contract, and the estimate of cost for the project. The work to be done is as follows: Furnish all labor, materials and equipment to construct the following: A full width, full depth PCC project including replacement of sidewalks to ADA standards, storm and sanitary sewer improvements, and water main replacement as needed or requested by Ottumwa Water Works. All work and materials are to be in strict compliance with the Plans and

Specifications prepared by the City of Ottumwa Engineering Department which together with the proposed form of contract and estimate of cost have heretofore been approved by the City and are now on file for public examination in the office of the Clerk, and are by this reference made a part hereof as though fully set out and incorporated herein. CITY OF OTTUMWA, IOWA By: Tom X. Lazio, Mayor ATTEST: Christina Reinhard, City Clerk

PH-approve PLS
Milner St. Recon

2/11/2020

SECTION 00010 NOTICE OF PUBLIC HEARING The City Council of Ottumwa, Iowa, will hold a public hearing on the proposed Plans and Specifications, form of contract and estimate of cost for the construction of said improvements described in general as "Milner Street Reconstruction Project, Ottumwa, Iowa" at 5:30 o'clock p.m. on the 18th day of February, 2020, in the Council Chambers, City Hall, Ottumwa, Iowa. At said hearing any interested person may appear and file objections thereto or to the cost of the improvements. At the hearing, the City will receive and consider any objections made by any interested party, to the Plans and Specifications, proposed form of Contract, and the estimate of cost for the project. The work to be done is as follows: Furnish all labor, materials and equipment to construct the following: A full width, full depth PCC project including replacement of sidewalks to ADA standards, storm and sanitary sewer improvements, and water main replacement as needed or requested by Ottumwa Water Works. All work and materials are to be in strict compliance with the Plans and Specifications prepared by the City of Ottumwa Engineering Department which together with the proposed form of contract and estimate of cost have heretofore been approved by the City and are now on file for public examination in the office of the Clerk, and are by this reference made a part hereof as though fully set out and incorporated herein. CITY OF OTTUMWA, IOWA By: Tom X. Lazio, Mayor ATTEST: Christina Reinhard, City Clerk

CITY OF OTTUMWA

Staff Summary

2020 FEB 13 PM 3:07

**** ACTION ITEM ****

Council Meeting of: February 18, 2020

Engineering
Department

Alicia Bankson
Prepared By
Larry Deals
Department Head

[Signature]
City Administrator Approval

AGENDA TITLE: Resolution #33-2020. Approving the Plans, Specifications, Form of Contract and Estimated Cost for the CSO Phase 8, Blake's Branch, Division 1 project.

****Public hearing required if this box is checked. ****

****The Proof of Publication for each Public Hearing must be attached to this Staff Summary. If the Proof of Publication is not attached, the item will not be placed on the agenda.****

RECOMMENDATION: Pass and adopt Resolution #33-2020.

DISCUSSION: Phase VIII Division I is the first of four planned Divisions. Phase VIII will install a 36" sanitary truck line from the Elm Street Pump Station north to Main Street, then west on Main Street to Birch Street, then north on Birch Street to Plum Street. New sanitary sewer lines will be installed on Hayne Street and Orchard Street. A separate storm line will be installed on Main Street to pick up previously separated sewer systems starting at Vine Street east to Van Buren Street.

Major street reconstruction includes full width full depth PCC reconstruction of Main Street (Vine St to Van Buren), Hayne Street from Iowa Ave to Cherry, Birch St from Main Street to Plum. Work will include a new 8' sidewalk along Main Street.

Water mains will be installed while the PCC surface is removed and increased in size for additional flow to the industrial park area. The main transmission line is an extension of the mains previously installed starting at Court Street to Vine Street. Ottumwa Water Works will reimburse the City for the cost of the water mains.

Currently the project is scheduled for two construction seasons with a substantial completion date of Dec. 31 2021.

Blake's Branch \$5,414,099 (in TIF district) Main Street
Blake's Branch \$1,568,433 (outside TIF) Birch Street
Blake's Branch \$2,502,669 (in TIF district) South of Main Street

OWW \$1,957,173

Source of Funds: TIF, LOST, RU and Sewer Funds

Budgeted Item: Yes

Budget Amendment Needed: No

RESOLUTION #33-2020

A RESOLUTION APPROVING THE PLANS, SPECIFICATIONS,
FORM OF CONTRACT, AND ESTIMATED COST FOR THE
CSO, PHASE 8, BLAKE'S BRANCH, DIVISION I PROJECT

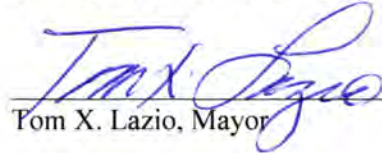
WHEREAS, The City Council of the City of Ottumwa, Iowa has conducted a public hearing on the plans, specifications, form of contract, and estimated cost for the above referenced project; and,

WHEREAS, No objections to the said plans, specifications, form of contract and estimated cost were received.


NOW, THEREFORE, BE IT RESOLVED, BY THE CITY COUNCIL OF THE CITY OF OTTUMWA, IOWA THAT: The plans, specifications, form of contract, and estimated cost for the above referenced project are hereby approved and adopted.

APPROVED, PASSED, AND ADOPTED, this 18th day of February 2020.

CITY OF OTTUMWA, IOWA


Tom X. Lazio, Mayor

ATTEST:


Christina Reinhard, City Clerk



PROOF OF PUBLICATION

STATE OF IOWA
WAPELLO COUNTY

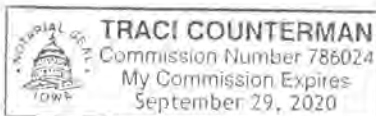
I, Ron Gutierrez, being duly sworn on my oath, say I am the Publisher of the Ottumwa Courier, a newspaper printed in said Wapello County, Iowa and of general circulation there in, and that the advertisement

BLAKE'S BRANCH SEWER

CITY OF OTTUMWA - ENGINEERING



hereto attached was published in said newspaper for 1 consecutive week's to-wit: 2/11/20 Subscribed and sworn to before me, and in my presence, by the said 11TH day of FEBRUARY, 2020



Notary Public

In and for Wapello County

Printer's fee \$22.58

COPY OF ADVERTISEMENT

NOTICE OF PUBLIC HEARING
BLAKE'S BRANCH SEWER
SEPARATION, PHASE 8, DIVI-
SION 1 OTTUMWA, IOWA
Notice is hereby given that a
public hearing will be held by the
City of Ottumwa on the pro-
posed contract documents
(plans, specifications, and form
of contract) and estimated cost
for the SEQ CHAPTER 111
1BLAKE'S BRANCH SEWER
SEPARATION, PHASE 8, DIVI-
SION 1 project at its meeting at
5:30 P.M. on the 18th day of
February, 2020, in the Council
Chambers at City Hall, 105 E.
Third Street, Ottumwa, Iowa. At
the hearing, the City will receive
and consider any objections
made by any interested party, to
the Plans and Specifications,
proposed Form of Contract, and
the Estimate of the Cost for the
project. The work to be done is
as follows: Furnish all labor,
materials and equipment for
construction of a separate sani-
tary sewer adjacent to the
Blake's Branch Combined
Sewer System (CSS) Box. Full
depth full width PCC on Main
Street from Vine Street to Van
Buren and on Hayne Street from
Iowa Avenue to Cherry Street.
All work and materials are to be
in strict compliance with the
Plans and Specifications pre-
pared by Veenstra & Kimm, Inc.
of West Des Moines, Iowa,
which together with the pro-
posed form of contract and esti-
mate of cost have heretofore
been approved by the City and
are now on file for public exami-
nation in the office of the Clerk,
and are by this reference made
a part hereof as though fully set
out and incorporated herein.
CITY OF OTTUMWA, IOWA By:
Tom X. Lazio, Mayor ATTEST:
Christina Reinhard, City Clerk

CSO - Blakes Branch
PH - ap P/S

Legal Notices

NOTICE OF PUBLIC HEARING
BLAKE'S BRANCH SEWER
SEPARATION, PHASE 8, DIVI-
SION 1 OTTUMWA, IOWA
Notice is hereby given that a
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BLAKE'S BRANCH SEWER
SEPARATION, PHASE 8, DIVI-
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are now on file for public exami-
nation in the office of the Clerk,
and are by this reference made
a part hereof as though fully set
out and incorporated herein.
CITY OF OTTUMWA, IOWA By:
Tom X. Lazio, Mayor ATTEST:
Christina Reinhard, City Clerk

CITY OF OTTUMWA
Staff Summary

**** ACTION ITEM ****

Council Meeting of: Feb 18, 2020

Planning & Development
Department

Kevin C. Flanagan
Prepared By
Kevin C. Flanagan
Department Head



City Administrator Approval

AGENDA TITLE: Resolution No. 22-2020 a resolution in support of Asbury Heights L.L.C. housing tax credit applications and illustrating City intent to enter into development agreement

Public hearing required if this box is checked.

RECOMMENDATION: Pass and Adopt Resolution No. 22-2020

DISCUSSION: This resolution will be used by our Asbury property developer, Jim Danaher, in his applications for tax credits for the housing project there. H will be doing separate applications to the Iowa Finance Authority (IFA) and the Iowa Economic Development Authority (IEDA) for the project, with an emphasis on senior housing and workforce housing respectfully.

Source of Funds:

Budgeted Item: Budget Amendment Needed:

The incentive we are offering is capped and not to exceed \$480,000 over fifteen years and this incentive along with other requirements and provisions will be included in a Development Agreement we will work out with him. Asbury Hights L.L.C. will be the company created to perform this develop. This resolution will serve as our local commitment to the projects on a finance sharing level as well as illustrating our intent to commit to performing said DA if he were to receive the tax credits. The facility will be one building of 54 units and has received development plan approval from the Planning Commission as of our meeting Monday Feb. 10th, 2020. If the project received funding we would expect to begin construction most likely in the spring of 2021.

RESOLUTION NO. 22-2020

A RESOLUTION OF INTENT TO SUPPORT HOUSING TAX CREDIT BENEFIT APPLICATIONS TO BE SUBMITTED TO THE IOWA ECONOMIC DEVELOPMENT AUTHORITY AND THE IOWA FINANCE AUTHORITY BY ASBURY HEIGHTS L.L.C., DEVELOPER, FOR A HOUSING DEVELOPMENT PROJECT LOCATED AT 1321 ASBURY AVENUE CITY OF OTTUMWA, WAPELLO COUNTY, IOWA

WHEREAS, the Ottumwa City Council has received a request from Jim Danaher, developer of Asbury Heights L.L.C. ("Developer"), for the City to support Developer's applications to the Iowa Finance Authority ("IFA") and the Iowa Economic Development Authority ("IEDA") for federal and/or state incentives related to the construction of a workforce housing development located at 1321 Asbury Avenue (the "Project"); and

WHEREAS, the Project will require a private investment by Development group of approximately \$10 million and result in 53 new workforce housing units; and

WHEREAS, the City of Ottumwa needs additional housing units and finds that the Project is consistent with the City of Ottumwa Comprehensive Plan and the goals of the City's urban renewal strategy and plan, specifically within the City's West Gate Urban Renewal Area; and

WHEREAS, it is the intent of the Ottumwa City Council to support the Project through the provision of up to fifteen (15) years of tax increment rebates derived from the completion of the Project and subject to annual appropriation, with said incentive not to exceed \$480,000 in the aggregate and to be provided pursuant to the terms of a detailed development agreement with Developer to be separately approved by the City Council following applicable legislative processes.

NOW, THEREFORE, BE IT IS RESOLVED by the City Council of the City of Ottumwa, Iowa:

1. The City Council of the City of Ottumwa, Iowa does hereby express its support for Asbury Heights L.L.C. applications to the Iowa Economic Development Authority and the Iowa Finance Authority, seeking Federal Tax Credit financing or other state or federal assistance for affordable housing development in our community.
2. The Mayor and City Manager are hereby authorized and directed to sign any and all forms required by the Administrative Rules of the IEDA and IFA to evidence the City's support for the application by Asbury Heights L.L.C., as described above, upon approval of the same by the City Attorney and consistent with this Resolution.
3. The Planning Director is directed to transmit a copy of this Resolution and Roll Call to Asbury Heights L.L.C., for submittal to the IEDA and IFA, and to conduct project compliance monitoring.
4. In the event that any of the Project characteristics mentioned above should change prior to the final award of tax credit benefits to Asbury Heights L.L.C., then:

a. The City Manager or the City Manager's designee is authorized and directed to execute the applicable consent to any unsubstantial change upon receipt of a recommendation in support of such consent by the Planning Director.

b. Any substantial change shall be subject to approval by the City Council after report and recommendation by the City Manager.

5. City Staff is directed to prepare a development agreement with Asbury Heights L.L.C. consistent with this Resolution, subject to all of the following conditions:

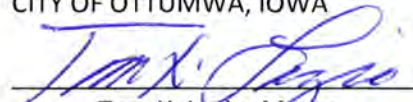
a. The awarding of Housing Tax Credits or other state or federal incentives from the Iowa Finance Authority and/or the Iowa Economic Development Authority for the Project;

b. Satisfaction of all legal requirements to utilize tax increment financing in support of the Project;

c. The inclusion of the terms described in this Resolution, plus other terms to be negotiated by the parties, into a development agreement between the City and Developer, to be drafted by the City's counsel; and the approval of the development agreement by the City Council in its final form following all required legislative processes including a public hearing.

PASSED AND ADOPTED this 18th day of February, 2020.

CITY OF OTTUMWA, IOWA


Tom X. Lazio, Mayor

ATTEST:



Chris Reinhard, City Clerk

CITY OF OTTUMWA

2020 FEB 13 PM STAFF SUMMARY

Council Meeting of: February 18, 2020

ITEM NO. _____

Joni Keith
Prepared By

Joni Keith
Tom X. Lazio

Tom X. Lazio
Department Head

Administration
Department

AGENDA TITLE: Approve Resolution #32-2020 for the City of Ottumwa to accept a donation of property purchased by the Greater Ottumwa Partners in Progress (GOPIP), formerly the Ottumwa Economic Development Corporation, from the Iowa Army National Guard, State of Iowa, for a Right of Way Improvement Project at 2858 N. Court Street, Ottumwa, Iowa and authorizing the City to apply for a USDA Rural Business Development Grant through Area 15 Regional Planning Commission for the development of the Right of Way.

PURPOSE: Approve Resolution #32-2020 accepting a donation of real estate purchased by GOPIP from the Iowa Army National Guard located at 2858 N. Court Street, and authorize the Interim City Administrator/Mayor to sign documents finalizing the transaction and to sign all grant application documents.

RECOMMENDATION: Approve Resolution #32-2020 accepting the donation of property located at 2858 N. Court Street and authorizing the Mayor to sign Acceptance and any other transfer documents on behalf of the City; authorizing the filing of a USDA Rural Business Development Grant and authorizing the Mayor to sign any and all grant application documents with the USDA.

DISCUSSION: The former Ottumwa Economic Development Corporation now under the leadership of Greater Ottumwa Partners in Progress (GOPIP), has been negotiating the purchase of approximately .034 acres of real estate at the corner of North Court Road and Fox-Sauk Street known as 2858 N. Court, Ottumwa, Iowa. The Iowa National Guard, State of Iowa, is authorizing the sale. Attached to this Staff Summary is a letter confirming the sale of the real estate. GOPIP will finalize the sale and then donate said real estate to the City upon completion of the transaction. The City will proceed to authorize Area 15 Regional Planning Commission to file an application for a USDA Rural Business Development Grant to expand the City's Right of Way at that location to facilitate safer and more maneuverable access to the City's expanding Industrial Park. The City would need authorization for an up to 20 per cent grant match needed for the grant application. There is also the possibility of some cost sharing between the City and GOPIP. A cost estimate for the grant request is attached hereto. The USDA grant funding application must be filed by March 2, 2020. This Resolution authorizes the acceptance of the

donated property and also authorizes the Grant Application on behalf of the City providing the Mayor authorization to sign all Grant Application documents on behalf of the City.

INDEX LEGEND	
LOCATION:	NW 1/4 - SW FR. 1/4 SEC. 6-72-13 LOT 3 OADC INDUSTRIAL PARK, OTTUMWA, IA
PROPRIETOR:	STATE OF IOWA (IOWA NATIONAL GUARD)
REQUESTED BY:	CITY OF OTTUMWA
PREPARED BY:	GARY W. KEITH
COMPANY:	GARDEN & ASSOCIATES, LTD.
RETURN TO:	P.O. BOX 451, OSKALOOSA, IOWA 52577



GARDEN & ASSOC.

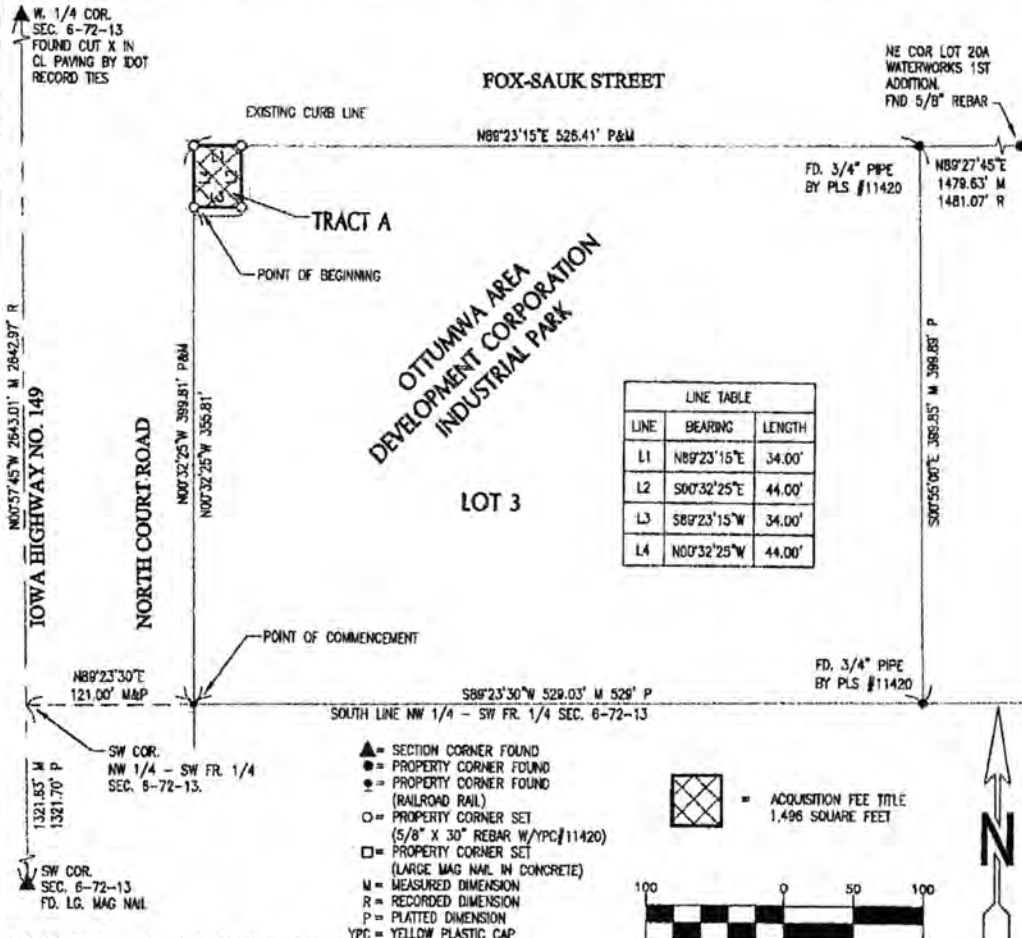
P.O. Box 451, Oskaloosa, Iowa 52577-0451 Phone: (641)872-2526

RESERVED FOR RECORDER'S USE

ACQUISITION PLAT

A parcel of land located in the Northwest Quarter of the Southwest Fractional Quarter of Section 6 - Township 72 North - Range 13 West of the 5TH P.M., City of Ottumwa, Wapello County, Iowa. Said parcel being a part of that tract of land described in Deed Book 267 on Page 334, Wapello County Records and is more particularly described as follows:

Commencing at a point on the South line of said NW 1/4 of the SW Fractional 1/4 distant thereon North 89°23'30" East 121.00 feet from the Southwest corner thereof, said point being the Southwest corner of Lot 3 of Ottumwa Area Development Corporation Industrial Park according to the Revised Plat thereof dated September 12, 1974 and recorded in Book Z on Page 133, Wapello County Records; thence North 00°32'25" West 355.81 feet along the West line of said Lot 3 to the Point of Beginning; thence continuing North 00°32'25" West 44.00 feet along said West line to the Northwest corner of said Lot 3; thence North 89°23'15" East 34.00 feet along the North line of said Lot 3; thence South 00°32'25" East 44.00 feet; thence South 89°23'15" West 34.00 feet to the Point of Beginning. Said parcel contains 1,496 square feet.



DATE OF FIELDWORK: OCTOBER 25, 2019
BASIS OF BEARINGS: IOWA RCS, ZONE 12-RED OAK-OTTUMWA

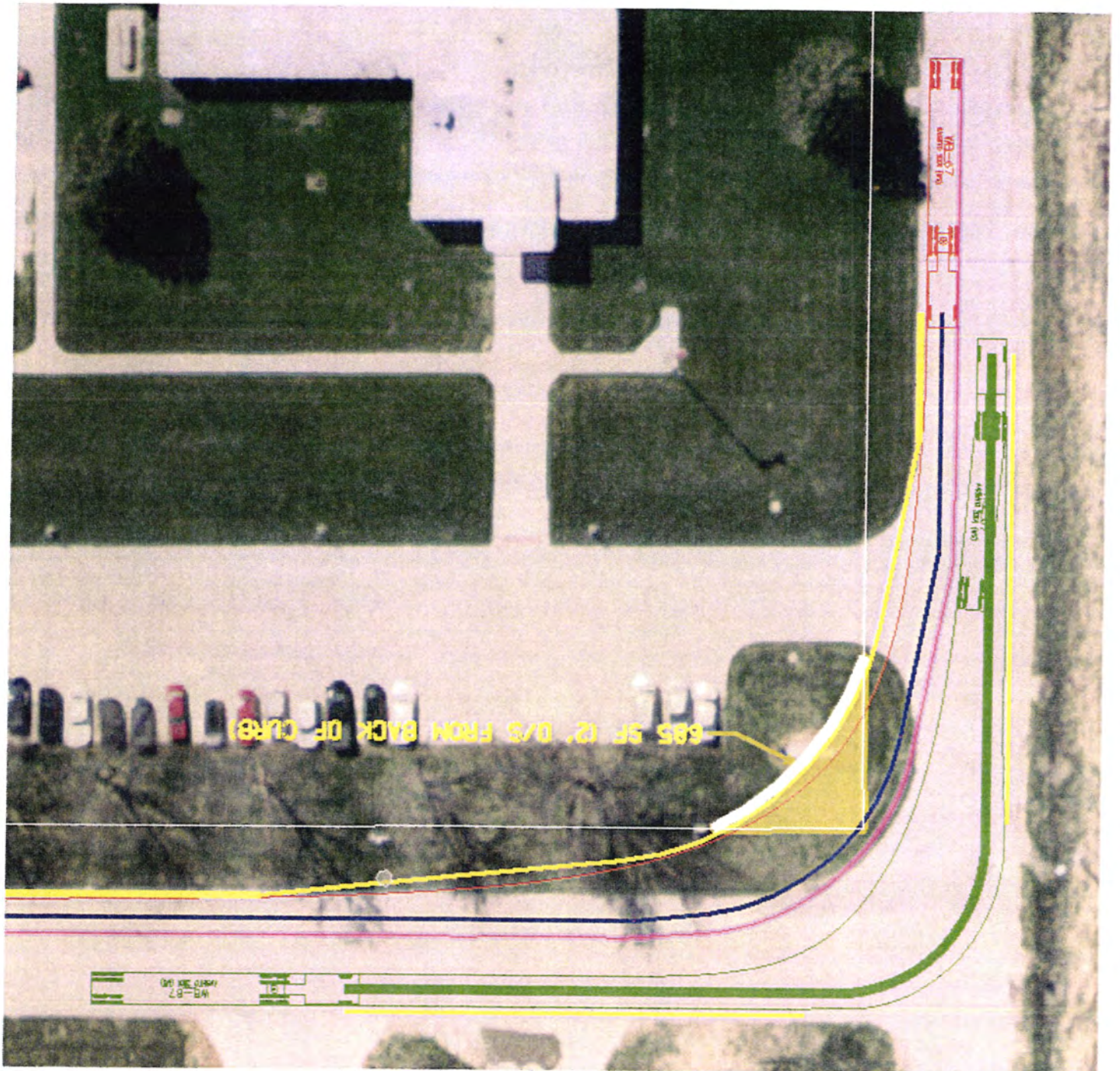
I hereby certify that this land surveying document was prepared and the related survey work was performed by me or under my direct personal supervision and that I am a duly licensed Professional Land Surveyor under the laws of the State of Iowa.

Gary Wayne Keith Date: 31 Oct 2019
Gary Wayne Keith, P.L.S.
License number: 11420
My license renewal date is December 31, 2020
Pages or sheets covered by this seal: 1 of 1



CITY OF OTTUMWA
ACQUISITION PLAT
LOT 3 OADC INDUSTRIAL PARK
OTTUMWA, IOWA

DATE: 10-25-19	DRN. TRH	APP. <i>[Signature]</i>
FLD.BK. 69-9D	PROJ.NO. 801B129	



Sharon. The exhibit below shows two trucks making simultaneous turns with 4' of wiggle room. The yellow line is the new back of curb. Total right-of-way take would be approximately 685 square feet (0.016acres).

RESOLUTION #32-2020

**RESOLUTION APPROVING THE CITY OF OTTUMWA'S
ACCEPTANCE OF A DONATION OF REAL ESTATE LOCATED AT
2858 N. COURT STREET, OTTUMWA, IOWA;**

WHEREAS, the Greater Ottumwa Partners in Progress (GOPIP), at its sole cost, is in the process of finalizing the purchase of approximately .034 acres of real estate at the corner of North Court Road and Fox-Sauk Street known 2858 N. Court Street, in Ottumwa, Iowa from the Iowa National Guard, State of Iowa; and

WHEREAS, upon the completion of the real estate transaction for property at 2858 N. Court Street, in Ottumwa, Iowa, GOPIP wishes to donate said real estate to the City, legally described on the Exhibit A attached hereto; and

WHEREAS, it is in the City's best interest that the donated real estate be accepted; and

WHEREAS, the City, with authorization from the City Council, will be filing a USDA Rural Business Development Grant through Area 15 Regional Planning Commission for the development of the City's Right of Way at that location, with said Application due by March 2, 2020; and

WHEREAS, the grant in the approximate amount set out in the attached Engineer's estimate, will fund the Right of Way improvement at that location which will facilitate safer and more maneuverable access to the City's expanding Industrial Park; and

WHEREAS, the City Council must authorize up to a 20 per cent grant match, recognizing that GOPIP may provide some cost sharing for this project; and

WHEREAS, it is necessary to authorize the Mayor to sign any and all documents necessary to timely file the USDA Application.

NOW, THEREFORE, BE IT RESOLVED BY THE CITY COUNCIL OF THE CITY OF OTTUMWA, IOWA:

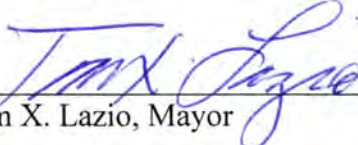
That the proposed donation of the real estate described on Exhibit A attached hereto is hereby accepted. Upon completion of the real estate transaction, GOPIP will donate said real estate to the City. The Mayor is hereby authorized to sign any and all documents necessary to accept this donation of real estate.

That this Resolution also specifically authorizes the City to apply for a USDA Rural Business Development Grant through Area 15 Regional Planning Commission for the amount set

forth in the attached Engineer's cost estimate. The City Council will authorize up to a 20 per cent grant match based upon City staff recommendations and further engineering estimates as they are further determined. The Mayor is hereby authorized to sign any and all documents necessary for the filing of this USDA Grant.

PASSED AND ADOPTED this 18th day of February, 2020.

CITY OF OTTUMWA, IOWA



Tom X. Lazio, Mayor

ATTEST:



Christina Reinhard, City Clerk



INDEX LEGEND	
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PROPRIETOR:	STATE OF IOWA (IOWA NATIONAL GUARD)
REQUESTED BY:	CITY OF OTTUMWA
PREPARED BY:	GARY W. KEITH
COMPANY:	GARDEN & ASSOCIATES, LTD.
RETURN TO:	P.O. BOX 451, OSKALOOSA, IOWA 52577



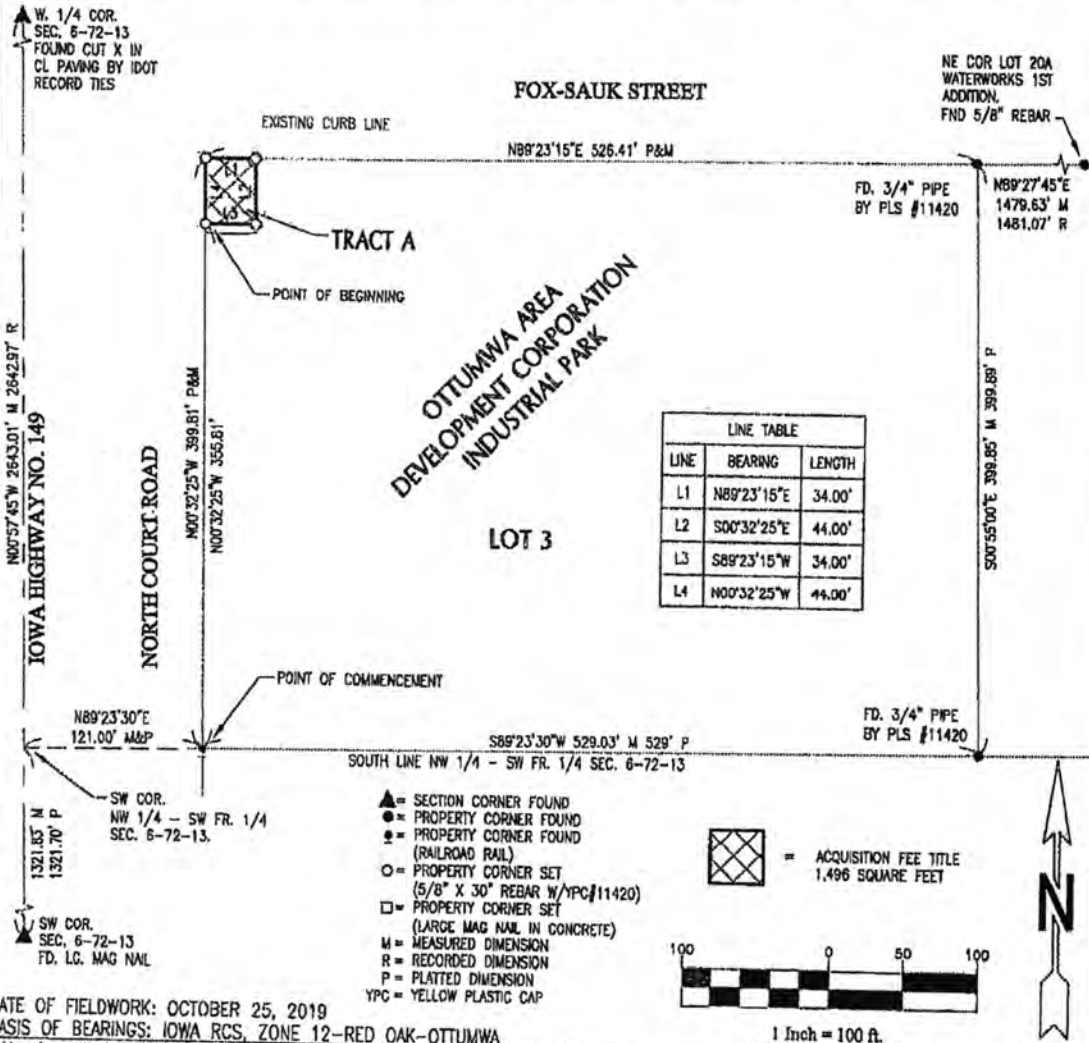
GARDEN & ASSOC.

RESERVED FOR RECORDER'S USE
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DATE OF FIELDWORK: OCTOBER 25, 2019
BASIS OF BEARINGS: IOWA RCS, ZONE 12-RED OAK-OTTUMWA

I hereby certify that this land surveying document was prepared and the related survey work was performed by me or under my direct personal supervision and that I am a duly licensed Professional Land Surveyor under the laws of the State of Iowa.

Gary Wayne Keith 31 Oct 2019
Date
Gary Wayne Keith, P.L.S.
License number: 11420
My license renewal date is December 31, 2020
Pages or sheets covered by this seal: 1 of 1



CITY OF OTTUMWA
ACQUISITION PLAT
LOT 3 OADC INDUSTRIAL PARK
OTTUMWA, IOWA

DATE: 10-25-19 DRN. TRH APP: [Signature]
FLD.BK. 69-9D PROJ.NO. 6019129

FILED
2020 FEB 14 AM 7:27
CITY
OTTUMWA

CITY OF OTTUMWA

Staff Summary

****ACTION ITEM****

Council Meeting of: February 18, 2020

Zach Simonson

Prepared by

Planning & Development

Department

Kevin Flanagan

Department Head



City Administrator Approval

AGENDA TITLE: A RESOLUTION AUTHORIZING THE PLANNING DIRECTOR TO PURSUE AN IOWA DEPARTMENT OF TRANSPORTATION VOLKSWAGEN SETTLEMENT ENVIRONMENTAL MITIGATION TRUST ZERO EMISSION VEHICLE SUPPLY EQUIPMENT GRANT

RECOMMENDATION: Authorize the Planning Director to pursue the ZEV grant.
Pass and adopt Resolution No. 35-2020.

DISCUSSION: The Volkswagen emissions settlement has made funds available for Iowa cities to pursue electric vehicle (EV) charging equipment programs. This grant opportunity provides 90% of funding for projects up to \$15,000.

The Planning Department has obtained two quotes for equipment, service and support warranty and management software and are awaiting an installation quote from Public Works. The quotes verify that it is feasible to install one wall-mounted dual-cord Level 2 commercial EV charging station in the public parking ramp at 112 W Second for less than \$15,000.

The nearest electric vehicle charging station is 20 miles away in Albia, IA. The Planning Department expects that the City is already missing out on overnight hotel guests and retail customers who patronize neighboring communities because EV charging is not available downtown. Moreover, the Iowa Economic Development Authority forecasts significant growth in the EV market and recommends proactive planning on the part of cities.

The proposed site is favorable because of its location in the heart of downtown. Over 1,100 people work in the downtown district and the downtown ramp provides convenient access to two hotels with over 100 guestrooms. There are 175 thousand square feet of retail space in the area as well as nearly 4 acres of public parks, the public library, free public WiFi and other downtown amenities.

The proposal would initially offer the charging station free of charge. The commercial cloud management software included in the proposal would allow the City to track how the station is used and transition to pay-to-use system as the market grows.

The Planning Director would not proceed with the grant application until a source of funding for the 10% match is identified.

RESOLUTION NO. 35-2020

A RESOLUTION AUTHORIZING THE PLANNING DIRECTOR TO PURSUE AN IOWA DEPARTMENT OF TRANSPORTATION VOLKSWAGEN SETTLEMENT ENVIRONMENTAL MITIGATION TRUST ZERO EMISSION VEHICLE SUPPLY EQUIPMENT GRANT.

WHEREAS, the City sees potential environmental, economic and tourism benefits from taking a leadership role on electric vehicles; and

WHEREAS, the Volkswagen Settlement Trust is offering grants to Iowa cities providing 90% match on electric vehicle charging station projects up to \$15,000; and

WHEREAS, a suitable location has been identified in the public parking ramp at 112 W Second providing convenient access to two hotels with over 100 guestrooms, 175 thousand square feet of retail space and other downtown amenities; and

NOW, THEREFORE, BE IT RESOLVED BY THE CITY COUNCIL OF THE CITY OF OTTUMWA, IOWA:

That the City of Ottumwa hereby authorizes the Planning Director to pursue an Iowa Department of Transportation Volkswagen Settlement Environmental Mitigation Trust Zero Emission Vehicle Supply Equipment Grant contingent on identifying a funding source for the \$1,500 match.

Approved, passed and adopted this 18th of February 2020.

CITY OF OTTUMWA, IOWA

BY _____
Tom Lazio, Mayor

ATTEST:

Chris Reinhard, City Clerk

10-15

6:12-614



Citizen Input Request Form

2-18-2020

Council Meeting Date

Name: Stephanie Diveley

Address: 6962 Cemetery Rd.

Item No. to Address: F-2

(Agenda will be provided to complete this section)

If you are addressing the Council on an item not listed on the agenda, briefly explain the item you wish to speak on:

Transit Contract

The Mayor will invite you to address the City Council at the appropriate time. When called upon by the Mayor, step to the microphone and please state your name for the record. Comments are to be directly germane to City business, operations, or an item listed on this agenda. Remarks shall not be personalized and will be limited to three minutes or less. The City Clerk shall keep the time and notify the Mayor when the allotted time limit has been reached. Comments not directly germane to City business, operations, or an item listed on the agenda, as determined by the Mayor, will be ruled out of order. If you are addressing an item not listed on the agenda the Council will not take any action on the item due to requirements of the Open Meetings Law. Pertinent questions, comments or suggestions may be referred to the appropriate department for response, if relevant.



CITY OF
OTTUMWA

Citizen Input Request Form

✓ 30

2-18-2020

Council Meeting Date

3 min

Name: Jennifer Keith

Address: 14 Raynan Drive

Item No. to Address: D

(Agenda will be provided to complete this section)

If you are addressing the Council on an item not listed on the agenda, briefly explain the item you wish to speak on:

The Mayor will invite you to address the City Council at the appropriate time. When called upon by the Mayor, step to the microphone and please state your name for the record. Comments are to be directly germane to City business, operations, or an item listed on this agenda. Remarks shall not be personalized and will be limited to three minutes or less. The City Clerk shall keep the time and notify the Mayor when the allotted time limit has been reached. Comments not directly germane to City business, operations, or an item listed on the agenda, as determined by the Mayor, will be ruled out of order. If you are addressing an item not listed on the agenda the Council will not take any action on the item due to requirements of the Open Meetings Law. Pertinent questions, comments or suggestions may be referred to the appropriate department for response, if relevant.



Citizen Input Request Form

640

2-18-2020

Council Meeting Date

Name: Rod Steven e

Address: 200 w. Alta Vista Ave

Item No. to Address: E.

(Agenda will be provided to complete this section)

If you are addressing the Council on an item not listed on the agenda, briefly explain the item you wish to speak on:

Budget cut five v Police

The Mayor will invite you to address the City Council at the appropriate time. When called upon by the Mayor, step to the microphone and please state your name for the record. Comments are to be directly germane to City business, operations, or an item listed on this agenda. Remarks shall not be personalized and will be limited to three minutes or less. The City Clerk shall keep the time and notify the Mayor when the allotted time limit has been reached. Comments not directly germane to City business, operations, or an item listed on the agenda, as determined by the Mayor, will be ruled out of order. If you are addressing an item not listed on the agenda the Council will not take any action on the item due to requirements of the Open Meetings Law. Pertinent questions, comments or suggestions may be referred to the appropriate department for response, if relevant.



CITY OF
OTTUMWA

Citizen Input Request Form

2-18-2020

Council Meeting Date

643

Name: Rodney Long

Address: 15511 Bluegrass Rd.

Item No. to Address: Fisc Cuts

(Agenda will be provided to complete this section)

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CITY OF
OTTUMWA

Citizen Input Request Form

2-18-2020
Council Meeting Date

Name: Cindy Kurtz Hopkins

Address: 711 Edwards ⁶⁴⁷

Item No. to Address: _____
(Agenda will be provided to complete this section)

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